

## STUDY PROTOCOL

A randomized multicenter open-label controlled trial to show that mucous fistula refeeding reduces the time from enterostomy closure to full enteral feeds

(MUCous FIstula REfeeding ("MUC-FIRE") trial)

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## LIST OF ABBREVIATIONS

AE adverse event

ALT alanine transaminase
AST aspartate transaminase
CVL central venous line

DMC Data Monitoring Committee
eCRF electronic case report form
FIP focal intestinal perforation

FTT failure to thrive

FU follow-up

GGT gamma-Glutamyltransferase

HCTC-KKS Hannover Clinical Trial Center – Koordinierungszentrum Klinische Studien ICD International Statistical Classification of Diseases and related Health Problems

ICH-GCP International Conference on Harmonisation – Good Clinical Practice

IMC intermediate care ward IVH intraventricular hemorrhage

ITT intention to treat

IVH intraventricular hemorrhage MFR mucous fistula refeeding

MHH Medizinische Hochschule Hannover

NEC necrotizing enterocolitis

NEK Nekrotisierende Enterokolitis

NG nasogastric

NICU neonatal intensive-care units

OR operation room
PC phosphatidylcholine

PNALD parenteral nutrition-associated liver disease

POD postoperative day
SAE serious adverse event

SBBO small bowel bacterial overgrowth SOP standard operating procedure

TPN total parenteral nutrition
WHO World Health Organisation

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## **STUDY SYNOPSIS**

Title of Study	A randomized multicenter open-label controlled trial to show that mucous fistula refeeding reduces the time from enterostomy closure to full enteral feeds (MUCous FIstula REfeeding ("MUC-FIRE") trial)
Short Term	MUC-FIRE
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Study Design	Randomized, multicenter, open-label, controlled, parallel group research study
Patient Population	Infants who underwent creation of an enterostomy receiving postoperative care and awaiting enterostomy closure
Participating Study Sites	Approx. n = 13
Sample Size	To be assessed for eligibility: n = 230 To be assigned to the study: n = 120 To be analysed: n = 120
Objectives	The primary objective of this study is to demonstrate that mucous fistula refeeding between enterostomy creation and enterostomy closure reduces the time to full enteral feeds after enterostomy closure compared to standard of care.
Endpoints	Primary efficacy endpoint:  Time to full feeds (hours), defined as time to actual enteral intake of the age-dependent caloric requirements per day (defined as 90 or 120

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kcal/kg/24h) for at least 24 hours and a concomitant reduction of parenteral fluids to <20ml/kg/24h [32, 33].

The decision about the full feed kcal goal is made before randomization by the treating physician, depending on the birth weight of the infants and mother's gestation week at birth:

- The nutrition aim is 120 kcal/kg/24h for premature infants with a birth weight < 1000g or premature infants with a birth weight ≥ 1000g and mother's gestation week at birth before 37+0.
- 2) The nutrition aim is 90 kcal/kg/24h for born mature infants, e.g. mother's gestation week at birth at least 37+0.

#### Key secondary endpoints:

- 1) Reoperation
- 2) Time to first bowel movement after enterostomy closure (mucous stool is considered a bowel movement) Cleaning and changing of infants diapers will be performed according to a fixed schedule in order to uniformly document the time to first bowel movement following enterostomy closure.
- 3) Postoperative weight gain (g/d) (daily documentations recommended, minimum 2x per week), regular Z-Score (standard deviation score) documentation [WHO weight-forage] (daily documentations recommended, minimum 2x per week). This will be carried out according to a fixed schedule during morning rounds prior to feeding in an unclothed status.
- 4) Days of postoperative total parenteral nutrition (> 20 ml/kg/24h) before and after the 2<sup>nd</sup> operation (=ostomy takedown). Days of total parenteral nutrition (TPN) are counted, starting on the day of enterostomy closure and ending on the day of full enteral nutrition. The parenteral nutrition is manufactured by the hospital pharmacy on a daily basis, while considering the simultaneous enteral caloric intake.
- 5) Laboratory parameters indicating cholestasis (conjugated bilirubin, GGT, ALT, AST, hemoglobin) and sodium resorption (sodium in urine).
  - Time points for harvesting of blood samples: Baseline at the time of randomization, then every 2 weeks until enterostomy takedown and at the 3-months follow up in cases of pathological clinical signs (jaundice, acholic stools)
- 6) Weight gain during the subsequent 5 days after reaching the primary endpoint
- 7) Central venous line (CVL) duration (days) and number of CVL infections (definition of infection: Neo-Kiss Guidelines)
- 8) Length of hospital stay (days)
- 9) Estimated ratio of the diameter of the two bowel loops which are

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anastomosed.

- 10) Time to full age-dependent volume intake per day (defined as 150ml/kg/24h for premature infants and 120ml/kg/24h for mature born infants as well as corrected mature infants) (in hours) [32,33]
  - a) The volume aim is 150 ml/kg/24h for premature infants with a birth weight < 1000g or premature infants with a birth weight ≥ 1000g and mother's gestation week at birth before 37+0.
  - b) The volume aim is 120 ml/kg/24h for born mature infants, e.g. mother's gestation week at birth at least 37+0.

#### 11) Assessment of safety:

Assessment of possible (serious) adverse events (AEs/SAEs) after randomization (e.g. death, sepsis, bowel perforation, stoma prolapse, abscess)

# Inclusion and Exclusion Criteria

#### Key inclusion criteria:

Infants < 366 days, Ileostomy / Jejunostomy, double loop enterostomies and split enterostomies (with mucous fistula)

Notice: All patients with meconium ileus are included. If later diagnostics verify cystic fibrosis, diagnostics and diagnoses need to be documented in the eCRF and in further analysis subgroups will be established.

Signed written informed consent obtained by parents/legal guardians and willingness of parents/legal guardians to comply with treatment and follow-up procedures of their child

#### Key exclusion criteria:

- resection of ileocecal valve,
- colostomy,
- small bowel atresia,
- multiple ostomies (more than just an enterostomy and a mucous fistula),
- chromosomal abnormalities (if known at the time of randomization),
- Hirschsprung's disease,
- participation in another drug-intervention study
- Intestinal perforation due to congenital heart defects with impairing hemodynamic

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	Reoperation (e.g. relaparotomy) prior to randomization is not an exclusion criterion, these patients may still be included in the study.  Short bowel syndrome is not a criterion for exclusion.
Intervention	All patients will receive standard care with standardized enterostomy creation and closure and will be treated according to a standardized feeding protocol.  Experimental intervention:
	Perioperative mucous fistula refeeding between enterostomy creation and enterostomy closure
	Control intervention:  No perioperative mucous fistula refeeding between enterostomy creation and enterostomy closure
	Follow-up per patient:
	3 months and 6 months postoperatively, following enterostomy closure (12-month follow-up only applicable for patients that are recruited early enough to complete this follow-up within the 48 month of overall study duration). Duration of intervention per patient of the intervention group: minimum 21 days/3 weeks until patient's weight >2000g (averaged 6 weeks between enterostomy creation and enterostomy closure).
Study Duration	Recruitment: approx. 60 months (258 weeks)  Study duration per patient: Maximum 58 weeks to minimum 32 weeks  Duration of the entire study (first patient in to last patient out): 72 months (310 weeks)
Statistical Analysis	Efficacy: The type-one error rate is set to 5% (two-sided).  Description of the primary efficacy: The primary analysis is performed on the intention to treat population (ITT). The aim of this study is to demonstrate superiority of perioperative mucous fistula refeeding compared to no mucous fistula refeeding in reducing the time to full enteral feeds after enterostomy closure. The treatment effect will be estimated with a Cox-regression adjusted for treatment, weight and mother's gestation week at birth (<1000g and before 37+0/≥1000g and before 37+0/≥1000g and before 37+0 / ≥1000g and at least 37+0), study center as well as height of the stoma (jejunostomy/proximal lleostomy or terminal ileostomy) and will be assessed by the estimated hazard ratio (refeeding vs no refeeding) for reaching full enteral feeds. Superiority of the refeeding procedure will be concluded if the lower bound of the corresponding two-sided 95%-confidence interval for the treatment effect hazard ratio is greater than 1.

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Safety: (Serious) adverse events (AEs/SAEs) will be compared between
treatment groups with a chi-square test and other appropriate tests. P-
values will be assessed descriptively.
Secondary endpoints: All secondary analyses will be explorative.

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#### 1 SCIENTIFIC BACKGROUND AND STUDY RATIONALE

Enterostomies in infants may be created for different reasons. During the presence of an enterostomy, the regular stool transfer is interrupted since the distal part of the bowel (the part following the enterostomy) does not participate in the processing of stool. Therefore, it does not contribute to the resorption of enteral nutrients. As a consequence, these infants need additional parenteral nutrition. Due to the negative side-effects of parenteral nutrition all patients should return to enteral nutrition as soon as possible. Consequently, many pediatric surgical centers worldwide routinely perform mucous fistula refeeding (MFR) into the former unused bowel after enterostomy creation because case reports and retrospective analyses show low complication rates and faster postoperative weight gain. Several providers, however, shy away from this approach because to date there is still no high-quality evidence for the benefit of this treatment. The aim of this study is to assess the effects of mucous fistula refeeding in a prospective randomized trial. We hypothesize that MFR between enterostomy creation and enterostomy closure reduces the time to full enteral feeds after enterostomy closure compared to standard care. Moreover, the side effects of parenteral nutrition may be reduced and the postoperative hospital care of infants undergoing ostomy closure shortened.

#### 1.1 The Medical Problem

After creation of any enterostomy the bowel distal to the enterostomy is not in use. Therefore, the physiologic passage of stool, nutrient uptake and growth of the bowel distal of the enterostomy are interrupted. At the time of enterostomy takedown, the surgeon often sees an enormous discrepancy in diameters of the proximal and the distal loops of the bowel. In these cases, the postoperative increase of enteral feeds and the dependence of infants on parenteral nutrition may be prolonged. Furthermore, it is well known that continuous parenteral nutrition is associated with several side effects including cholestasis and central line infections [1]. The physiological passage of stool through the bowel is important for enterohepatic circulation, resorption of fluids, electrolytes, vitamins, and enteral growth. Moreover, the passage of stool per rectum is important for developing a regular defecation reflex.

## 1.2 Evidence

Gause et al. presented their results on MFR in neonatal patients [2, 3]. In their retrospective analysis of 28 patients (13 in the MFR group and 15 in the control group) a shorter duration of parenteral nutrition and a faster time to full enteral feeds in the MFR group were reported. Recently, Yabe et al. reported on the beneficial effect on MFR in low-birth-weight infants, showing better weight gain and again a shorter duration of parenteral nutrition compared to a historical control group. [31] In 2006, Richardson et al. performed a systematic review on case reports and small case series of MFR after enterostomy creation [4]. The authors concluded that MFR was safe, as no complications were identified in any of the cited publications. In conclusion, studies published so far showed a faster weight gain in the group of MFR compared to controls [2, 4, 5, 6, 7]. These promising results need to be confirmed by a randomized, controlled study, which is the intention of this proposal.

## 1.3 The need for a study

As suggested by Gause et al. [3] a multicenter study of MFR is warranted in order to address the limitations of retrospective studies carried out so far. The results of this randomized

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controlled study may strongly influence the perioperative care of neonates within the pediatric surgical community. If our hypothesis is confirmed, the postoperative hospital stays of infants undergoing ostomy closure will be shortened. The benefits of MFR include a shorter duration and therefore less side effects of parenteral nutrition. Moreover, an economic benefit through lower costs for TPN and a shorter hospital stay may be reached.

## 1.4 Risk-Benefit-Assessment

Many pediatric surgical centers worldwide routinely perform MFR after enterostomy creation. However, due to a lack of prospective studies the level of evidence showing a benefit of this treatment strategy is low. Although the systematic review by Richardson et al. [4] showed no complications using this technique, MFR into the distal bowel loop may potentially cause complications such as bowel perforation. The risk for possible complications can be minimized by careful and standardized manipulation of the enterostomies. The local condition of the ostomy will be investigated twice daily.

If our hypothesis is confirmed, the postoperative hospital care of infants undergoing ostomy closure will be shortened. The benefits of MFR may include a shorter duration and therefore less side effects of parenteral nutrition, especially PN-associated liver disease (PNALD). Moreover, an economic benefit through lower costs for TPN and a shorter hospital stay may be reached.

The results of the current study may influence the standard of neonatal intensive care. Therefore the potential benefits of MFR outweigh the possible risks of this study.

Results of data analyses including all data how to perform MFR will be published. If the results of this study will show significant differences between the intervention group and controls, MFR will become the new standard of care for neonates with enterostomies.

In Germany, the current national guideline for neonatal and surgical treatment of necrotizing enterocolitis (NEC) is currently in revision [Leitlinie 024-009: Nekrotisierende Enterokolitis (NEK)]. One of the principal investigators of the study (Prof. Dr. Martin Lacher) is coauthor of this guideline. If the current study proves the hypothesis that MFR is beneficial for these infants it may not only change the national guideline for the best treatment after enterostomy creation in Germany but in other countries too.

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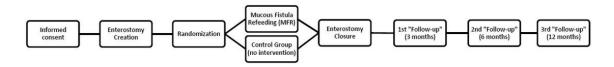


## 2 STUDY DESIGN, OBJECTIVES AND ENDPOINTS

## 2.1 Study Design

This is a randomized, multicenter (approx..=13), open-label, parallel group, controlled research study to demonstrate that mucous fistula refeeding between enterostomy creation and enterostomy closure reduces the time to full enteral feeds after enterostomy closure compared to standard of care.

#### Intervention scheme/Study Flow



## 2.2 Study Objectives

The primary objective of this study is to demonstrate that mucous fistula refeeding between enterostomy creation and enterostomy closure reduces the time to full enteral feeds after enterostomy closure compared to standard of care.

## 2.3 Study Endpoints

#### 2.3.1 Outcome measures

Time to full enteral feeds (age-dependent caloric requirements per day) after enterostomy closure (hours) was chosen as the primary outcome parameter because of its clinical relevance representing the influence of MFR on the intestinal autonomy in the course of the disease. The endpoint is highly objective due to the strict and well-defined feeding protocol (see 3.1). In most of the referenced publications postoperative weight gain early after surgery was chosen as the primary outcome parameter.

However, body weight is always affected by the shift of body fluids into the third space. Therefore, postoperative weight does not always correlate with enteral/ parenteral caloric supplementation as a sign of enteral resorption. For this reason, it was not selected as the primary outcome parameter but will be assessed as secondary outcome measure.

Secondary outcome measures further include the time to full age-dependent volume intake, number of days of postoperative total parenteral nutrition (TPN) and the cholestasis parameters (conjugated bilirubin, GGT, ALT, AST) as indicators for hepatotoxicity of parenteral nutrition. The "time to first bowel movement" (hours) which correlates to the postoperative transanastomotic passage of stool, will be another secondary outcome parameter. A bowel movement consisting of only mucous rather than stool is also considered a bowel movement. Finally, all outcome parameters including possible complications will be assessed during the follow-up 3, 6 and 12 months (12-month follow-up only applicable for patients that are recruited early enough to complete this follow-up within the 48 month of overall study duration) after enterostomy closure.

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### 2.3.2 Determination of primary and secondary measures

#### Primary efficacy endpoint:

Time to full feeds (hours), defined as time from enterostomy closure to actual enteral intake of the age-dependent caloric requirements per day for at least 24h and a concomitant reduction of parenteral fluids to < 20ml/kg/24h.

For determining the time to full enteral feeds, the feeding advancement will be carried out according to the predefined nutritional protocol after 6-8 tolerated feedings in 3-4 hour intervals (24 hours). "Full feeds" is therefore defined age-dependent as 90 or 120kcal/kg/24h (full feed kcal goal) actual enteral intake [8, 9, 32, 33]. The nurses will document any increase and decrease of nutrition precisely and daily controls will be carried out by the responsible neonatologist and pediatric surgeon.

The decision about the full feed kcal goal is made before randomization by the treating physician, depending on the birth weight of the infants and mother's gestation week at birth:

- The nutrition aim is 120 kcal/kg/24h for premature infants with a birth weight < 1000g or premature infants with a birth weight ≥ 1000g and mother's gestation week at birth before 37+0.
- The nutrition aim is 90 kcal/kg/24h for born mature infants, e.g. mother's gestation week at birth at least 37+0.

In the case unforeseen circumstances lead to an unexpected maturation of the infant, at the time of enterostomy closure an infant formerly classified as "premature" can be re-classified as "mature", following justified reasoning concerning laboratory parameters and consultation with the principal investigators. To rule out a biased decision by the investigators, an independent reviewer will review these decisions at the end of the study.

As the full feed kcal goal is implemented firstly in the study protocol version 3.0 the independent reviewer will also be provided with the data of patients that were randomized before study protocol version 3.0 and that did not achieve time to full feeds with the initial kcal goal of 120 kcal/kg/24h. On the basis of the individual patient information the independent reviewer will assess the primary endpoint with respect to the specific kcal goal defined above.

#### **Secondary endpoints:**

- 1) Reoperation
- 2) Time to first bowel movement after enterostomy closure (mucous stool is considered a bowel movement)
  - Cleaning and changing of infants diapers will be performed according to a fixed schedule in order to uniformly document the time to first bowel movement following enterostomy closure.
- 3) Postoperative weight gain (g/d) (daily documentations recommended, minimum 2x per week), regular Z-Score (standard deviation score) documentation [WHO weight-for-age] (daily documentations recommended, minimum 2x per week), This will be carried out according to a fixed schedule during morning rounds prior to feeding in an unclothed

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status.

- 4) Days of postoperative total parenteral nutrition (> 20 ml/kg/24h) before and after the 2<sup>nd</sup> operation (=ostomy takedown) (TPN)
  - Days of postoperative total parenteral nutrition (TPN) are counted, starting on the day of enterostomy closure and ending on the day of full enteral nutrition. The parenteral nutrition is manufactured by the hospital pharmacy on a daily basis, while considering the simultaneous enteral caloric intake.
- 5) Laboratory parameters indicating cholestasis (conjugated bilirubin, GGT, ALT, AST, hemoglobin) and sodium resorption (sodium in urine).
  - Time points for harvesting of blood samples during clinical routine blood withdrawal: Baseline at the time of randomization, then every 2 weeks until enterostomy takedown, at the 3-months follow up and in cases of pathologic clinical signs (jaundice, acholic stools)
- 6) Weight gain during the subsequent 5 days after reaching the primary endpoint
- Central venous line (CVL) duration (days) and number of CVL infections (definition of infection: Neo-Kiss Guidelines)
- 8) Length of hospital stay (days)
- 9) Estimated ratio of the diameter of the two bowel loops which are anastomosed
- 10) Time to full oral volume intake (based on age-dependent daily fluid requirements), for at least 24h. The feeding advancement will be carried out according to the predefined nutritional protocol. "Full oral volume intake" is therefore defined as 150ml/kg/24h (premature infants) and 120ml/kg/24h (born mature as well as corrected mature infants) actual enteral volume intake (as in synopsis) [32,33]

## 2.4 Study Duration

Recruitment:

Approximately 60 months (258 weeks)

Study duration per patient:

Maximum 58 weeks to minimum 32 weeks

Duration of the entire study (first patient in to last patient out):

72 months (310 weeks)

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## 3 STUDY POPULATION

## 3.1 Study Population

Infants who underwent creation of an enterostomy receiving postoperative care and awaiting enterostomy closure:

to be assessed for eligibility: n = 230

to be assigned to the study: n = 120

to be analysed: n = 120

Duration of intervention per patient of the intervention group: minimum 21 days/3 weeks until patient's weight >2000g, averaged 6 weeks between enterostomy creation and enterostomy closure

Follow-up per patient: 3 months, 6 months and 12 months following enterostomy closure (12-month follow-up only applicable for patients that are recruited early enough to complete this follow-up within the 48 months of overall study duration).

#### 3.2 Inclusion Criteria

- 1. Only infants younger than 366 days of age with status post ileostomy or jejunostomy creation (double loop enterostomies and split enterostomies (with mucous fistula)) will be included in the study to create a homogenous cohort of patients with similar diseases (e.g. necrotizing enterocolitis [NEC], focal intestinal perforation [FIP]). Also, infants of this age group are unique in several respects such as the response to parenteral nutrition and its hepatic toxicity resulting into neonatal cholestasis.
  - The ostomy localization is restricted to the jejunum and ileum. Therefore, the cohort of patients shows a similar bowel length for fluid-, vitamin- and electrolyte resorption.
- 2. All patients with meconium ileus are included into the study. If later (required) diagnostics verify cystic fibrosis, the diagnostics as well as the diagnosis need to be documented in the eCRF and in further analysis subgroups will be established.
- Signed written informed consent obtained by parents/legal guardians and willingness of parents/legal guardians to comply with treatment and follow-up procedures of their child.

### 3.3 Exclusion Criteria

- 1. The resection of the ileocecal valve is an exclusion criterion because of its association with extensive bowel resection and therefore prolonged parenteral nutrition [10]
- 2. Colostomy
- 3. Patients with small bowel atresia are excluded because of prenatally underdeveloped bowel distal to the atresia
- 4. Multiple ostomies (more than just an enterostomy and a mucous fistula)
- 5. Patients with chromosomal abnormalities (if known at the time of randomization) are excluded because of potential malabsorption and malnutrition due to an underlying syndrome.
- 6. Hirschsprung disease secondary exclusion
- 7. Participation in another drug-intervention study

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The application of prokinetic drugs is not allowed throughout the study especially after enterostomy closure.

Reoperation (e.g. relaparotomy) prior to randomization is not an exclusion criterion, these patients may still be included in the study.

## 3.4 Feasibility of recruitment

In order to reach a total sample size of 120 patients during 72 months in approx. 13 centers, every center will have to include 1.8 patients per year on average. The participating centers represent institutions treating a large patient volume and are located in different regions of Germany and Austria. All of them are University hospitals with large neonatal intensive-care units (NICU). When analyzing the center data over the last five years, each of the centers treated at least 6.1 infants per year that would fit our inclusion criteria (see 3.2.).

## 3.5 Achievability of recruitment rate

The number of participating centers was increased by new partners (university hospitals/ medical providers treating high patient numbers in specialized pediatric intensive care units). All centers have experience in adhering to scientific protocols and have participated in prospective studies. The adapted necessary patient number (n=120) calculated by the power analysis will be achieved in a five year period according to patient numbers of the individual centers. The Hannover Medical School and University of Leipzig have participated in several (multi center) prospective studies without encountering problems with patient recruitment after proper counseling on study goals, protocols and the possible complications in relation to estimated benefits [11-25]. The recruitment of patients in this study will occur after enterostomy creation. As the patients should be clinically stable by this time, the parents will have enough time to make their decision on whether they want their infant to participate in the trial.

#### 3.6 Discontinuation Criteria

The following reasons may lead to discontinuation:

- 1. Death
- 2. Bowel perforation due to intubation of a catheter into the distal bowel loop during refeeding
- 3. Withdrawal of written consent

Patients who discontinue treatment for any reason will remain in the study to be evaluated for efficacy and safety endpoints and will be expected to continue study visits.

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#### 4 STUDY PROCEDURES

No study procedures are allowed to be conducted until the parent's written informed consent has been obtained (please also refer to chapter 9.1). The investigator is responsible for obtaining the parent's written informed consent after adequate explanation of the aim, study assessments, potential risks and benefits and consequences of the study as well as alternative treatment options.

## 4.1 Study Calendar

	rostomy	Enterostomy Creation Screening	Pre Treatment Phase	Treatment Phase (Refeeding or Control)	End of Treatment**** (Enterostomy Closure)	Post Treatment Phase	FU 1 3 months 3 months after	FU 2 6 months 6 months after	(FU 3 12 months)* 12 months after Entero- stomy Closure)
	Enter		at least 1 week up to 6 weeks	at least 3 weeks up to 8 weeks	approx. 6 weeks after Enterostomy Creation	at least 2 weeks up to 8 weeks	Entero- stomy Closure)	Entero- stomy Closure)	
Data Assessment			daily	daily		daily	Outpatient clinic	Outpatient clinic	Outpatient clinic
Randomization			x						
Demographic data		х							
Informed consent		х							
In-/ Exclusion criteria		х							
Operation protocol	х				x				
Body weight		Х	Х	х		x **	х	х	Х
Laboratory			x ***	x ***		x ***			
Refeeding protocol				x					
Nutrition protocol				x		x			
Medical history		х							
Adverse events			х	х	x	x	х	х	х
Time to first bowel movement after enterostomy closure [hours]						x			

<sup>\*</sup>only applicable for patients that are recruited early enough to complete the 12-month follow-up within the 48 months of overall study duration

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<sup>\*\*</sup>weight is measured during the subsequent 5 days after reaching the primary endpoint

<sup>\*\*\*</sup>every 2 weeks starting at randomization and in cases of pathologic clinical signs (jaundice, acholic stools); Laboratory analysis: During routine blood withdrawal, laboratory analysis for the blood parameters of GGT, ALT, AST, hemoglobin and conjugated bilirubin will be performed every 2 weeks starting from randomization until enterostomy closure. Additionally, in urine, sodium concentration is determined in the same time interval. No additional sample volume is necessary for this study.

<sup>\*\*\*\*</sup>the day of enterostomy closure (day of operation) is the last day within the treatment phase, the day after operation is set as the 1st day of the post-treatment phase



# 4.2 Standardized protocol for creation of a small bowel enterostomy (all patients):

- -Exploratory laparotomy (transverse preferred)
- Possible resection of necrotic bowel
- Identification of bowel for the enterostomy
- Proximal and distal limbs of the bowel loop are pulled through the abdominal wall muscles and skin (Loop enterostomy) via the abdominal incision or separate incision (preferred).
- Measurement of the length of small bowel between
  - a) the ligament of Treitz (or if malrotation the first mobile part of the duodenum) and the enterostomy and
  - b) the enterostomy and the ileocecal valve [cm].

The measurement should be undertaken at the antimesenteric wall of the bowel.

- Closure of laparotomy:
  - Fascia with continuous suture Polyglactin 2-3/0
  - Subcutaneous interrupted sutures Polyglactin 4/0
  - Intracutaneous interrupted sutures Poliglecaprone 5/0
- Documentation of operative time (OR-Time in minutes).
- Daily documentation of the patient's weight recommended (minimum 2x per week).

## 4.3 Standardized protocol on perioperative mucous fistula refeeding (MFR):

Definition: Infants are considered capable for MFR after 2 weeks following enterostomy creation if no contraindications for MFR, like sepsis, are present.

- Start 14-42 days after enterostomy creation (modified according to Wong et al. [6])
- Content to be transferred: the infant's own stool
- Intervals of stool transfer: 6-8 hours as a bolus or continuously via a catheter introduced into the distal bowel loop (blocked with 0.5ml of water)
- Amount of stool transfer: Initiation with 0,5ml/kg/h per day. Increase of 5ml/kg/24h or as tolerated
- If the stool is too thick to be transferred, it may be diluted with normal saline 0,9%. (or glucose 5% in case of hypernatremia), no dilution with formula
- Maximum amount of stool transfer (goal): whole amount of own stool
- Documentation of time point and amount
  - a) when the maximum amount of feeds are tolerated
  - b) if and when the entire amount of stool is transferred
  - c) if the entire amount of stool cannot be transferred, the maximum amount transferred is quantified and documented in this "partial-MFR subgroup"
- Duration of refeeding: at least 3 weeks and until the infant's weight exceeds 2000g,
- Probiotics may be given as per protocol of the local institution
- Prokinetic agents are not allowed during the entire trial.
- MFR should at least be performed for 21 days.
- Documentation whether the full amount of stool has been transferred (yes/no)

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## 4.4 Standardized protocol for enterostomy closure (all patients):

- Timing of surgery: at least three weeks of MFR or standard treatment and an infant's body weight of > 2000g
- Preoperative contrast study of the distal loop of the enterostomy to rule out stenosis is only necessary if the infants have not reached MFR of the total stool amounts of the preceding 24h. For all other infants preoperative contrast studies can be performed voluntarily. This study may be performed on the NICU by plain abdominal X-ray with enteral contrast (water-soluble isoosmolar)
- Central line placement if an adequate amount of calories cannot be provided via a peripheral line.
- No preoperative bowel preparation
- Placement of nasogastric (NG) tube in the operation room (OR)
- Size NG tube:
  - Premature infants up to 3 months of age: 6F catheter
  - 3 to 12 months of age: 8F catheter
- Small bowel anastomosis: Interrupted sutures with
  - 5/0 Polyglactin in infants below 6 months of age
  - 4/0 Polyglactin in infants above 6 months of age
- Perioperative antibiotic therapy: type and length based on bacteria profile have to be documented. Suggestion: Perioperative single shot antibiotic treatment. Different antibiotic regimes, adjusted to microbe profiling is possible, but should be documented precisely.

# 4.5 Standardized protocol on parenteral nutrition during treatment phase (all patients):

It is referred to the recommendations in "Neugeborenenintensivmedizin" by Rolf Maier and Michael Obladen (9<sup>th</sup> edition, 2017) on nutrition:

 $\begin{array}{ll} \text{- fluid (ml/kg body weight/24h)} & 110-180 \\ \text{- energy (kcal/kg body weight/24h)} & 80-160 \\ \text{- amino acid (g/kg body weight/24h)} & 2-4 \\ \text{- lipid (g/kg body weight/24h)} & 2-3 \end{array}$ 

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# 4.6 Standardized protocol for management of nutrition after enterostomy closure (all patients):

#### Parenteral nutrition:

- Calories of the parenteral nutrition [8, 9] if there is no hyperglycemia (> 200mg/dl), sepsis, hemodynamic instability that require a different caloric intake.
  - Day of surgery, starting 6h post operation: 50 90cal/kg/24h
  - POD (postoperative day) #1: 80-120kcal/kg/24h
  - POD #2: 80-120kcal/kg/24h
  - POD #3: 80-120kcal/kg/24h
  - POD #4: 80-120kcal/kg/24h
- Composition of lipid, amino acid and energy may vary according to the need of the patient and depending on the options (CVL or peripheral catheter)
- -Trophic oral feeding of <3ml x 8 (max 24ml/24h) is allowed on day of surgery

#### Enteral nutrition:

- Initiation: POD #1
- Standardized feeding source
  - In all infant's age-specific feeding sources will be used
  - Breast milk (if available) as there is a general consensus that breast milk (70kcal/100ml) is the most effective protection against the development of necrotizing enterocolitis (Good et al.[26]) (document amount used each day)
  - Alternative 1: donor breast milk (document amount used each day)
  - Alternative 2: Formula for preterm infants (name, manufacturer, the kcal/ml and the amount should be documented in the eCRF)
  - condition of the milk needs to be documented (raw or pasteurized)
  - Caloric enhancement of the milk: pure human milk or preterm formula is given until a feeding amount of 100ml/kg/d is tolerated, then the energy content of human milk can be enhanced – type and extent of caloric enhancement should be documented precisely

**Notice**: As a large variety of institutional protocols on the fortification of the milk exist, the type of fortification is left to the discretion of the institution but should be documented.

- Documentation of the selected fortifiers, their amount and the caloric content of the milk
- parallel to the increase of oral food concomitant reduction of parenteral fluids to < 20ml/kg/24h
- Prerequisite: continuous measurement of the gastric residual via the nasogastric tube prior to the next feeding

## Protocol 1: Gastric residual is below 3ml/kg/nursing-shift or 10ml/kg/24h

Feeding protocol (modified protocol of Bohnhorst et. al [27])

- Initial amount of enteral nutrition: 20ml/kg/24h (in intervals of 3 or 4 hours)

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Increase by 30ml/kg/24h, when 8 (or 6, depending on feeding intervals)
 consecutive feedings were accepted

## Example (infant's weight 2000g):

POD # 1: 8 x 5ml	= 40 ml	(20ml/kg/24h)
POD # 2: 8 x 12,5ml	= 100 ml	(50ml/kg/24h)
POD # 3: 8 x 20ml	= 160 ml	(80ml/kg/24h)
POD # 4: 8 x 27,5ml	= 220 ml	(110ml/kg/24h)
POD # 5: 8 x 35ml	= 280 ml	(140ml/kg/24h)

## Protocol 2: Gastric residuals prior to the feeding is 20-50% of the previous feeding

For the consecutive feeding, 20% of the preceding feeding volume (=accepted gastric residual) is added to the current volume while the previous gastric residual (>20%) is subtracted of the total volume:

Adapted amount of feeding volume =

current feeding-volume + 20% of the preceding volume - whole amount of previous gastric residuals

#### 1. Example:

Enteral intake 6 x 60ml; gastric residual 21ml (= gastric residual 35%)

#### Calculation:

60 mL (feeding) + 12 ml (20% of the previous feeding)

– 21 ml (gastric residual prior to the feeding) → 51 ml

#### 2. Example:

Enteral intake 6 x 72ml; gastric residual 30ml (= gastric residual 42%)

## Calculation:

72 ml (feeding) + 14 ml (20% of the previous feeding)

- 30 ml (gastric residual prior to the feeding)  $\rightarrow$  <u>56 ml</u>

The next feeding is continued regularly and the feeding volume is then again increased after six consecutive accepted feeds.

Protocol 3: Gastric residuals prior to the feeding exceeds 50% of the previous feeding If gastric residuals exceed 50% of the previous feeding volume or infant's vomiting, one feeding is skipped

Protocol 4: Gastric residuals prior to the feeding reaches 100% of the previous feeding If gastric residue reaches 100% of the previous feeding volume or infant's vomiting, two feedings are skipped.

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## 4.7 Further documentations after enterostomy closure (all patients):

- 1. Duration (minutes) of surgery (enterostomy closure)
- 2. Postoperative duration of assisted respiration (hours) prior versus post extubation
- 3. Daily documentation of the administration of opioids (influencing bowel movement and therefore our primary outcome)
- 4. Documentation of analgesia type (especially peridural anesthesia catheters, influencing postoperative bowel motility)

### 4.8 Additional treatments

The additional treatment of the patient (intervention) group involves the MFR (see 4.3 "standardized protocol on perioperative MFR") with daily introduction of a catheter into the distal bowel loop followed by stool transfer.

Despite the standardized MFR no additional surgical or drug therapy is planned.

## 4.9 Control(s)/Comparator(s)

Infants of the control group will receive the current perioperative care.

## 4.10 Frequency and scope of study visits

All patients will be continuously monitored on the intensive care unit (NICU) or intermediate care ward (IMC) by neonatologists, pediatric surgeons, and nursery staff. Medical records will be analyzed including vital signs, weight, oral intake, and medications.

All participating centers will be visited by the coordinating investigators before the start of the study. In the course of the study investigators of all centers will meet in the local medical institution twice a year and exchange feedback on the feasibility of the protocols, especially on complications and serious adverse events. In addition to these meetings, the study coordinator will be constantly available by email and phone to address questions regarding the study. In the course of the study, medical information will be electronically exchanged monthly via encrypted email, telephone, and Fax.

### 4.11 Assessment of safety

Assessment of possible (serious) adverse events (AEs/SAEs) after surgery (e.g. intestinal bleeding, bowel perforation, sepsis, abscess) from randomization until reaching the primary endpoint or 12 months post enterostomy closure.

AEs and SAEs have to be reported in the eCRF. Serious adverse events will be reported to the Ethics Committee according to the Declaration of Helsinki.

AE's and SAE's will be classified at the end of the trial for achieving standardized grouping and minimizing intergroup differences. To classify postoperative complications the Clavien-Dindo system is used.

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## 4.12 Timeframe complete study

Year 1 Year		2	Year 3	Year 4		Year 5	Year 6			
Completing preparation			Amendments and adjustments of protocol and database Inclusion of further study centers					Statistical analysis Publication		
	Recruit	ment of	patients							
		Recruitment in 10 study centers Recruitment in approx.13 study centers								
		"Follov	v-ups" 3, 6 an	d 12 months following	g enterosto	my closu	ıre			
First pat. in		50% pat.			Last pat. in					
		Annual	meetings of	all recruiting centers all recruiting centers the DSMB at the EUPS	at the Germ					

## 5 ADVERSE EVENTS

Current data suggest a low complication rate in mucous fistula refeeding. Lau et al. [28], with the up to date largest study population (n=77), documented no major complications. However, a retrospective analysis by Haddock et al. [25] with an inhomogeneous population reported on the risk of bowel perforation, bleeding and death associated with mucous fistula refeeding. Therefore, these criteria are adverse events during the study period.

Postoperative complications are classified, using the Clavien-Dindo classification and assessment of complications on a daily basis.

#### **6 STATISTICAL ANALYSIS**

The primary analysis will be performed on the ITT population, i. e. all randomized patients will be analyzed in the treatment group to which they have been initially allocated. The treatment effect will be assessed by the Hazard Ratio for reaching full enteral feeds estimated with a Cox regression adjusted for center, weight and mother's gestation week at birth (< 1000g and before 37+0 /< 1000g and before 37+0 / ≥ 1000g /and at least 37+0), height of stomata and treatment (jejunostomy/proximal Ileostomy or terminal ileostomy), and the respective 95% confidence interval. Superiority of the refeeding procedure will be concluded if the lower bound of the two-sided 95%-confidence interval for the Hazard Ratio (refeeding vs no refeeding) is greater than 1. In case of missing information on the time to full feeds, patients will be censored at the last known status before full feeds.

Extensive sensitivity analyses will be performed to assess the impact of the specification of the primary endpoint definition with study protocol version 3.0, specifically consistency of patient population before and after the specification will be analyzed and subgroup analyses for the defined strata will be performed.

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Furthermore, time to full feeds after randomization (in days) will be analyzed in line with the cox regression model for the primary endpoint.

All secondary analyses will be exploratory and will be conducted on the ITT population.

## 6.1 Methods against bias

This is an open-label study. Blinding is not possible because active refeeding of stool in the intervention group is obvious to any person participating in the medical care of the patient. Randomization will be performed centrally via fax (with variable block length) and stratified by study center, height of stomata (jejunostomy/proximal lleostomy or terminal ileostomy) [29, 30], weight and mother's gestation week at birth (< 1000g and before 37+0 /< 1000g and before 37+0 /< 1000g and at least 37+0), as this are important prognostic factors for the primary endpoint. Randomization will take place after enterostomy creation in order to reduce the amount of missing values due to patient exclusion after surgery (e.g. due to unforeseen need for resection of ileocecal valve) and after the treating physician has determined the full feed kcal goal on the basis of the stratification. The primary analysis will be performed on the ITT population as this is an open study and parents may have preferences not outspoken before randomization. A per protocol analysis will be conducted as a sensitivity analysis in all patients that have no substantial protocol deviations. Consistency between the findings in the ITT population and the per protocol population will be examined as it is an important prerequisite for a successful interpretation of the study.

In general drop-Outs are not expected because all patients will constantly undergo neonatal intensive care and will therefore not be lost to follow-up. If parents withdraw their infant from study participation, they will be asked to allow data collection at a final analysis in order to avoid that information would be wasted. Nevertheless, up to now, we recognized that for some individual patients it is not feasible to abide by the protocol, especially the nutrition protocol after enterostomy closure. Therefore, if missing values should occur (e.g. due to death, or parents' refusal of data collection) observations will be censored at the last time point with known enteral feeding status. Since this censoring may be informative, missing values for time to full feeds will be replaced by the worst observation in each group in a sensitivity analysis in order to check how censoring may have influenced the results. If any death should occur before the respective patient reaches full enteral feeds a sensitivity analysis will be performed on all surviving patients.

To avoid direct influence on the change of kcal goal of the treating physician during the study, at the end of the study an independent reviewer will assess at least all changes of kcal goals.

## 6.2 Proposed sample size/Power calculations

The literature of MFR is scarce and information on the primary endpoint "time to full enteral feeds" is limited [4]. A recently published retrospective analysis of 24 patients [3] of which 13 received refeeding of stool to the mucus fistula and 11 did not receive refeeding of stool showed a median time from enterostomy takedown to enteral feeds of 7 days in the control group and 4 days in the refeeding group. The data presented for the control group is in line with retrospective data of 42 patients collected at Hannover Medical School. These 42 patients

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are all patients fulfilling the inclusion criteria who were treated at Hannover Medical School between 2005 and 2015. They did not receive refeeding of stool and had a median time to full enteral feeds of 7 days. According to Gause et al. [3] a survival analysis is appropriate. In their respective publication, median times are reported corresponding to a hazard ratio of 1.751 for time to enteral feeds (4 days vs 7 days), 2.331 for parenteral nutrition discontinuation (6 days vs 14 days) and 2.667 for goal feeds (7.5 days vs 20 days). Because time to enteral feeds in this publication is in line with our retrospective data of time to full feeds, a hazard ratio of 1.751 is assumed for the treatment effect. In order to show a treatment effect with a power of 80% and a two-sided type I error probability of 5 % with a logrank test a total of 100 events (full enteral feeds) is required, if the hazard ratio for the treatment effect is 1.751. Since patients will be in neonatal intensive care, every patient is expected to reach full enteral feeds. Nonetheless, to account for possible deaths and patients that are not able to reach full enteral feeds or abide the study protocol, the sample size was increased by 20 patients, resulting in a total of 120 patients. Sample size was estimated in nQuery Advisor 7.

## 6.3 Compliance/Rate of loss to follow up

Multiple retrospective data analyses show low complication rates related to MFR. During 14-years of MFR, a group of the University of Hong Kong observed no major complications associated to the refeeding in 77 patients with necrotizing enterocolitis [28].

All centers participating in the current study have experience on MFR and recorded no major complications in any of the centers. This observation is well in line with data on 13 patients undergoing MFR at the Department of Pediatric Surgery at Johns Hopkins University School of Medicine in Baltimore. The authors documented no major complications associated to refeeding but observed benefits of the intervention [3].

We are very confident that the loss of follow-up in this study at the end will be quite small. Due to the severe course of the diseases, parents of patients with neonatal surgical conditions have an intense emotional relationship with the treating surgeons and neonatologists. Almost all parents prefer follow-up appointments at the treating hospital after their infants have been discharged from the hospital. We therefore do not expect any loss of follow-up. However, as a precaution, the patient recruitment was increased to 13 centers with 230 expected patients.

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#### 7 DATA MANAGEMENT

All study data will be collected by the investigator and/or other study personnel. A validated clinical trial data base (electronic case report form) is provided in which the data are entered. These data includes further relevant diagnosis, using the International Statistical Classification of Diseases and Related Health Problems (ICD 10 coding system). In particular, because of the risk of comorbidities in preterm infants (e.g. bronchopulmonary dysplasia, retinopathy of prematurity, intraventricular hemorrhage (IVH),). Authorized and trained staff of the study sites will enter the data in the eCRF in a timely manner. Only AEs and SAEs will be additionally documented and reported on paper forms. Verification of the data in the eCRF occurs by risk-based monitoring as well as via range, validity and consistency checks programmed in the system. Additionally, manual queries can be raised in the system if discrepancies are detected. Based on the queries, the investigator can review the data and resolve the discrepancy or justify the entered data directly in the system. All changes of data entered in the eCRF are documented in an audit trail. A quality control will be performed before the database is closed. This procedure is documented. Finally, data transfer takes place for statistical evaluation.

The data management plan contains further details about data management processes.

#### 8 QUALITY ASSURANCE AND MONITORING

All initiation visits, onsite monitoring visits, close-out visits and in-house monitoring will be conducted by monitors of Hannover Clinical Trial Center (HCTC-KKS). HCTC-KKS SOPs will be utilized. Prior to the start of the study, pre-study visits by the primary investigators will be conducted to be able to instruct the local investigators in how to follow the study protocol and documentation of data. Initiation visits will be done in each study center prior to patient recruitment to ensure adherence with all study procedures by the monitor of HCTC-KKS and the study coordinators. To assure high data quality and patients safety, regular on-site monitoring visits will be performed by HCTC-KKS monitors. Checking of signed informed consents and source data verification will be carried out according to a risk adapted approach. At the end of the study, close out visits will be performed at all study sites. Project managers, monitors, study coordinators and PIs will be in close and regular contact throughout the study and with all study sites.

Monitoring details will be summarized in a monitoring plan which will be prepared by the project manager (HCTC-KKS). The monitoring plan will be reconciled with the coordinating investigator and members of the clinical project management. It will serve as guiding document for all monitors and will contain details on monitoring activities, responsibilities and interfaces between study team, data management, source data and adverse events. In-house monitoring will assure high data quality. Data capture will be achieved by electronic data capture (electronic CRF). On-site source data verification will be done according to a risk adapted monitoring afterwards. In total, 3 monitoring visits are planned per study site.

#### 8.1 Data Monitoring Committee

An independent Data Monitoring Committee (DMC) will be implemented to detect possible harms and to assure continuous risk/benefit assessment. A DMC is a group of independent experts external to the study assessing the progress, safety data and, if needed, critical

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efficacy endpoints. Details of the definition of DMC, its composition and its roles and responsibilities can be found in the separate DMC charter.

## 9 ETHICAL AND LEGAL CONSIDERATIONS, ADMINISTRATION

The study will be conducted in accordance with the principles of ICH-GCP (as far as possible for this kind of study) and the Declaration of Helsinki.

Study protocol and patient consent form will be submitted to ethics committees before start of the study. No amendment to the protocol may be made without consideration by the Ethics Committee.

## 9.1 Patient Information and Informed consent

The investigator is responsible for obtaining the parent's written informed consent after adequate explanation of the aim, study assessments, potential risks and benefits and consequences of the study as well as alternative treatment options. Parents will have sufficient time to ask questions before deciding on whether to participate in the study or not. The patient information/informed consent form has to be signed in duplicate by the patient's parents and the investigator. One document will be given to the parents, the other one will be kept at the participating study sites. No study procedures are allowed to be conducted until parent's written informed consent has been obtained.

The patient information/informed consent form has to be revised whenever important new information becomes available that may be relevant to the parent's consent.

In case of the infants transfer into another clinic, the investigator obtained the informed consent from the parents to release the physicians in the external clinic from their medical confidentiality to retrieve the data for the study.

Participation in this clinical trial is voluntary. Withdrawal from the study at any time and for any reason is without any disadvantages to the patient's further treatment.

#### 9.2 Patient Insurance

The trial will be covered by a participant insurance in case the trial site (clinic) does not cover the study by its liability insurance (Haftpflichtversicherung). All subjects (parents) will be informed about their rights and obligations in regard to insurance policies before participating in the study. A copy of the insurance policies will be handed out to each patient (parents).

## 9.3 Data Protection

Data will be collected, handled, stored and analysed in accordance with national regulations. All study staff have to give due consideration to data protection and medical confidentiality. If the participant withdraws the previously given informed consent, the participant has the right to demand the deletion of all data collected so far. If the participant withdraws and does not demand the deletion of data, these so far collected data will be anonymised and used for the statistical analysis.

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## 9.4 Registration

The study is registered at ClinicalTrials.gov): NCT03469609

## 9.5 Record Retention

The original study documents wil be stored in an archive of the participating study site for at least 10 years after the final study report.

#### 9.6 Financing

The clinical trial is funded by public funds through the German Research Foundation.

#### 9.7 Patient Involvement

The steering committee of the patient organization "Das frühgeborene Kind e. V." is aware of the study. This organization consists of parents of former preterm infants and also actively participates in other scientific studies in Germany. Regular telephone conferences with this organization will be held to exchange information. The PIs are available for consultations by the patient organization concerning mucous fistula refeeding and will update the steering committee on recent publications and evidence-based recommendations.

#### 10 HANDLING OF BIOMATERIAL

Biomaterials in the main study include the analyses of sera, plasma and urine, rectal stool and the use of enterostomy stool for MFR. Sera, plasma and urine will be collected and analyzed using the current concepts of each department. Therefore, no additional trauma will be present. Enterostomy losses will be collected in strict intervals [1x (continuous refeeding) or 3x (separated refeeding every 8 hours) daily] for the refeeding. Stool will not be stored for the MFR. The necessary amount will be transferred and the surplus will be thrown away.

## 11 PUBLICATION

After completion of the trial, data analyses will be performed by the Institute of Biostatistics (MHH). Results will be published and the study protocol including all data how to perform MFR. If the results of this study will show significant differences between the intervention group and controls, MFR will become the new standard of care for neonates with enterostomies.

In Germany, the current national guideline for neonatal and surgical treatment of necrotizing enterocolitis (NEC) is currently in revision [Leitlinie 024-009: Nekrotisierende Enterokolitis (NEK)]. One of the principal investigators of the trial (Prof. Dr. Martin Lacher) is coauthor of this guideline (Delphi method). If the current trial proves the hypothesis that MFR is beneficial for these infants, it may not only change the national guideline for the best treatment after enterostomy creation in Germany but in other countries too.

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## 13 SIGNATURES

This document has been approved by the following primary investigators:

	19. M. 2020
Prof. Dr. med. Martin Lacher	Date
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