

1 **ADMINISTRATIVE INFORMATION**

2 **Title:** Telephone Health Coaching with Exercise Monitoring using Wearable Activity Trackers
3 (TeGeCoach) for Improving Walking Impairment in Peripheral Artery Disease: study protocol for a
4 randomized controlled trial and economic evaluation

5 **Trial registration:** NCT03496948 (www.clinicaltrials.gov), initial release on 23 March 2018

6 **Word count (main text only):** 4453

7

8 **Protocol version:** Issue date: 6 April 2020, Version 6

9

10 **Roles and responsibilities**

11 Farhad Rezvani¹, Dirk Heider², Martin Härter¹, Hans-Helmut-König², Frank Bienert³, Julia Brinkmann
12 ³, Lutz Herbarth³, Edith Kramer³, Patrick Steinisch³, Frank Freudenstein³, René Terhalle³, Yvonne
13 Große³, Susanne Bock³, Jacqueline Posselt³, Corinna Beutel³, Franziska Reif⁴, Florian Kirchhoff⁵,
14 Carolin Neuschwander⁵, Franziska Löffler⁵, Lisa Brunner⁵, Patrick Dickmeis⁶, Thomas Heidenthal⁶,
15 Lara Schmitz⁷, Daniela Patricia Chase⁷, Claudia Seelenmeyer⁸, Mark Dominik Alscher⁸, Uwe Tegtbur⁹,
16 Jörg Dirmaier¹

17

18 ¹Department of Medical Psychology, University Medical Center Hamburg-Eppendorf, Hamburg,
19 Germany^a

20 ²Department of Health Economics and Health Services Research, University Medical Center Hamburg-
21 Eppendorf, Hamburg, Germany^b

22 ³KKH Kaufmännische Krankenkasse, Hannover, Germany^{c, d, f, g}

23 ⁴TK Techniker Krankenkasse, Hamburg, Germany^d

24 ⁵mhplus Krankenkasse, Ludwigsburg, Germany^{d, f}

25 ⁶IEM GmbH, Stolberg, Germany^e

26 ⁷Philips GmbH Market DACH, Hamburg, Germany^e

27 ⁸Robert Bosch Gesellschaft für medizinische Forschung mbH, Dr. Margarete Fischer-Bosch-Institute of
28 Clinical Pharmacology, Stuttgart, Germany^f

29 ⁹Institute of Sports Medicine, Hannover Medical School, Hannover, Germany^g

30

31 ^aStudy investigators for patient-reported outcomes ; ^b Study investigators for cost-effectiveness
32 analyses; ^c Trial sponsor; ^d Recruitment and allocation of study participants; ^e Implementation of
33 telemonitoring infrastructure and technical support; ^f Clinical trial center; ^g Development of
34 intervention

35

1 **Contact information**

2 *Scientific queries (corresponding author):* Farhad Rezvani, University Medical Center Hamburg

3 Eppendorf, Department of Medical Psychology

4 Address: Martinistrasse 52, 20246 Hamburg, Germany

5 Telephone: +49 40 57312, Email: f.rezvani@uke.de

6 *Public queries (trial sponsor):* Frank Bienert, KKH Kaufmännische Krankenkasse

7 Address: Karl-Wiechert-Allee 61, 30625 Hannover, Germany

8 Telephone: +49 511 2802 3687, Email: frank.bienert@kkh.de

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

1 **ABSTRACT**

2 *Introduction:* Peripheral artery disease (PAD) is the third most prevalent cardiovascular disease
3 worldwide, with smoking and diabetes being the strongest risk factors. The most prominent symptom
4 is leg pain while walking, known as intermittent claudication. To improve mobility, first line treatment
5 for intermittent claudication are supervised exercise programs, but these remain largely unavailable and
6 economically impractical, which has led to the development of structured home-based exercise
7 programs. This trial aims to determine the effectiveness and cost advantage of TeGeCoach, a 12-month
8 long home-based exercise program, compared with usual care of PAD. It is hypothesized that TeGeCoach
9 improves walking impairment and lowers the need of health care resources that are spent on patients
10 with peripheral artery disease. *Methods and analysis:* The investigators conduct a prospective,
11 pragmatic randomized controlled clinical trial in a health insurance setting. 1760 patients diagnosed with
12 peripheral artery disease at Fontaine stage II are randomly assigned to either TeGeCoach or Care-as-
13 usual. TeGeCoach consists of telemonitored intermittent walking exercise with medical supervision by
14 a physician and telephone health coaching. Participants allocated to the usual care group receive
15 information leaflets and can access supervised exercise programs, physical therapy and a variety of
16 programs for promoting a healthy lifestyle. The primary outcome is patient reported walking ability
17 based on the Walking Impairment Questionnaire. Secondary outcome measures include quality of life,
18 health literacy and health behavior. Claims data is used to collect total health care costs, healthcare
19 resource use and (severe) adverse events. Outcomes are measured at baseline, 12 and 24 months. *Ethics*
20 *and dissemination:* Ethical approval has been obtained from the Medical Association Hamburg. Findings
21 are disseminated through peer-reviewed journals, reports to the funding body, conference
22 presentations and media press releases. Data from this trial are made available to the public and
23 researchers upon reasonable request.

24 *Trial registration:* NCT03496948 (www.clinicaltrials.gov)

25
26
27
28
29
30
31

1 INTRODUCTION

2 Peripheral Artery Disease (PAD) is the third most prevalent atherosclerotic cardiovascular disease
3 with over 200 million people affected worldwide and has become one of the leading causes of disability
4 and death.^{1,2} It is characterized by the progressive narrowing of the peripheral arteries resulting in the
5 reduction of blood supply, eventually leading to functional impairment and mobility loss.³ If not
6 intervened sufficiently early, the atherosclerotic processes can lead to ulcer formation and gangrenous
7 necrosis (i.e. critical limb ischemia)⁴, and may affect other vascular beds with potentially fatal
8 consequences.^{5,6} PAD is markedly more prevalent in the elderly population, estimating that 5.4% and
9 18.6% of individuals aged from 45 to 49 and 85 to 89 years are affected, respectively.^{1,2} The amount of
10 people with PAD has risen rapidly in recent years, with a sharp increase by nearly 25% between 2000
11 and 2010 in the general population.² Likewise, in Germany, the amount of PAD-related hospitalizations
12 increased by 20.7% between 2005 and 2009, from 400 928 to 483 961. Meanwhile, hospital
13 reimbursement costs for the treatment of PAD have grown nationwide from €2.14 billion in 2007 to €2.6
14 billion in 2009, a 21% increase within 2 years.⁷ Major risk factors are tobacco smoking and diabetes,
15 followed by high cholesterol, hypertension, history of cardiovascular disease (i.e. coronary heart disease,
16 stroke) and chronic kidney disease.^{1,2,8,9}

17 The most common clinical manifestation is leg pain while walking, known as *intermittent claudication*
18 (IC), which reflects impaired hemodynamics and vascular dysfunction.^{10,11} IC is associated with
19 diminished mental health and lower quality of life, thus reducing symptom burden is the cornerstone of
20 the comprehensive care for patients with PAD.¹²⁻¹⁵ Besides pharmacotherapy, risk factor management
21 and surgical revascularization procedures, exercise-based interventions provide substantial mental and
22 physical health benefits for patients with IC.¹⁶⁻¹⁹ Accordingly, formal *supervised exercise programs* (SEPs)
23 are shown to be effective in the treatment of PAD with IC and are recommended as first-line therapy
24 with the highest level of evidence in a variety of published clinical guidelines.²⁰⁻²² SEPs involve the use of
25 intermittent walking exercise and are minimum three-month commitments, with at least three sessions
26 per week (30-60 minutes per session) provided in a clinical setting (e.g. hospital outpatient setting,
27 outpatient facility, or a physician's office). Although SEPs commonly form part of usual care, its use is
28 hampered by low uptake and adherence rates, possibly due to copayment requirements and lack of
29 reimbursement, lack of available local training centers and the burden of traveling.^{23,24} These obstacles
30 highlight the need for innovative models of care, which have led to the emergence of structured *home-*
31 *based* exercise programs (HEPs) where SEPs are not available or impractical to deliver.²⁵ According to
32 clinical practice guidelines, structured HEPs can serve as a useful alternative to SEPs^{20,22} as they improve
33 walking impairment²⁶ and are preferred by patients over SEPs.²⁷ Structured HEPs are performed
34 independently by the patient but follow an exercise regimen similar to that of SEPs, with a duration of
35 three to six months. Protocols of structured HEPs show considerable variation with regard to program

1 duration, form of exercise, exercise frequency and duration, and intervention components used (for an
2 overview, see ²⁸). To achieve benefits, structured HEPs include psychological behavior change
3 techniques (e.g. goal setting, barrier identification, motivational interviewing), regular follow-ups with a
4 healthcare professional or coach (e.g. face-to-face, phone), activity monitoring and feedback (e.g.
5 wearable activity trackers, logbooks), patient education, or any combination thereof.²⁸ Although inferior
6 to SEPs^{24 28-30}, structured HEPs have been shown to improve performance-based³¹⁻⁴⁰, patient-reported<sup>31-
7 ^{38 40} and cardiorespiratory fitness³⁹ outcomes with high adherence^{31 37}, whereas unstructured exercise
8 giving merely “go home and walk” advice to patients with symptomatic PAD has proven ineffective.⁴¹</sup>

9 Given the promising results demonstrating the *efficacy* of structured HEPs in previous explanatory
10 trials, pragmatic trials (i.e. with high external validity) are urgently warranted to establish the
11 *effectiveness* of structured HEPs with the goal to inform clinical practice and to shape health care
12 policies.⁴² In response to the lack of effectiveness trials, while drawing on best available evidence and
13 experience with previous telecoaching studies⁴³, three German statutory health insurance funds (*KKH*
14 *Kaufmännische Krankenkasse*, *TK Techniker Krankenkasse*, *mhplus Krankenkasse*) launch TeGeCoach, a
15 12-month long structured HEP that involves telemonitored intermittent walking exercise using wearable
16 activity trackers with medical supervision by a physician, and motivational interviewing-based telephone
17 health coaching. TeGeCoach provides a streamlined, structured HEP approach based on current
18 evidence using several components that have been shown to be beneficial; telephone health coaching
19 have been shown to be a cost efficient and effective tool in the management of other chronic diseases<sup>44-
20 ⁴⁶, supporting physical activity and dietary behavior change.⁴⁷ Therefore, structured HEPs involving
21 telephone health coaching may also offer great potential for patients with PAD, although the frequency
22 of coaching conversations may play a critical role in whether telephone health coaching is beneficial.⁴⁸
23 With regard to the mode of exercise, intermittent walking exercise has been proven to be effective in
24 patients with IC, which involves repeated bouts of exercise to maximally tolerable claudication pain
25 alternated with recovery breaks.⁴⁹ Likewise, the use of activity trackers alone or as an intervention
26 modality are considered a convenient way for facilitating physical activity^{50 51} with long-term health
27 benefits⁵², while remote activity monitoring (e.g. by a coach) may improve walking impairment and
28 significantly lower the costs of health care in PAD patients.⁵³ Among older adults, the use of activity
29 trackers is well accepted and may be effective to encourage physical activity,^{54 55} with behavioral change
30 techniques such as social support and motivating feedback facilitating their (long-term) use.^{56 57}
31 Furthermore, adding some kind of counseling to the use of wearable activity tracker (e.g. activity
32 monitor-based counseling) could allow the health coach to deliver behavior change techniques and to
33 support sustained exercise. For example, using an activity tracker with regular feedback combined with
34 access to SEPs has proven to improve functional walking performance and quality of life in PAD</sup>

1 patients.⁵⁸ Similarly, telephone health coaching combined with activity monitoring was found to increase
2 physical activity and reduce sedentary behavior in elderly people.⁵⁹

3 The aim of this study is to explore the effectiveness of TeGeCoach, a structured HEP for patients with
4 PAD. A randomized controlled trial of 1760 patients with PAD is conducted to determine whether
5 TeGeCoach improves patient-reported walking impairment while lowering health care costs at 12-and
6 24-month follow-up, compared to the usual care of PAD. It is hypothesized that TeGeCoach improves
7 walking impairment and lowers the costs of health care that are spent on patients with PAD. Given the
8 size and remote nature of the study (i.e. no personal contact to research staff), as well as the pragmatic
9 trial approach (i.e. measurement of outcomes should be patient relevant and should not interfere with
10 the usual care⁶⁰), it was opted to use only patient-reported outcome measures (PROMs), while collecting
11 healthcare utilization and costs from claims data. PROMs emphasize the patient perspective by
12 collecting information that are directly relevant to the patients; with growing interest in comparative
13 effectiveness research, PROMs are commonly used in clinical trials to measure treatment effects.⁶¹ If
14 effective, TeGeCoach could be widely integrated into PAD usual care with the potential to provide health
15 benefits for patients with PAD while reducing health care costs.

16

17 **METHODS**

18 **Trial design**

19 This is a two-arm, parallel-group, open-label, pragmatic, randomized, controlled superiority trial
20 embedded within three German statutory health insurance funds (*KKH Kaufmännische Krankenkasse*,
21 *TK Techniker Krankenkasse*, *mhplus Krankenkasse*). It is designed to compare the effects of TeGeCoach
22 (intervention arm) to the usual care of PAD (Care-as-usual, CAU), conducted in a health insurance
23 system-based setting (Figure 1). Trial initiation was in 04/2018 and ends in 02/2021. The recruitment
24 period was 9 months (04/2018 - 12/2018). TeGeCoach has been registered at www.clinicaltrials.gov
25 (NCT03496948, Table 1); protocol modifications will be added to the trial registry. Ethical approval has
26 been obtained at the ethics committee of the Medical Association Hamburg (Ärztchamber Hamburg;
27 reference number: PV5708). The study is conducted in full compliance with Good Clinical Practice quality
28 standards and in accordance with the Declaration of Helsinki of 2008. It is expected that final results are
29 reported after study completion in 2021.

30 This study protocol is reported in accordance with the CONSolidated Standards Of Reporting Trials
31 (CONSORT) statement⁶²; the Standard Protocol Items: Recommendations for Interventional Trials
32 (SPIRIT) statement⁶³; the SPIRIT Patient-Reported Outcome (PRO) extension⁶⁴; and the Template for
33 Intervention Description and Replication (TIDieR) checklist.⁶⁵

34 **Table 1.** Trial registration data

Data category	Information
Primary registry and trial identifying number	ClinicalTrials.gov (NCT03496948)

Date of registration in primary registry	23 March, 2018
Source(s) of monetary or material support	Innovation Fund, Federal Joint Committee (G-BA)
Trial sponsor	KKH Kaufmännische Krankenkasse
Contact for public queries	FB (frank.bienert@kkh.de), KKH Kaufmännische Krankenkasse
Contact for scientific queries	FR (f.rezvani@uke.de), University Medical Center Hamburg-Eppendorf
Public title	TeGeCoach – a home-based exercise program using telephone health coaching with telemonitoring for patients with peripheral artery disease
Scientific title	Telephone Health Coaching with Exercise Monitoring using Wearable Activity Trackers (TeGeCoach) for Improving Walking Impairment in Peripheral Artery Disease: study protocol for a randomized controlled trial
Countries of recruitment	Germany
Health condition(s) or problem(s) studied	Peripheral Artery Disease (PAD)
Intervention(s)	Active comparator: Telemonitored intermittent walking exercise with medical supervision by a physician and telephone health coaching (TeGeCoach) Active comparator: Care-as-usual (CAU)
Key inclusion and exclusion criteria	Ages eligible for study: ≥ 35 years & ≤ 80 years Sexes eligible for study: both Accepts healthy volunteers: no Inclusion criteria: ≥ 35 years & ≤ 80 years, insured at one of the participating statutory health insurance funds, access to a telephone, primary or secondary diagnosis of PAD at Fontaine stage IIa/b within the last 36 months, no primary or secondary diagnosis of PAD at Fontaine stage I within the last 12 months, no diagnosis of Fontaine stage III/IV within the last 36 months Exclusion criteria: immobility that goes beyond claudication, inability to carry out intervention, (chronic) physical conditions that interfere with the intervention, cognitive disorders, severe and persistent mental disorders, suicidality, life-threatening illnesses, active or recent participation in any other PAD intervention trial, ongoing hospitalization, alcoholism and/or other drug dependency, heart failure graded NYHA class III and IV
Study type	Interventional Allocation: randomized Intervention model: parallel assignment masking: analysis blinding Primary purpose: Prevention
Date of first enrolment	April 2018
Target sample size	1760
Recruitment status	Completed
Primary outcome(s)	PROM: Walking impairment <i>Time points: baseline, 12 and 24 months</i>
Key secondary outcomes	PROMs: Generic health-related quality of life, PAD-specific quality of life, depression, generalized anxiety disorder, alcohol use, nicotine dependence, health literacy, patient activation Claims data: Total health care costs, healthcare resource use, (severe) adverse events <i>Time points: baseline, 12 and 24 months</i>

1

2 Patient and public involvement statement

3 This research was planned without patient involvement. Patients were not invited to comment on
4 the study design and were not consulted to develop patient relevant outcomes. Patients were not
5 invited to contribute to the writing or editing of this document for readability or accuracy.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35

Participants

Participants have to meet the following criteria: registered with one of the participating statutory health insurance funds (*KKH Kaufmännische Krankenkasse*, *TK Techniker Krankenkasse*, *mhplus Krankenkasse*); aged between 35 and 80; German-speaking; access to a telephone (landline or mobile); and a primary or secondary diagnosis of PAD at Fontaine stage IIa (> 200 m, Fontaine stage IIa) or IIb (< 200 m, Fontaine stage IIb) within the last 36 months (corresponding ICD-10-GM-codes: I70.21, I70.22, I73.9). Participants should have no primary or secondary diagnosis of PAD at Fontaine stage I (asymptomatic) within the last 12 months, and no diagnosis of Fontaine stage III (ischemic rest pain) or IV (ulcer, gangrene) within the last 36 months to increase diagnostic accuracy (corresponding ICD-10-GM codes: I70.23, I70.24, I73.25).

Exclusion criteria for participants are: immobility that goes beyond claudication (Fontaine stage III or IV; inability to carry out intervention); (chronic) physical conditions that interfere with the intervention (e.g. COPD); cognitive disorders (inability to carry out intervention); severe and persistent mental disorders (adherence reasons); suicidality (safety reasons); life-threatening illnesses (safety reasons); active or recent participation in any other PAD intervention trial; ongoing hospitalization; (self-reported) alcoholism and/or other drug dependency (adherence reasons); and heart failure graded NYHA class III and IV (inability to carry out intervention and competing risks).

Recruitment

Participants

Recruitment of participants is managed by three statutory health insurance funds in Germany: *KKH Kaufmännische Krankenkasse*, *TK Techniker Krankenkasse* and *mhplus Krankenkasse*. Eligible participants are retrospectively identified using ICD-10-GM diagnosis codes from inpatient and outpatient encounters, which are routinely collected for reimbursement purposes (claims data). Due to the high number of diagnostic errors and poor coding habits in outpatient settings, exclusion criteria are only checked using inpatient diagnosis codes.

An iterative recruitment process was developed, as substantial challenges to the recruitment of clinical trials have been shown in the PAD population.⁶⁶ Eligible participants are contacted by their health insurance fund to explain the purpose of the study and to confirm their PAD diagnosis by questioning them about their symptoms. Eligible participants receive a study information letter that is supplemented with consent and permission forms (i.e. authorization for release of medical reports by the contracted physician to the health coach). If interested to participate, they are asked to sign all documents and send them back to their health insurance fund. Eligible non-responders that are still interested in the study but have not given written consent are followed up by phone to be reminded of the trial. Once the

1 written consent has been received, a query is submitted to the data warehouse of the respective health
2 insurance fund which automatically assigns a pseudonym to the participant. No participant will be
3 enrolled without full, written informed consent.

4 *Physicians*

5 Each participant allocated to TeGeCoach must be medically supervised by a physician, which is a
6 prerequisite for receiving the TeGeCoach intervention; participants can elect their preferred physician
7 prior to program start, or are alternatively referred to an already contracted physician by their health
8 coach. To encourage physicians to participate, they enter into an integrated care contract with the
9 respective health insurance fund that provides financial incentives for the delivery of special medical
10 services throughout the intervention.⁶⁷ The enrolment and reimbursement of contracted physicians is
11 coordinated by medicalnetworks (Kassel, Germany), a company that is specialized on the management
12 of integrated care programs (ICPs) within the § 140a volume V of the German Social Security Code (SGB
13 V). If the physician of choice refuses to participate, the participant is referred to a nearby contracted
14 physician that has already entered into the integrated care contract. Once enrolled, the health coach
15 contacts the contracted physician to discuss their tasks during the course of the study. Due to
16 recruitment barriers, it is possible that no suitable physician can be found for the patient by the end of
17 the recruitment phase. For safety reasons, participants for whom no physician can be appointed do not
18 receive TeGeCoach.

19

20 **Treatment allocation and blinding**

21 Participants are allocated in a 1:1 ratio to either the TeGeCoach or CAU group, stratified by health
22 coaching center using a permuted block method within each stratum. In order to prevent selection bias
23 and to eliminate any predictability (allocation concealment), participants are randomly allocated via
24 Sealed Envelope (London, United Kingdom), a secure internet-based randomization service including
25 concealment, stratification and blocking for each health coaching site.

26 Blinding of care providers (health coaches and contracted physicians) and participants is not possible
27 because of obvious differences between the TeGeCoach intervention and CAU. However, as supported
28 by the CONSORT guidelines, blinding of the analysis is achieved by engaging an independent data analyst
29 and by withholding information about how the groups were coded before analytical decisions have been
30 completed.⁶⁸

31

32 **Interventions**

33 *TeGeCoach*

34 TeGeCoach is a 12-month long structured HEP that is designed to inspire healthy habits in patients
35 with PAD based on the transtheoretical model of behavior change. The main strategies used to improve

1 health outcomes include patient-centered motivational interviewing, shared decision making and active
2 listening, aiming to help patients to enhance their individual motivation for exercise and receive the
3 support needed to improve their condition.

4 *Telemonitored intermittent walking exercise:* Patients are instructed to continuously wear an activity
5 tracker device (i.e. from getting up to going to bed; not while showering, bathing and swimming). Two
6 different brands of activity tracker are used that record the number of steps (*KKH Krankenkasse* and
7 *mhplus Krankenkasse*: [AS 95 Pulse by Beurer](#); *TK Techniker Krankenkasse*: [Mi Band 2 by Xiaomi](#)). The
8 data from the activity tracker is transmitted automatically to the health coaching platform once per day
9 over the internet using a SIM card modem (econnect, IEM GmbH). A 60-minute baseline assessment is
10 initially taken to evaluate the patient's individual walking capacity whereby patients are instructed to
11 walk at a brisk pace (defined as >50 steps/minute) until maximal tolerable claudication pain is reached,
12 followed by breaks and continued walking when the pain subsides (intermittent walking). The net brisk
13 walking time (>50 steps/minute) during the 60-minute baseline assessment is used to assign patients to
14 one of three intermittent walking plans of increasing duration; the patient is assigned to level A (15
15 minutes exercise, including breaks) if he/she is able to walk less than 15 minutes during the baseline
16 assessment, level B (30 minutes exercise, including breaks) if he/she is able to walk 15-30 minutes, and
17 level C (60 minutes exercise, including breaks) if he/she is able to walk 30-60 minutes. Patients are
18 instructed to walk intermittently at a brisk pace (>50 steps/minute) on at least five days per week. The
19 assignment to one of the training levels is not conclusive; the coach regularly reviews, and if necessary,
20 adjusts the walking plan after every coaching session. The goal is to progressively increase walking
21 intervals and shortening breaks until painless walking exercise (or bearable pain) without breaks needed
22 has been achieved by the patient, suggesting to switch to the next training level. In addition to exercise
23 sessions, the health coach also sees the absolute number of steps per day as a measure of overall
24 physical activity. To ensure patient safety, the contracted physician initially reviews the proposed
25 exercise plan, checks if any contraindications to exercise exist, and whether all important comorbidities
26 such as high blood pressure, diabetes and coronary heart disease are sufficiently treated. Furthermore,
27 they receive three health reports from the health coach during the course of the program, which are
28 important for the joint exchange of information to provide collaborative care.

29 *Telephone health coaching:* Over the course of 12 months, patients regularly receive health
30 information leaflets and have up to nine structured 30-60 minute phone calls with their health coach.
31 During these structured phone calls, the health coach and the patient jointly discuss the progress
32 towards exercise goals and review the activity tracker data to check whether the patient adheres to the
33 walking plan. For this purpose, exercise sessions (i.e. intermittent walking represented as changes
34 between walking and break intervals) are visualized and automatically identified as an exercise session
35 by the health coaching platform. Additional phone calls are warranted when no data has been received,

1 no steps were taken or when coaches are alerted that the amount of exercise days has fallen below an
2 individual threshold. During these calls, barriers like lack of motivation, exercise intolerance or technical
3 issues are discussed and how they can be overcome through behavioral support. Along with the walking
4 exercise, patient-tailored topics of interest that are relevant to the management of PAD are discussed
5 in order to improve health literacy, to facilitate patient empowerment and to adopt a proactive stance
6 in dealing with their disease. The health coaching curriculum includes: Knowledge of PAD, PAD
7 medication, comorbidities of PAD and other related health topics (e.g. tobacco use, nutrition). The
8 health coaches use an electronic documentation system to monitor the coaching process (*KKH*
9 *Kaufmännische Krankenkasse* and *mhplus Krankenkasse*: Picama® Managed Care, Trustner GmbH; *TK*
10 *Techniker Krankenkasse*: Philips GmbH Market DACH). The telephone health coaching is carried out by
11 three health coaching centers that are located throughout Germany, each affiliated to one of the three
12 statutory health insurance funds (Health Coaching Center of *KKH Kaufmännische Krankenkasse*,
13 Telemedical Center at Robert-Bosch-Hospital on behalf of *TK Techniker Krankenkasse*, Health Coaching
14 Center of *mhplus Krankenkasse*), and are staffed with licensed health workers (e.g. nurses, physical
15 therapists, medical assistants, etc.). To ensure high-quality health coaching, health coaches are regularly
16 supervised by a team of experts and receive 51 hours of training, including 19 hours of program training,
17 seven hours of medical training, eight hours of group supervision and one hour of individual supervision.
18 Compliance to coaching guidelines are continuously monitored and reviewed. In addition to the
19 structured TeGeCoach intervention, participants have regular access to usual care (CAU) as described
20 below.

21 After 12 months, there is an additional 12 months of unstructured follow-up in which patients
22 have no interaction with their health coach but still have access to their activity tracker device, which
23 they may continue to use to self-monitor their physical activity.

24

25 *Care as usual (CAU)*

26 Patients allocated to CAU receive usual medical care through the regular statutory health care
27 system. Additionally, participants receive PAD patient information leaflets from their statutory health
28 insurance fund, with each health insurance fund providing its own leaflets. These leaflets provide
29 information about course offerings of the respective health insurance fund to encourage regular
30 exercise and to promote lifestyle changes, including SEPs (vascular and cardio exercise), physical
31 therapy, nutritional assistance programs, smoking cessation programs, weight loss programs, as well as
32 patient education programs for obesity and diabetes. It is thereby ensured that participants allocated to
33 CAU receive genuine usual care as supplied in everyday practice.

34

35 **Outcome Measures**

1 Outcome measures are listed in Table 2 along with timing of assessment; the effectiveness of
 2 TeGeCoach is measured based upon PROMs, claims data, activity tracker data. PROMs are collected at
 3 baseline (t0), at 12 (t1) and 24 (t2) months.

4

5 *Primary Outcomes*

- 6 - *PROM: Walking impairment (Walking Impairment Questionnaire, WIQ)* ⁶⁹⁻⁷²

7

8 *Secondary Outcomes*

- 9 - *PROMs: Generic health-related quality of life (EQ5D-5L & SF-12 questionnaires)* ⁷³⁻⁷⁶ ; PAD-
 10 specific quality of life (VascuQoL-25 questionnaire) ^{77 78}; depression (PHQ-9 questionnaire) ⁷⁹;
 11 generalized anxiety disorder (GAD-7 questionnaire) ^{80 81}; alcohol use (AUDIT-C questionnaire)
 12 ⁸²⁻⁸⁴; nicotine dependence (FTND) ⁸⁵; health literacy (HLQ) ^{86 87}; patient activation (PAM-13
 13 questionnaire) ^{88 89}
- 14 - *Claims data: Total health care costs, i.e. inpatient hospital care costs; outpatient (ambulatory)*
 15 *services and primary care costs, costs for drugs and other medical supplies, sick pay costs;*
 16 *healthcare resource use, i.e. time period until hospitalization, probability of hospitalization,*
 17 *number and duration of inpatient hospitalization, outpatient medical treatment, drug dose*
 18 *(defined daily dose, DDD); (severe) adverse events, i.e. death, amputation, and*
 19 *revascularization (see supplementary file)*

20

21 *Additional outcomes (intervention arm only)*

- 22 - *PROM: Patient satisfaction (ZAPA questionnaire)* ⁹⁰
- 23 - *Activity tracker data: Exercise adherence, e.g. number of alerts and corresponding phone calls*
 24 *made when step frequency or the duration of exercise sessions fall below an individual*
 25 *threshold range; amount of steps/net walking time (>50 steps/minute) per day/week*

26

27

28 **Table 2.** Participant timeline: Time schedule of enrolment (eligibility screen, informed consent, pseudonymization and
 29 allocation), study arms (TeGeCoach or CAU) and measurements (questionnaires and claims data).

	Study period			
	Enrollment	Allocation	Postallocation	
Time point *1	-t1	t0	t1	t2
Enrollment				
Eligibility screening (claims data)	x			
Informed consent	x			
Pseudonymization		x		
Allocation		x		
Study arms				
TeGeCoach (intervention)		◆	◆	◆
CAU (control)		---	---	▶
Measurements				

<u>Intervention and control arm</u>				
PROMs (questionnaires) *2		X	x	x
Cost and medical outcomes (claims data) *3		◆	◆	◆
<u>Intervention arm only</u>				
ZAPA questionnaire			x	
Walking exercise parameters (activity tracker data) *4		◆	◆	

- 1
- 2 *1 -t1: ~1 month before patient in, t0: baseline; t1: 12 month follow-up t2: 24 month follow-up
- 3 *2 WIQ, EQ5D-5L, SF-12, VascuQoL-25, PHQ-9, GHD-7, AUDIT-C, FTND, HLQ, PAM-13
- 4 *3 Health care costs, healthcare resource use, (severe) adverse events
- 5 *4 Exercise adherence, amount of steps/net walking time (>50 steps/minute) per day/week

6

7 **Sample size**

8 To find a ‘meaningful’ effect that is clinically relevant, practicable and economically feasible, the

9 sample size is calculated based on the distribution-based minimal clinically relevant difference (MCID)

10 for small changes on the WIQ following three months of a structured HEP that have been determined in

11 previous studies (WIQ speed MCID: 6%; WIQ distance MCID: 5%; WIQ stair climbing MCID: 5%).⁹¹ As

12 TeGeCoach is more intensive and longer, a small-to-moderate group difference was estimated (f=0.15),

13 while accounting for the inherited heterogeneity of this pragmatic trial that could lead to a dilution of

14 the treatment effect. Assuming a response rate of 30% (TeGeCoach) and 20% (CAU) from baseline 24-

15 month follow-up (t2)^{43 92}, a sample size of 1760 (880 per group) is required to have 176 and 264

16 participants at t2 in the CAU and intervention arm, respectively, which is sufficient to detect the

17 estimated small-to-moderate effect with 80% power and a 5% level of significance (Gpower v.3.1.9.2).

18

19 **Data collection and management**

20 Data management and storage are carried out in compliance with the General Data Protection

21 Regulation (GDPR) in the European Union and Good Scientific Practice guidelines by the German

22 Research Foundation.⁹³ To ensure confidentiality, all data are collected, processed, analyzed and stored

23 in de-identified form by replacing personally identifying information of each participant with a unique

24 patient identification number (i.e. by pseudonymization), which allows to combine data from multiple

25 sources and to merge longitudinal data. Linkage to an identity (depseudonymization) is not possible

26 without a separately stored pseudonymization key, which is protected by technical and organizational

27 measures.

28 At each study point, the data coordinators of the health insurance funds send out a set of paper-

29 based questionnaires (PROMs) to the participants. Participants are asked to send them back to the

30 Department of Medical Psychology at the University Medical Center Hamburg-Eppendorf. To maximize

31 response rates, participants who have not send their questionnaire back in time receive a postal

32 reminder after 2-4 weeks. All participants are followed up at t1 and t2, irrespective of whether

33 questionnaires have been returned at previous study points. Questionnaire data are entered into an

1 electronic database, with only authorized personnel being allowed to retrieve, enter or change data. For
2 data quality and monitoring purposes, validation checks regarding out of range data, illogical and invalid
3 responses and data entry errors are performed.

4 Claims data are routinely collected for the purpose of billing and contains information on all contacts
5 with the health care system including ICD codes, operations and procedure key (OPS) codes (the German
6 equivalent to the American procedure coding system, PCS), medication prescriptions and amount of sick
7 leaves. After study completion, the health insurance funds assemble and pseudonymize the claims data
8 and send it to the study team (University Medical Center Hamburg-Eppendorf). No individual insurance
9 information can be identified from this data.

10 Activity tracker data are automatically uploaded to the electronic documentation system via SIM card
11 modem (econnect, IEM GmbH) once per day. The statutory health insurance funds share the activity
12 tracker data with the study team in pseudonymized form.

13 All data are stored for a maximum of 10 years, securely locked in cabinets and saved on password-
14 protected computers in areas with restricted access. Personally identifiable information of participants
15 and pseudonymization keys are only accessible to the data coordinators at each health insurance fund.
16 The pseudonymization keys are deleted two years after study completion so that virtually from this point
17 all data is fully anonymized. Regarding dissemination, all publicly available data are fully anonymized
18 and do not disclose identities. Participants have the right to be informed about their data. If a participant
19 decides to withdraw from the trial prematurely, the data already collected may be used, unless revoking
20 their informed consent. Deletion of the data cannot be requested if the data has already been
21 anonymized.

22

23 **Statistical analysis**

24 Analyses are by intention-to-treat in accordance with the CONSORT guidelines, i.e. participants who
25 do not adhere to or withdraw from the prescribed TeGeCoach intervention and for whom no doctor
26 could be appointed (see *recruitment* section) are included in the analyses as randomized. For
27 questionnaire data, changes from baseline to follow-up measurements are compared between study
28 arms using linear mixed models.⁹⁴ Single imputation using the Expected-Maximization algorithm are
29 applied for item-level missing data. Scale-level imputation of missing data is not necessary since this is
30 fully handled by estimating mixed models with full information maximum likelihood (FIML).^{95 96} In order
31 to take correlation between the observations into account, models are adjusted for participant and
32 health coaching center characteristics. For claims data, changes over time between groups are
33 compared between study arms using random-effects regression models (difference-in-differences
34 method) after eliminating differences in observable baseline characteristics between groups with the
35 use of entropy balancing⁹⁷. Entropy balancing allows a better balancing compared to conventional

1 processes such as propensity matching. Tests of treatment effects are conducted at a two-sided
2 significance level of 0.05. In order to check the robustness of the results, subgroup analyses are
3 performed to determine the influence of baseline characteristics (e.g. degree of walking impairment),
4 health insurance fund (i.e. *KKH Kaufmännische Krankenkasse*, *TK Techniker Krankenkasse*, *mhplus*
5 *Krankenkasse*) and type of analysis (i.e. intention-to-treat and per-protocol).

6

7 **Data monitoring and harms**

8 This trial is not monitored by a data monitoring committee, and no interim analyses are performed
9 as TeGeCoach is a low risk, non-invasive intervention with no identifiable risks. Over the course of the
10 intervention, participants allocated to TeGeCoach are medically monitored by their treating physician
11 while having regular access to the usual care of PAD. The risks from the use of wearable activity trackers
12 is low; all devices have been certified and conform to health, safety, and environmental protection
13 standards for products sold within the European Union (CE certificate).

14

15 **Ethics and Dissemination**

16 The study protocol, the informed consent forms and all other documents that are handed out to the
17 participants have been reviewed and approved by the ethical review bodies (Medical Association
18 Hamburg; reference number: PV5708). The ethics committee will be informed in case of any
19 amendments made to the study protocol or informed consent forms.

20 Findings are disseminated widely through peer-reviewed manuscripts published in scientific journals,
21 reports to the funding body, international conference presentations and media press releases.
22 Furthermore, the study team realizes the value of open science and feels committed to information
23 exchange through data being accessible to the research community. Therefore, in an attempt to tackle
24 the problem of hidden data, deidentified participant data from this trial are made available to the public
25 and the medical research community upon reasonable request to the corresponding author.

26

27 **Acknowledgments**

28 Special thanks to our research assistants for their support of this study: Mara Pelt, Anastasia Izotova and
29 Sarah Willen.

30

31 **Author contributions:** L.H., F.F., P.S., M.H., J.D., D.H., H.H.K. and C.B. obtained funding; L.H., F.F., P.S.,
32 D.H., C.B., H.H.K., M.H., F.R., U.T. and J.D. conceptualized the study; L.H., J.B., R.T., F.R., J.D., D.H., H.H.K.
33 and M.H. developed and designed the methodology of the study; F.B. and R.T. are responsible for the
34 management and coordination of the study, and are supervised by F.F., P.S. and C.B.; J.B., L.H., U.T.,
35 M.D.A. and E.K. provide the medical monitoring of the study; P.D., T.H., L.S. are D.P.C. are responsible

1 for the implementation of the technical infrastructure of the study; Y.G., S.B., J.P., F.R., F.K., C.N., F.L.,
2 L.B., C.S. and C.S. are responsible for the actual conduct of the study by working out study processes and
3 materials; F.R. wrote the initial draft of the manuscript with support from J.D; all authors substantially
4 contributed to the final manuscript and provided critical feedback; all authors have agreed to be
5 accountable for their own contributions and to ensure that questions related to the accuracy or integrity
6 of any part of the work are appropriately investigated and resolved.

7
8 **Funding:** This clinical trial receives funding from the German Innovation Fund (01NVF17013) of the
9 Federal Joint Committee (G-BA), the highest decision-making body of the joint self-government of
10 physicians, dentists, hospitals and health insurance funds in Germany. The use of grant funds is
11 monitored by the German Aerospace Center (Deutsches Zentrum für Luft- und Raumfahrt; DLR). Neither
12 the G-BA nor the DLR is involved in the actual conduct of this work (i.e. execution, data analysis,
13 interpretation of data, dissemination).

14
15 **Competing Interests:** None.

16
17 **Data sharing statement:** Data are available upon reasonable request to the corresponding author.

18
19
20 **Exclusive Licence:** I, the Submitting Author has the right to grant and does grant on behalf of all authors
21 of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence
22 for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY
23 licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government
24 officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable,
25 royalty-free basis to BMJ Publishing Group Ltd (“BMJ”) its licensees and where the relevant Journal is
26 co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other BMJ
27 products and to exploit all rights, as set out in our licence.

28
29 The Submitting Author accepts and understands that any supply made under these terms is made by
30 BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a
31 postgraduate student of an affiliated institution which is paying any applicable article publishing charge
32 (“APC”) for Open Access articles. Where the Submitting Author wishes to make the Work available on
33 an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access
34 shall be governed by a Creative Commons licence – details of these licences and which Creative
35 Commons licence will apply to this Work are set out in our licence referred to above.

1 [Figure 1]

2 **Figure 1.** Prospective flow chart of the study design. TeGeCoach: telemonitored intermittent walking
3 exercise with medical supervision by a physician and telephone health coaching; CAU: usual care of
4 PAD.

5

6

1 REFERENCES

- 2 1. Criqui MH, Aboyans V. Epidemiology of peripheral artery disease. *Circ Res* 2015;116(9):1509-26. doi:
3 10.1161/CIRCRESAHA.116.303849
- 4 2. Fowkes FG, Rudan D, Rudan I, et al. Comparison of global estimates of prevalence and risk factors for
5 peripheral artery disease in 2000 and 2010: a systematic review and analysis. *Lancet*
6 2013;382(9901):1329-40. doi: 10.1016/S0140-6736(13)61249-0
- 7 3. McDermott MM, Liu K, Greenland P, et al. Functional decline in peripheral arterial disease:
8 associations with the ankle brachial index and leg symptoms. *Jama* 2004;292(4):453-61.
- 9 4. Nehler MR, Duval S, Zakharyan A, et al. Incidence and prevalence of peripheral artery disease and
10 critical limb ischemia in an insured national population: Am Heart Assoc, 2012.
- 11 5. Criqui MH, McClelland RL, McDermott MM, et al. The ankle-brachial index and incident cardiovascular
12 events in the MESA (Multi-Ethnic Study of Atherosclerosis). *J Am Coll Cardiol* 2010;56(18):1506-
13 12. doi: 10.1016/j.jacc.2010.04.060
- 14 6. Criqui MH, Ninomiya JK, Wingard DL, et al. Progression of peripheral arterial disease predicts
15 cardiovascular disease morbidity and mortality. *Journal of the American College of Cardiology*
16 2008;52(21):1736-42.
- 17 7. Malyar N, Fürstenberg T, Wellmann J, et al. Recent trends in morbidity and in-hospital outcomes of
18 in-patients with peripheral arterial disease: a nationwide population-based analysis. *Eur Heart J*
19 2013;34(34):2706-14. doi: 10.1093/eurheartj/eh288
- 20 8. Eraso LH, Fukaya E, Mohler ER, 3rd, et al. Peripheral arterial disease, prevalence and cumulative risk
21 factor profile analysis. *Eur J Prev Cardiol* 2014;21(6):704-11. doi: 10.1177/2047487312452968
- 22 9. Joosten MM, Pai JK, Bertoa ML, et al. Associations between conventional cardiovascular risk factors
23 and risk of peripheral artery disease in men. *JAMA* 2012;308(16):1660-7. doi:
24 10.1001/jama.2012.13415
- 25 10. Hamburg NM, Creager MA. Pathophysiology of Intermittent Claudication in Peripheral Artery
26 Disease. *Circ J* 2017;81(3):281-89. doi: 10.1253/circj.CJ-16-1286
- 27 11. Hiatt WR, Armstrong EJ, Larson CJ, et al. Pathogenesis of the limb manifestations and exercise
28 limitations in peripheral artery disease. *Circ Res* 2015;116(9):1527-39. doi:
29 10.1161/CIRCRESAHA.116.303566
- 30 12. Gardner AW, Montgomery PS, Wang M, et al. Predictors of health-related quality of life in patients
31 with symptomatic peripheral artery disease. *J Vasc Surg* 2018;68(4):1126-34. doi:
32 10.1016/j.jvs.2017.12.074
- 33 13. Maksimovic M, Vlajinac H, Marinkovic J, et al. Health-related quality of life among patients with
34 peripheral arterial disease. *Angiology* 2014;65(6):501-6. doi: 10.1177/0003319713488640
- 35 14. Regensteiner JG, Hiatt WR, Coll JR, et al. The impact of peripheral arterial disease on health-related
36 quality of life in the Peripheral Arterial Disease Awareness, Risk, and Treatment: New Resources
37 for Survival (PARTNERS) Program. *Vasc Med* 2008;13(1):15-24. doi:
38 10.1177/1358863X07084911
- 39 15. Smolderen KG, Hoeks SE, Pedersen SS, et al. Lower-leg symptoms in peripheral arterial disease are
40 associated with anxiety, depression, and anhedonia. *Vasc Med* 2009;14(4):297-304. doi:
41 10.1177/1358863X09104658
- 42 16. Haas TL, Lloyd PG, Yang HT, et al. Exercise training and peripheral arterial disease. *Compr Physiol*
43 2012;2(4):2933-3017. doi: 10.1002/cphy.c110065
- 44 17. Hamburg NM, Balady GJ. Exercise rehabilitation in peripheral artery disease: functional impact and
45 mechanisms of benefits. *Circulation* 2011;123(1):87-97. doi:
46 10.1161/CIRCULATIONAHA.109.881888
- 47 18. Guidon M, McGee H. Exercise-based interventions and health-related quality of life in intermittent
48 claudication: a 20-year (1989–2008) review. *European Journal of Cardiovascular Prevention &*
49 *Rehabilitation* 2010;17(2):140-54.
- 50 19. Lane R, Harwood A, Watson L, et al. Exercise for intermittent claudication. *Cochrane Database Syst*
51 *Rev* 2017;12:CD000990. doi: 10.1002/14651858.CD000990.pub4

- 1 20. Gerhard-Herman MD, Gornik HL, Barrett C, et al. 2016 AHA/ACC guideline on the management of
2 patients with lower extremity peripheral artery disease: executive summary: a report of the
3 American College of Cardiology/American Heart Association Task Force on Clinical Practice
4 Guidelines. *Circulation* 2017;135(12):e686-e725.
- 5 21. Lawall H, Huppert P, Espinola-Klein C, et al. The Diagnosis and Treatment of Peripheral Arterial
6 Vascular Disease. *Dtsch Arztebl Int* 2016;113(43):729-36. doi: 10.3238/arztebl.2016.729
- 7 22. Aboyans V, Ricco J-B, Bartelink M-LEL, et al. 2017 ESC Guidelines on the Diagnosis and Treatment of
8 Peripheral Arterial Diseases, in collaboration with the European Society for Vascular Surgery
9 (ESVS): Document covering atherosclerotic disease of extracranial carotid and vertebral,
10 mesenteric, renal, upper and lower extremity arteries Endorsed by: the European Stroke
11 Organization (ESO) The Task Force for the Diagnosis and Treatment of Peripheral Arterial
12 Diseases of the European Society of Cardiology (ESC) and of the European Society for Vascular
13 Surgery (ESVS). *European Heart Journal* 2017;39(9):763-816. doi: 10.1093/eurheartj/ehx095
- 14 23. Harwood AE, Smith GE, Cayton T, et al. A Systematic Review of the Uptake and Adherence Rates to
15 Supervised Exercise Programs in Patients with Intermittent Claudication. *Ann Vasc Surg*
16 2016;34:280-9. doi: 10.1016/j.avsg.2016.02.009
- 17 24. Makris GC, Lattimer CR, Lavidia A, et al. Availability of supervised exercise programs and the role of
18 structured home-based exercise in peripheral arterial disease. *Eur J Vasc Endovasc Surg*
19 2012;44(6):569-75; discussion 76. doi: 10.1016/j.ejvs.2012.09.009
- 20 25. McDermott MM, Polonsky TS. Home-Based Exercise: A Therapeutic Option for Peripheral Artery
21 Disease. *Circulation* 2016;134(16):1127-29. doi: 10.1161/CIRCULATIONAHA.116.023691
- 22 26. Golledge J, Singh TP, Alahakoon C, et al. Meta-analysis of clinical trials examining the benefit of
23 structured home exercise in patients with peripheral artery disease. *Br J Surg* 2019;106(4):319-
24 31. doi: 10.1002/bjs.11101
- 25 27. Harwood AE, Hitchman LH, Ingle L, et al. Preferred exercise modalities in patients with intermittent
26 claudication. *Journal of Vascular Nursing* 2018;36(2):81-84.
- 27 28. Hageman D, Fokkenrood HJ, Gommans LN, et al. Supervised exercise therapy versus home-based
28 exercise therapy versus walking advice for intermittent claudication. *Cochrane Database Syst*
29 *Rev* 2018;4:CD005263. doi: 10.1002/14651858.CD005263.pub4
- 30 29. Al-Jundi W, Madbak K, Beard JD, et al. Systematic review of home-based exercise programmes for
31 individuals with intermittent claudication. *Eur J Vasc Endovasc Surg* 2013;46(6):690-706. doi:
32 10.1016/j.ejvs.2013.09.004
- 33 30. Bäck M, Jivegård L, Johansson A, et al. Home-based supervised exercise versus hospital-based
34 supervised exercise or unsupervised walk advice as treatment for intermittent claudication: a
35 systematic review. *Journal of rehabilitation medicine* 2015;47(9):801-08.
- 36 31. McDermott MM, Liu K, Guralnik JM, et al. Home-based walking exercise intervention in peripheral
37 artery disease: a randomized clinical trial. *JAMA* 2013;310(1):57-65. doi:
38 10.1001/jama.2013.7231
- 39 32. McDermott MM, Guralnik JM, Criqui MH, et al. Home-based walking exercise in peripheral artery
40 disease: 12-month follow-up of the GOALS randomized trial. *J Am Heart Assoc*
41 2014;3(3):e000711. doi: 10.1161/JAHA.113.000711
- 42 33. Collins TC, Lunos S, Carlson T, et al. Effects of a home-based walking intervention on mobility and
43 quality of life in people with diabetes and peripheral arterial disease: a randomized controlled
44 trial. *Diabetes Care* 2011;34(10):2174-9. doi: 10.2337/dc10-2399
- 45 34. Cunningham M, Swanson V, O'caroll R, et al. Randomized clinical trial of a brief psychological
46 intervention to increase walking in patients with intermittent claudication. *British journal of*
47 *surgery* 2012;99(1):49-56.
- 48 35. Cunningham MA, Swanson V, Holdsworth RJ, et al. Late effects of a brief psychological intervention
49 in patients with intermittent claudication in a randomized clinical trial. *Br J Surg*
50 2013;100(6):756-60. doi: 10.1002/bjs.9100
- 51 36. Mays RJ, Hiatt WR, Casserly IP, et al. Community-based walking exercise for peripheral artery
52 disease: An exploratory pilot study. *Vasc Med* 2015;20(4):339-47. doi:
53 10.1177/1358863X15572725

- 1 37. Gardner AW, Parker DE, Montgomery PS, et al. Step-monitored home exercise improves ambulation,
2 vascular function, and inflammation in symptomatic patients with peripheral artery disease: a
3 randomized controlled trial. *J Am Heart Assoc* 2014;3(5):e001107. doi:
4 10.1161/JAHA.114.001107
- 5 38. Gardner AW, Parker DE, Montgomery PS, et al. Efficacy of quantified home-based exercise and
6 supervised exercise in patients with intermittent claudication: a randomized controlled trial.
7 *Circulation* 2011;123(5):491-8. doi: 10.1161/CIRCULATIONAHA.110.963066
- 8 39. Duscha BD, Piner LW, Patel MP, et al. Effects of a 12-Week mHealth Program on Functional Capacity
9 and Physical Activity in Patients With Peripheral Artery Disease. *The American journal of*
10 *cardiology* 2018;122(5):879-84.
- 11 40. Tew GA, Humphreys L, Crank H, et al. The development and pilot randomised controlled trial of a
12 group education programme for promoting walking in people with intermittent claudication.
13 *Vascular Medicine* 2015;20(4):348-57.
- 14 41. Mays RJ, Rogers RK, Hiatt WR, et al. Community walking programs for treatment of peripheral artery
15 disease. *J Vasc Surg* 2013;58(6):1678-87. doi: 10.1016/j.jvs.2013.08.034
- 16 42. Ware JH, Hamel MB. Pragmatic trials — Guides to Better Patient Care? *N Engl J Med*
17 2011;364(18):1685-87.
- 18 43. Dwinger S, Dirmaier J, Herbarth L, et al. Telephone-based health coaching for chronically ill patients:
19 study protocol for a randomized controlled trial. *Trials* 2013;14:337. doi: 10.1186/1745-6215-
20 14-337
- 21 44. Härter M, Dirmaier J, Dwinger S, et al. Effectiveness of Telephone-Based Health Coaching for Patients
22 with Chronic Conditions: A Randomised Controlled Trial. *PLOS ONE* 2016;11(9):e0161269. doi:
23 10.1371/journal.pone.0161269
- 24 45. Härter M, Dwinger S, Seebauer L, et al. Evaluation of telephone health coaching of German health
25 insurants with chronic conditions. *Health Educ J* 2013;72(5):622-34. doi:
26 10.1177/0017896912453990
- 27 46. Dennis SM, Harris M, Lloyd J, et al. Do people with existing chronic conditions benefit from telephone
28 coaching? A rapid review. *Australian Health Review* 2013;37(3):381-88.
- 29 47. Eakin EG, Lawler SP, Vandelanotte C, et al. Telephone interventions for physical activity and dietary
30 behavior change: a systematic review. *American journal of preventive medicine* 2007;32(5):419-
31 34.
- 32 48. McDermott MM, Spring B, Berger JS, et al. Effect of a Home-Based Exercise Intervention of Wearable
33 Technology and Telephone Coaching on Walking Performance in Peripheral Artery Disease: The
34 HONOR Randomized Clinical Trial. *JAMA* 2018;319(16):1665-76. doi: 10.1001/jama.2018.3275
- 35 49. Parmenter BJ, Dieberg G, Smart NA. Exercise training for management of peripheral arterial disease:
36 a systematic review and meta-analysis. *Sports Med* 2015;45(2):231-44. doi: 10.1007/s40279-
37 014-0261-z
- 38 50. Lewis ZH, Lyons EJ, Jarvis JM, et al. Using an electronic activity monitor system as an intervention
39 modality: a systematic review. *BMC public health* 2015;15(1):585.
- 40 51. Bravata DM, Smith-Spangler C, Sundaram V, et al. Using pedometers to increase physical activity and
41 improve health: a systematic review. *Jama* 2007;298(19):2296-304.
- 42 52. Harris T, Limb ES, Hosking F, et al. Effect of pedometer-based walking interventions on long-term
43 health outcomes: Prospective 4-year follow-up of two randomised controlled trials using routine
44 primary care data. *PLoS medicine* 2019;16(6)
- 45 53. Haveman ME, Kleiss SF, Ma KF, et al. Telemedicine in patients with peripheral arterial disease: is it
46 worth the effort? *Expert Review of Medical Devices* 2019;16(9):777-86. doi:
47 10.1080/17434440.2019.1649595
- 48 54. O'brien T, Troutman-Jordan M, Hathaway D, et al. Acceptability of wristband activity trackers among
49 community dwelling older adults. *Geriatric Nursing* 2015;36(2):S21-S25.
- 50 55. Cadmus-Bertram LA, Marcus BH, Patterson RE, et al. Randomized trial of a Fitbit-based physical
51 activity intervention for women. *American journal of preventive medicine* 2015;49(3):414-18.

- 1 56. Ehn M, Eriksson LC, Åkerberg N, et al. Activity monitors as support for older persons' physical activity
2 in daily life: qualitative study of the users' experiences. *JMIR mHealth and uHealth*
3 2018;6(2):e34.
- 4 57. Kononova A, Li L, Kamp K, et al. The use of wearable activity trackers among older adults: Focus
5 group study of tracker perceptions, motivators, and barriers in the maintenance stage of
6 behavior change. *JMIR mHealth and uHealth* 2019;7(4):e9832.
- 7 58. Normahani P, Kwasnicki R, Bicknell C, et al. Wearable Sensor Technology Efficacy in Peripheral
8 Vascular Disease (wSTEP): A Randomized Controlled Trial. *Ann Surg* 2018;268(6):1113-18. doi:
9 10.1097/SLA.0000000000002300
- 10 59. Lyons EJ, Swartz MC, Lewis ZH, et al. Feasibility and Acceptability of a Wearable Technology Physical
11 Activity Intervention With Telephone Counseling for Mid-Aged and Older Adults: A Randomized
12 Controlled Pilot Trial. *JMIR Mhealth Uhealth* 2017;5(3):e28. doi: 10.2196/mhealth.6967
- 13 60. Loudon K, Treweek S, Sullivan F, et al. The PRECIS-2 tool: designing trials that are fit for purpose. *BMJ*
14 : *British Medical Journal* 2015;350:h2147. doi: 10.1136/bmj.h2147
- 15 61. Deshpande PR, Rajan S, Sudeepthi BL, et al. Patient-reported outcomes: A new era in clinical
16 research. *Perspect Clin Res* 2011;2(4):137-44. doi: 10.4103/2229-3485.86879
- 17 62. Schulz KF, Altman DG, Moher D. CONSORT 2010 statement: updated guidelines for reporting parallel
18 group randomised trials. *BMC medicine* 2010;8(1):18.
- 19 63. Chan AW, Tetzlaff JM, Altman DG, et al. SPIRIT 2013 statement: defining standard protocol items for
20 clinical trials. *Ann Intern Med* 2013;158(3):200-7. doi: 10.7326/0003-4819-158-3-201302050-
21 00583
- 22 64. Calvert M, Kyte D, Mercieca-Bebber R, et al. Guidelines for Inclusion of Patient-Reported Outcomes
23 in Clinical Trial Protocols: The SPIRIT-PRO Extension. *JAMA* 2018;319(5):483-94. doi:
24 10.1001/jama.2017.21903
- 25 65. Hoffmann TC, Glasziou PP, Boutron I, et al. Better reporting of interventions: template for
26 intervention description and replication (TIDieR) checklist and guide. *BMJ* 2014;348:g1687. doi:
27 10.1136/bmj.g1687
- 28 66. Guidon M, McGee H. Recruitment to clinical trials of exercise: challenges in the peripheral arterial
29 disease population. *Physiotherapy* 2013;99(4):305-10.
- 30 67. Rahman S, Majumder MAA, Shaban SF, et al. Physician participation in clinical research and trials:
31 issues and approaches. *Advances in medical education and practice* 2011;2:85.
- 32 68. Polit DF. Blinding during the analysis of research data. *Int J Nurs Stud* 2011;48(5):636-41. doi:
33 10.1016/j.ijnurstu.2011.02.010
- 34 69. Regensteiner JG, Steiner JF, Panzer RJ, et al. Evaluation of Walking Impairment by Questionnaire in
35 Patients with Peripheral Arterial-Disease. *Clin Res* 1990;38(2):A515-A15.
- 36 70. McDermott MM, Liu K, Guralnik JM, et al. Measurement of walking endurance and walking velocity
37 with questionnaire: validation of the walking impairment questionnaire in men and women with
38 peripheral arterial disease. *J Vasc Surg* 1998;28(6):1072-81.
- 39 71. Sagar S, Brown P, Zelt D, et al. Further clinical validation of the walking impairment questionnaire
40 for classification of walking performance in patients with peripheral artery disease. *Int J Vasc*
41 *Med* 2012;2012
- 42 72. Nicolai SP, Kruidenier LM, Rouwet EV, et al. The walking impairment questionnaire: an effective tool
43 to assess the effect of treatment in patients with intermittent claudication. *J Vasc Surg*
44 2009;50(1):89-94. doi: 10.1016/j.jvs.2008.12.073
- 45 73. Herdman M, Gudex C, Lloyd A, et al. Development and preliminary testing of the new five-level
46 version of EQ-5D (EQ-5D-5L). *Qual Life Res* 2011;20(10):1727-36. doi: 10.1007/s11136-011-
47 9903-x
- 48 74. Ware Jr JE, Kosinski M, Keller SD. A 12-Item Short-Form Health Survey: construction of scales and
49 preliminary tests of reliability and validity. *Medical care* 1996;34(3):220-33.
- 50 75. Gandek B, Ware JE, Aaronson NK, et al. Cross-validation of item selection and scoring for the SF-12
51 Health Survey in nine countries: results from the IQOLA Project. International Quality of Life
52 Assessment. *J Clin Epidemiol* 1998;51(11):1171-8.

- 1 76. Hinz A, Kohlmann T, Stobel-Richter Y, et al. The quality of life questionnaire EQ-5D-5L: psychometric
2 properties and normative values for the general German population. *Qual Life Res*
3 2014;23(2):443-7. doi: 10.1007/s11136-013-0498-2
- 4 77. Morgan MB, Crayford T, Murrin B, et al. Developing the Vascular Quality of Life Questionnaire: a new
5 disease-specific quality of life measure for use in lower limb ischemia. *J Vasc Surg*
6 2001;33(4):679-87. doi: 10.1067/mva.2001.112326
- 7 78. Mehta T, Venkata Subramaniam A, Chetter I, et al. Assessing the validity and responsiveness of
8 disease-specific quality of life instruments in intermittent claudication. *Eur J Vasc Endovasc Surg*
9 2006;31(1):46-52. doi: 10.1016/j.ejvs.2005.08.028
- 10 79. Kroenke K, Spitzer RL. The PHQ-9: A new depression diagnostic and severity measure. *Psychiat Ann*
11 2002;32(9):509-15. doi: Doi 10.3928/0048-5713-20020901-06
- 12 80. Spitzer RL, Kroenke K, Williams JB, et al. A brief measure for assessing generalized anxiety disorder:
13 the GAD-7. *Arch Intern Med* 2006;166(10):1092-7. doi: 10.1001/archinte.166.10.1092
- 14 81. Löwe B, Decker O, Müller S, et al. Validation and standardization of the Generalized Anxiety Disorder
15 Screener (GAD-7) in the general population. *Med Care* 2008;46(3):266-74. doi:
16 10.1097/MLR.0b013e318160d093
- 17 82. Bush K, Kivlahan DR, McDonnell MB, et al. The AUDIT alcohol consumption questions (AUDIT-C): an
18 effective brief screening test for problem drinking. Ambulatory Care Quality Improvement
19 Project (ACQUIP). Alcohol Use Disorders Identification Test. *Arch Intern Med*
20 1998;158(16):1789-95.
- 21 83. Bradley KA, DeBenedetti AF, Volk RJ, et al. AUDIT-C as a brief screen for alcohol misuse in primary
22 care. *Alcoholism: Clinical and Experimental Research* 2007;31(7):1208-17.
- 23 84. Dybek I, Bischof G, Grothues J, et al. The reliability and validity of the alcohol use disorders
24 identification test (AUDIT) in a German general practice population sample. *J Stud Alcohol*
25 2006;67(3):473-81. doi: DOI 10.15288/jsa.2006.67.473
- 26 85. Heatherton TF, Kozlowski LT, Frecker RC, et al. The Fagerström test for nicotine dependence: a
27 revision of the Fagerstrom Tolerance Questionnaire. *Addiction* 1991;86(9):1119-27.
- 28 86. Osborne RH, Batterham RW, Elsworth GR, et al. The grounded psychometric development and initial
29 validation of the Health Literacy Questionnaire (HLQ). *BMC Public Health* 2013;13(1):658. doi:
30 10.1186/1471-2458-13-658
- 31 87. Nolte S, Osborne RH, Dwinger S, et al. German translation, cultural adaptation, and validation of the
32 Health Literacy Questionnaire (HLQ). *Plos One* 2017;12(2):e0172340. doi:
33 10.1371/journal.pone.0172340
- 34 88. Hibbard JH, Mahoney ER, Stockard J, et al. Development and testing of a short form of the patient
35 activation measure. *Health Serv Res* 2005;40(6 Pt 1):1918-30. doi: 10.1111/j.1475-
36 6773.2005.00438.x
- 37 89. Zill JM, Dwinger S, Kriston L, et al. Psychometric evaluation of the German version of the Patient
38 Activation Measure (PAM13). *BMC Public Health* 2013;13(1):1027. doi: 10.1186/1471-2458-13-
39 1027
- 40 90. Scholl I, Hölzel L, Härter M, et al. Fragebogen zur zufriedenheit in der ambulanten versorgung-
41 schwerpunkt patientenbeteiligung (ZAPA). *Klinische Diagnostik und Evaluation* 2011;4(1):50-62.
- 42 91. Gardner AW, Montgomery PS, Wang M. Minimal clinically important differences in treadmill, 6-
43 minute walk, and patient-based outcomes following supervised and home-based exercise in
44 peripheral artery disease. *Vasc Med* 2018;23(4):349-57. doi: 10.1177/1358863X18762599
- 45 92. Asch DA, Jedrzewski MK, Christakis NA. Response rates to mail surveys published in medical journals.
46 *Journal of clinical epidemiology* 1997;50(10):1129-36.
- 47 93. Deutsche Forschungsgemeinschaft. Sicherung guter wissenschaftlicher Praxis. Sicherung Guter
48 Wissenschaftlicher Praxis: Empfehlungen der Kommission "Selbstkontrolle in der Wissenschaft".
49 Weinheim, Germany: Wiley-VCH Verlag GmbH & Co. KGaA 2013:1-109.
- 50 94. Chakraborty H, Gu H. A mixed model approach for intent-to-treat analysis in longitudinal clinical
51 trials with missing values. 2009

- 1 95. Twisk J, de Boer M, de Vente W, et al. Multiple imputation of missing values was not necessary
2 before performing a longitudinal mixed-model analysis. *Journal of clinical epidemiology*
3 2013;66(9):1022-28.
- 4 96. Collins LM, Schafer JL, Kam C-M. A comparison of inclusive and restrictive strategies in modern
5 missing data procedures. *Psychological methods* 2001;6(4):330.
- 6 97. Hainmueller J. Entropy balancing for causal effects: A multivariate reweighting method to produce
7 balanced samples in observational studies. *Political Analysis* 2012;20(1):25-46.

8