# **STUDY PROTOCOL**

# Title: Improving the behavioural impact of air quality alerts

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# 1 BACKGROUND

In 2014, with a news release, the WHO revealed that in 2012 around 3.7 million people had died prematurely in the world as a result of exposure to ambient air pollution. These deaths were attributed to specific diseases such as heart disease, stroke, chronic obstructive pulmonary disease (COPD), lung cancer and acute respiratory infections in children (1). In this context, it has been recommended to inform the general population, and in particular individuals who are more susceptible to experience symptoms (e.g. due to lung or heart problems), with the aim of raising awareness about air pollution and its health impact, and to provide advice on how to reduce exposure (2).However, the evidence shows that adherence to air quality advice to modify behaviours during pollution episodes is often suboptimal (D'Antoni et al., unpublished systematic review(3),(4)), and that the traditional strategy of simply informing people about high pollution episodes is not effective (5).

The aim of this project is to improve the behavioural impact of air quality alerts. In particular, I have conducted a systematic literature review of the predictors of adherence to air quality alerts. This review has informed the development of specific communication strategies, which after being piloted, will be used with the users of an existing air alert smartphone App developed by the KCL ERG group. Implications of this study include the potential to reduce the health burden of air pollution, through the development of more effective communication strategies provided via existent air quality alert systems.

# **1.1.** Systematic literature review: Psychosocial predictors of adherence and non-adherence to health advice provided through air quality information services

The aim of this systematic review was to understand to what extent the general public adopt protective behaviours in response to hearing or reading air quality warning systems and the health advice accompanying them, and to identify the demographic and psychosocial factors associated with adherence and non-adherence to the received health advice.

# 1.1.1. Methodology

The present review is conducted and reported in accordance with the Preferred Reporting Items for Systematic reviews and Meta-Analysis (PRISMA) guidelines (6), using systematic methods to identify and select studies, and assess their risk of bias.

# 1.1.2. Search Strategy

We searched electronic databases such as Web of Science Core Collection, OVID (Global Health 1973 to 2016 week 18), PsycINFO (1806 to May 2016), Social Policy and Practice (2016), Embase (1947 to May 2016), Ovid MEDLINE(R) (1946 to present), Science Direct, Scopus, Pubmed, CINAHL in August 2016. In addition, OpenGrey.eu, EThos, and google were used to identify relevant unpublished studies and reports (e.g. governmental reports). No date limit or study type limit were applied, however papers published only as abstracts were excluded. We only searched for literature written in English. The search strategy combined terms related to air quality alerts (i.e. 'air quality alert', 'air quality index', 'air quality advisories', 'smog alert'), and adherent and protective behaviours (i.e. 'adherence', 'compliance', 'health behaviour', 'risk reduction', 'public response'). The search was conducted to make sure that both the general public and vulnerable population (e.g. asthmatics) were included. Additional articles were included through manually screening reference lists of relevant articles and reports.

# 1.1.3. Inclusion criteria

The inclusion criteria were based on the Participants, Interventions, Outcomes, and Setting -PI(C)OS - approach in the PRISMA guidelines. Studies were included if they met the following criteria:

- i. Participants: people who read or heard of air quality reports, alerts, indices or other sources of health information related to air quality (e.g. users of air quality warning systems, people familiar with air quality forecasts). Participants could be drawn from the general public, patient groups or specific occupational groups.
- ii. Interventions: exposure to information about air quality and/or health advice associated with air quality levels, including information related to actual and/or hypothetical levels of air pollution.
- iii. Outcomes/Predictors: Actual and/or intended adherence/ behaviour change in response to air quality information,

# AND/OR

Predictors of, and/or self-reported reasons for adherence or non-adherence to health advice associated with air quality information.

- iv. Study reporting: All study designs, aside from those published only as editorials or abstracts, were included. Studies were excluded if they met the following criteria:
- v. Were based on the assumption that the respondents were aware of air quality alerts Involved a population only assumed to be aware of air quality information services during alert days (i.e. every time an alert was issued in a specific area), but did not collect evidence of this;
- vi. Analysed behaviour change in response to air quality as driven by people's own perception of air quality, without the involvement of any official information;
- vii. Analysed only information seeking behaviour and/or frequency of access of air quality information services, without investigating behavioural changes in response to this activity;
- viii. Investigated pro-environmental behaviours only.

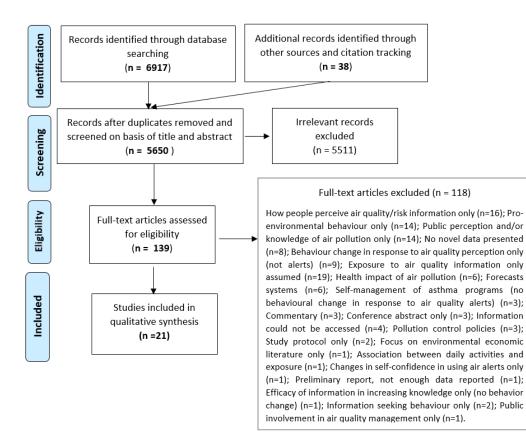


Fig. 1. PRISMA flow diagram with literature search (Last search 9th Aug 2016)

# 1.1.4. Studies characteristics

# Design

The majority of the studies were conducted in the USA (7–16), whereas five were conducted in the UK (17– 21), four in Canada (22–25), one in Hong Kong (26), and one in Denmark (27). Data collection covers the period from 1982 to 2016. The vast majority of the included studies were cross-sectional surveys (7,10– 18,20,21,24–27). Although there was only one qualitative study (23), other studies collected some qualitative data through asking people to report the reasons for adherence and/or non-adherence (12,17,24,25). The studies included samples of general public, service users of air alert systems, asthmatic patients and people with other respiratory and/or heart condition, elderly, people who spend most of their time working in busy streets, communities involved in wildfire events, parents of healthy and parents of asthmatic children, and health care professionals. The majority of the studies focused on air quality forecasts and alerts with associated health advice, one study used a web-based asthma action plan smartphone application, and one study investigated responses to emergency risk communications during a local wildfire episode. Air quality information was provided through different public media, with almost half of the studies assessing actual adherence with messages received also through personal channels such as telephone messages, emails notifications, and smart phone applications.

# Outcomes (adherence and predictors)

Adherence to health advice associated to air quality information was investigated via non-validated selfreport questionnaires or interviews in all but one study (19), which used objective emergency department attendances. The majority of the studies investigated actual adherence, with only three studies investigating intended adherence (8,9,20), and one study assessing both actual and intended behaviour (27).

# 1.1.5. Results: adherence rates

#### Actual behaviour: reducing/rescheduling outdoor activities

In the studies investigating actual adherence to the health advice to avoid, reduce or reschedule outdoor activities during poor air quality, overall adherence rates ranged from 9.7% (12) to 70.7% (27), with median adherence rates of 36% (11).

#### Actual behaviour: additional protective behaviours

In the studies investigating a wider range of actual protective behaviours, going beyond the decision to simply reduce or reschedule outdoor activities, overall adherence (including also behaviours performed less than monthly during moderate or high pollution episodes) ranged from 17.7% (26) to 98.1% (13), with median adherence rates of 42% (18). The most common responses reported by all study participants included: avoiding busy or polluted road (with adherence rates ranging from about 10% to 52.5% (17,18,21), spending more time indoors (ranging from about 30% to 58.7% (13,18,21), adjusting or rescheduling travels or other outdoor activities (41.4%), changing means of travel (38.6%)(21), and avoiding strenuous exercise or other outdoor activities (ranging from 17.4% to 88.4% (13,17,18,22,26). Other behaviours included taking a reliever medication (ranging between 30.5% and 50% (17,18), taking a preventative medication (30.5%-38.5% (17)), getting advice from GP (about 1% (18,26)), and wearing a mask (6.4% to 8.1% (13,26)).

#### Intended behaviour

These studies showed an overall intention to adhere ranging from 36.4% (20) to about 81.8% (27) (the latter refers to a group of respondents with severe lung disease).

#### 1.1.6. Psychosocial predictors of adherence and non-adherence

Table 1 categorises within the COM-B framework the main factors affecting adherence to health advice provided with air quality information services, as found in our systematic review. Socio-demographic factors are not reported here as not modifiable and therefore not relevant to this project. Full details on the predictors reported in D'Antoni et al. systematic review (submitted for publication).

*Table I.* Factors influencing adherence to health advice provided in association with air quality information services within the COM-B models.

CAPABILITY	MOTIVATION	OPPORTUNITY
Psychological Knowing where to check AQHI (Air Quality Health Index) numbers (24) Understanding the air quality indices/ health messages (17,23,24) Confusion between different indices (23) Awareness of media alerts (28) Information seeking behaviour (11)	Reflective Health messages able to reduce both concern about, and perceived susceptibility to, air pollution (9) Experiencing symptoms ascribed to air pollution (beliefs about the illness & threat) (21,27) Beliefs that smog can have negative health effects (beliefs about the health threat) (7,21) Beliefs that something can be done to reduce smog (outcome expectancies) (7) Perceived benefits of AQI (Air Quality Index) adoption (beliefs about the recommendation) (17,23,24) Perception of lack of necessity of AQI adoption (beliefs about the recommendation) (24,25) Lack of message relevance (23,25) Self-efficacy/locus of control (24,25)	<ul> <li>Physical</li> <li>Wearable device option/ smartphone applications (19,21,23)</li> <li>Exposure to visible air pollution (13,15)</li> </ul>
	<i>Automatic</i> Depression (13) Reliance on sensory cues (12,17,23,24)	<ul> <li>Social</li> <li>Professional health care network promotion/GP advice (13,23,28)</li> <li>Neighbourhood scale focus (23)</li> <li>Local media reporting (23,24)</li> <li>Use of different sources of information (21)</li> <li>Necessity to continue with everyday life/ Lac of time (17,23,24)</li> </ul>

# 2 THEORETICAL FRAMEWORK

The Capability, Opportunity and Motivation (COM-B) framework, developed from existing theories of behaviour change (29), proposes that to better understand the determinants of behaviour we should consider the interactions existing between capability (C), opportunity (O) and motivation (M), where individual, group and environmental determinants are equally considered in controlling behaviours. 'Capability' is defined as the individual's psychological (e.g. knowledge, understanding) and physical capacity to engage in the targeted activity. 'Opportunity' refers to all the external factors that make the behaviour possible or prompt it, and 'motivation' to the mental processes that energise and direct behaviour, including also habitual processes and emotional

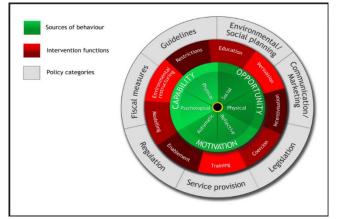


Figure 1 Behavioural Change Wheel (Michie et al. 2011)

responses. The COM-B framework and the BCW (Behavioural Change Wheel) can be used as a guide to both identify specific determinants of target behaviours as well as identify behaviour change techniques (BCTs) aimed at modifying these determinants and in turn the relevant target behaviours (29–31). Given our focus on improving written health communications, we decided to address 'reflective motivation' as key determinant of adherence behaviour (see Table 1). Once identified this determinant, the next step involved an accurate

analysis of different intervention functions aiming at addressing the targeted determinant – as reported by Michie and colleagues (29)-. We established to adopt two main functions: education, and persuasion, where education refers to increasing knowledge and understanding, persuasion refers to the use of communication to induce positive or negative feelings or stimulate action. Table 2 shows the messages we are going to use. These alternative health messages were developed based on the psychosocial factors identified in the systematic review earlier discussed.

In addition, these alternative messages were developed to provide more specific health advice. This is based on evidence showing the importance of designing health risk communications that provide specific, and clear information (32), so that sufficient information is provided to enable decisions about health protection. Specificity refers to the extent to which a message provides a detailed description of the recommended behaviour (387).

A meta-analysis of 18 studies (388) found that messages providing health recommendations with a more specific description seem to be significantly more persuasive than generic recommendations (r=.10, k=18, N=11,105). For instance, Leventhal and colleagues (389) found that health messages recommending tetanus vaccination that provided a more explicit description of the steps to take towards the recommended action were more persuasive than less detailed information. In addition, Frantz found that adding procedurally explicit precaution for safe use of products (e.g. 'Open windows to vent vapours to outdoors' or 'Wear rubber gloves and protective glasses) increased adherence to recommendations on how to use these products, compared to more generic instructions (e.g. 'Use in a well-ventilated area' or 'Avoid contact with eyes and skin') (391). As to why message specificity seems to be more effective, there are no conclusive explanations, however some potential mechanisms have been suggested. It is plausible that more specific descriptions of recommended behaviours make it easier for the targeted audience to imagine themselves performing that action, which in turn enhances persuasiveness (388). Moreover, as a person imagines themselves performing that aspecific action, their perceived ability to engage with that specific behaviour (i.e. self-efficacy) might be enhanced, and in turn this would increase actual adherence (388).

# 4 RESEARCH QUESTION/AIM(S)

The purpose of the present study is to test whether theory and evidence-based alternative communication formats, targeting message specificity and previously identified psychosocial predictors of adherence, could improve the behavioural impact of existing alert systems, compared to the official messages sent in association with the UK AQIs.

#### Research questions

#### Primary questions:

1) What is the main effect of using behaviourally enhanced messages, compared to the currently used DAQI messages, to present the health advice associated with air quality notifications for a hypothetical high air pollution scenario on adherence intentions?

Prediction:

A) The behaviourally enhanced messages will lead to stronger intentions to adhere to recommendations.

2) What is the main effect of using a behaviourally enhanced message, compared to the currently used DAQI messages, to present the health advice associated with air quality notifications on actual behaviour changes at four weeks?

Prediction:

A) The enhanced messages will lead to greater behaviour changes.

*3)* In case of a real alert being issued during the study period, how do the different messages affect actual behaviour change?

Prediction:

A) The enhanced messages will lead to greater behaviour change.

Secondary questions:

4) If there is a format effect, which variables mediate the relationship between the information format and behaviour change?

5) Which factors are associated with greater behaviour change across all groups?

# 5. METHODS

# 5.1 DESIGN

This is a randomised control trial using a 2-way factorial design, with target population (2 levels: general population vs. individuals with a pre-existing health condition) and message format (2 levels: usual message format vs. behaviourally enhanced messages) as between-factors. Participants will be randomised to either the usual message group or the enhanced message.

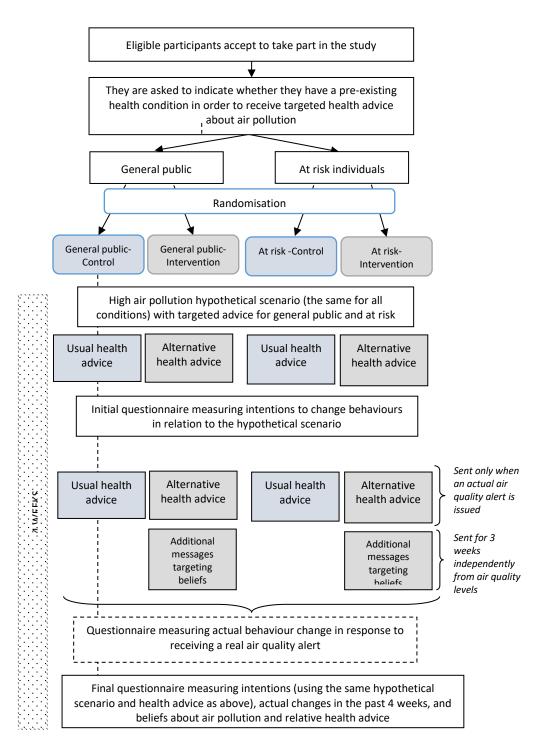


Figure 2 Study flow diagram

#### 5.2 STUDY SETTING

Participants will be able to complete the relevant questionnaires at home (Via the app on their own smart device)

#### 5.3 SAMPLE

**Inclusion criteria** 

Inclusion:

- Users of air alert systems managed by the ERG
- Age: > 18 years
- Working or living in Greater London.

# **Exclusion criteria**

- younger than 18 years
- not working or living in Greater London
- no longer users of the air quality alert smartphone application.

# Sampling

Sample size was calculated using the G\*Power statistical power analysis (32), to give 80% power to detect a statistically significant difference in the main outcome measures at  $\alpha$ =0.05, if a small to medium effect size of f=0.02 or higher is observed (9), adjusting for one covariate. Given the possibility of dropout, we inflated the sample size by 20%, and aimed to achieve a minimum sample size of n=240.

# 5.4 STUDY PROCEDURE

# 5.4.1 CityAir smartphone application

This is an application designed and developed by the City of London Corporation and King's College London. The CityAir app version 2.0.4 (available at:

https://www.cityoflondon.gov.uk/business/environmental-health/environmental-protection/air-

<u>quality/Pages/New-CityAir-App.aspx</u>) is currently available for iOS and Android and is compatible with iPhone, iPad and iPod touch and Android devices. This application allows users to sign up for air pollution alerts and find less polluted routes when levels of air pollution are high in London. In addition, it provides users with targeted advice for generally healthy and at risk individuals on how to reduce exposure to air pollution. Such advice is based on the official UK AQIs. The application also provides simple advice for users registered as cyclists, pedestrian, jogger, drivers, and businesses on how to reduce emissions and exposure (e.g. advice for drivers: 'Turn off your engine when parked'; for joggers: 'Consider delaying your jog till pollution levels are lower'; for businesses: 'Check out the CityAir guides to help lower pollution levels in the City').

For the purpose of the current study, KCL will launch an alternative version, which will allow the researchers to send different types of messages for the control and intervention groups during the study period. To increase message relevance, targeted advice will be sent to people with lung and/or heart or other existing condition, and the generally healthy public (see Table 3). It is worth noting that the current UK AQI does not provide targeted advice for people with heart conditions apart from reducing exertion, and the main advice for people with lung conditions is to consider using their reliever inhaler more often. Usually CityAir sends alerts for moderate, high and very high air pollution to users in the at-risk group, whilst sending only alerts for high and very high air pollution to users in the at-risk group, the purpose of the current study, the alternative version of the application also will send moderate alerts and associated advice to the general population group. This will allow to actually test the UK AQI advice in case of a real moderate air pollution episode during the study period. To keep the study design simple, we will not differentiate between cyclists, joggers, and pedestrians. However, general messages also will include advice on how to adjust these types of physical activity to reduce exposure. Personal device IDs (i.e. an anonymous and unique identifier attributed to an individual mobile device) will be used to link

questionnaires completed at different time points by the same study participant. At the end of the study, a new version of CityAir will be issued to allow the application to go back to its usual functions.

# 5.4.2 Recruitment and study procedure

All CityAir application users will be sent a notification about the current study containing a link to the participant information sheet. If eligible and happy to take part in the study, then they will be redirected to an online survey, using a well-known online platform (<u>https://www.surveymonkey.co.uk/</u>).

Once potential participants had agreed to take part, they will be asked to indicate whether they had a pre-existing health condition. Based on their answers, they will be divided into two groups (general public and at risk respondents). Respondents in both groups will be randomised via an algorithm run by CityAir to either a control or intervention condition.

Participants will be asked to read the scenario of a hypothetical high pollution episode and to indicate their intentions to follow the health advice given (i.e. baseline adherence intentions measure). After completion of the first questionnaire, all participants will be able to received real-time CityAir notifications about real air pollution episodes. Whilst the control group will receive air quality notification and associated health advice in the usual UK AQI format, the intervention group will receive health advice in an alternative format (see Materials).

# 5.5 MATERIALS:

Table 2 shows the health advice accompanying air quality alerts in both the usual and alternative format (i.e. targeting message specificity). Table 3 shows some of the additional messages that will be sent to the intervention group and targeting specific psychosocial factors identified in our previous systematic review. Readability scores were calculated for all messages (using a readability tool available at: <a href="https://www.webpagefx.com/tools/read-able/check.php">https://www.webpagefx.com/tools/read-able/check.php</a>) and reported in Tables 2-3. To reduce the likelihood of confounding effects, the alternative messages have a similar word count and identical readability scores of 8 (i.e. they should be easily understood by 13 to 14 years old).

Level of pollution	Usual messages of UK AQI*		Alternative messages (targeting message specificity)		
	General public	At risk	General public	At risk	
Moderate 4-6	Enjoy your activities as usual <sup>a</sup>	Adults and children with lung problems, and adults with heart problems, who experience symptoms, should consider reducing strenuous physical activity, particularly outdoors. <sup>b</sup>	Enjoy your activities as usual. However, if you wanted you, you can reduce your exposure to pollution by reducing levels and length of physical activity outdoors.	Adults and children with lung problems, adults with heart problems, and older people, should reduce levels and length of physical activity outdoors.	
			You can also consider changing: • Travel route or • Exercise location (e.g. use our app to find less polluted roads or parks) or • Time (e.g. mornings or less polluted times). <sup>g</sup>	<ul> <li>Where possible, change:</li> <li>Travel route or</li> <li>Exercise location (e.g. use our app to find less polluted roads or parks) or</li> <li>Time (e.g. mornings or less polluted times). <sup>h</sup></li> </ul>	
High 7-9	Anyone experiencing discomfort such as sore eyes, cough or sore throat should consider reducing activity, particularly outdoors. <sup>c</sup>	Adults and children with lung problems, and adults with heart problems, should reduce strenuous physical exertion, particularly outdoors, and particularly if they experience symptoms. People with asthma may find they need to use their reliever inhaler more often. Older people should also reduce physical exertion. <sup>d</sup>	Anyone who experiences sore eyes, cough or sore throat should consider limiting their exposure to air pollutants. To do this, you don't need to stay indoors, instead you can reduce levels and length of physical activity outdoors.	Adults and children with lung problems, adults with heart problems, and older people, should reduce levels and length of physical activity outdoors. People with asthma may find they need to use their reliever inhaler more often.	
			You can also consider changing: • Travel route or • Exercise location (e.g. use our app to find less polluted roads or parks) or	Where possible, change: • Travel route or • Exercise location (e.g. use our app to find less polluted roads or parks) or	

#### Table 2 The health advice associated with the current UK AQI compared with the alternative/more detailed health messages developed for this study.

			<ul> <li>Time (e.g. mornings or less polluted times).<sup>1</sup></li> </ul>	• Time (e.g. mornings or less polluted times). <sup>i</sup>
Very high 10	Reduce physical exertion, particularly outdoors, especially if you experience symptoms such as cough or sore	Adults and children with lung problems, adults with heart problems, and older people, should avoid strenuous physical activity. People with asthma may find they need to use their reliever inhaler more often. <sup>f</sup>	Reduce levels and length of physical exertion, in particular outdoors, even more so if you experience symptoms such as cough or sore throat.	Adults and children with lung problems, adults with heart problems, and older people, shoul avoid intense physical activity.
	throat. <sup>e</sup>		Where possible, change: • Travel route or • Exercise location (e.g. use our app to find less polluted roads or parks)	People with asthma may find they need to use their reliever inhaler more often.
			or • Time (e.g. mornings or less polluted times). <sup>1</sup>	<ul> <li>Where possible, change:</li> <li>Travel route or</li> <li>Exercise location (e.g. use our ap to find less polluted roads or parks or</li> <li>Time (e.g. mornings or less</li> </ul>

Note: For each message word count and readability score are reported (i.e. higher scores indicate more complex sentences). a. Word count: 5, readability score: 12 (It should be easily understood by 17 to 18 year olds); b. Word count: 22, readability score: 20; c. Word count: 17, readability score: 18 (understood by 23 to 24 year olds); d. Word count: 45, readability score: 14 (understood by 19 to 20 year olds); e. Word count: 16, readability score: 16 (understood by 21 to 22 year olds); f. Word count: 32, readability score: 12; g. Word count: 53, readability score: 7 (understood by 12 to 13 years old); h. Word count: 49, readability score: 8 (understood by 13 to 14 years old); i. Word count: 62, readability score: 8; l. Word count: 50, readability score: 8. These messages were reviewed by both the ERG and PPI groups.

Targeted Variable	General population	At risk - other	At risk: lung-specific	At risk: heart-specific	At-risk: combo
Response efficacy	Taking a side street route cuts a person's exposure to air pollution by half	Taking a side street route cuts a person's exposure to air pollution by half	Taking a side street route cuts a person's exposure to air pollution by half	Taking a side street route cuts a person's exposure to air pollution by half	Taking a side street route cuts a person's exposure to air pollution by half
			If you have a preventer inhaler, using it every day will reduce the inflammation that causes asthma. Your reliever inhaler will help to ease symptoms straight away.		If you have a preventer inhaler, using it every day will reduce the inflammation that causes asthma. Your reliever inhaler will help to ease symptoms straight away
Response costs (i.e. negative consequences associated with the recommended behaviour)	The CityAir App can help you find side street routes, which do not necessarily add to your total travel time, and may give you a more pleasant journey.	The CityAir App can help you find side street routes, which do not necessarily add to your total travel time, and may give you a more pleasant journey.	The CityAir App can help you find side street routes, which do not necessarily add to your total travel time, and may give you a more pleasant journey.	The CityAir App can help you find side street routes, which do not necessarily add to your total travel time, and may give you a more pleasant journey.	The CityAir App can help you find side street routes, which do not necessarily add to your total travel time, and may give you a more pleasant journey.
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_			People with asthma may worry about using their preventer inhalers every day. However, you should know that these medications are safe to use daily. They should also reduce your need for the reliever inhaler		People with asthma may worry abou using their preventer inhalers every day. However, you should know that these medications are safe to use daily. They should also reduce your need for the reliever inhaler

# Table 3 A few examples of additional messages developed and aiming to target specific psychosocial factors.

The table reports the specific variable targeted by the messages, and who is the target of the messages. Although the majority of massages targeting the at-risk group are identical, some are slightly adjusted depending on whether people had a pre-existing lung and/or heart disease, or if they report to be at risk for other conditions including old age. This is to make sure that participants are not receiving messages irrelevant to them, as this may lead to confusion, loss of interest, and mistrust (e.g. (33)). The average readability scores were 9.3 (i.e. easily understood by 14 to 15 year olds) and 9.8 (i.e. easily understood by 15 to 16 year olds), for the general population and at-risk groups (all messages considered) respectively. These messages were reviewed by both the ERG and PPI groups.

# **5.6 MEASURES**

#### Table 4. Summary of measures.

Timing	Measure	How it will be measured
First Online	Baseline intentions <sup>a</sup>	Assuming the situation described was happening right now, how much would you agree
Questionnaire	to adopt protective	with the following statements?
Questionnaire	behaviours in	- I intend to follow the recommendations received with the air alert to reduce exposur
<ul> <li>After reading hypothetical</li> </ul>	response to	to air pollution
nigh air pollution scenario	hypothetical, high air	- I will avoid going outdoors
	pollution alert	- I intend to reduce length or level of my physical activity outdoors
		- I intend to change my travel route
		- I intend to change my exercise location
		- I intend to change the time when I travel
		- I intend to change the time when I exercise outdoors
	Baseline medication	If you have lung problems:
	adherence	- I intend to use my preventer inhaler daily
	intentions <sup>a</sup>	<ul> <li>I intend to carry my reliever inhaler with me</li> </ul>
	Other baseline	
	intention <sup>a</sup>	
	intention	
	(not advised	- I will wear a mask as a protection from air pollution
	behaviours)	<ul> <li>I intend to reduce length or level of my physical exercise indoors</li> </ul>
	Draviaus protactiva	
	Previous protective behaviour <sup>b</sup>	- In the past 4 weeks, how often have you taken action to reduce exposure to air
	Dellaviour	pollution, I response to hearing or reading an air quality forecast?
	Planning	In the last 4 weeks, to reduce your exposure to air pollution, have you considered
		making permanent changes to your daily travel route or exercise place and time? $^{\circ}$
		In the last 4 weeks, how often have you checked air quality information before doing
		physical activity outdoors? <sup>b</sup>
	Physical activity <sup>d</sup>	<sup>-</sup> To stay healthy, the NHS recommends at least 150 minutes of moderate physical
		activity (e.g. cycling, fast walking, swimming) every week (e.g. 30 minutes 5 days a
		week). Based on this, how physically active were you in the last week?
	Symptoms <sup>c</sup>	Have you over experienced symptoms caused by air pollution?
Air Alert period –	Alert recall <sup>e</sup>	<ul> <li>Have you ever experienced symptoms caused by air pollution?</li> <li>Recently we sent you an air quality alert about poor air quality. What was the level c</li> </ul>
All Alert period -	Alert recall	air pollution reported?
Online questionnaires	Actual Behaviour	In response to receiving the air quality alert:
		in response to receiving the un quanty diert.
	change <sup>c</sup>	- I reduced length or level of my physical activity outdoors
		- I changed my travel route
		- I changed my exercise location
		- I changed the time when I travelled
		- I changed the time when I exercised outdoors
		<ul> <li>If you answered no, please report the reasons [text box].</li> </ul>
	Medication	If you have lung problems:
	adherence <sup>c</sup>	
		- I used my preventer inhaler daily
		- I carried my reliever inhaler with me when going outdoors.
		<ul> <li>If you answered no, please report the reasons [text box].</li> </ul>
Final Online Questionnaire –	Behaviour change at	- In the past 4 weeks, how often have you taken action to reduce exposure to air
at 4 weeks	4 weeks <sup>b</sup>	pollution, I response to hearing or reading an air quality forecast?
	Physical activity <sup>d</sup>	- To stay healthy, the NHS recommends at least 150 minutes of moderate physical
	i nysicai activity	activity (e.g. cycling, fast walking, swimming) every week (e.g. 30 minutes 5 days a
		week). Based on this, how physically active were you in the last week? <sup>e</sup>
	Action planning	
	Action planning	<ul> <li>In the last 4 weeks, to reduce your exposure to air pollution, have you considered making normanent changes to your daily travel route or oversice place and time?</li> </ul>
		making permanent changes to your daily travel route or exercise place and time? <sup>c</sup>
		In the last 4 weeks, how often have you checked air quality information before doing the size least it is a taken 2 h
		physical activity outdoors? <sup>b</sup>
	Worry <sup>a</sup>	Adapted from Witte et al. (34)
		- The information received through the CityAir app made me worry about the

	- The information received through the CityAir app made me nervous and tense about the possibility of suffering health effects from exposure to air pollution
Severity <sup>a</sup>	Adapted from Witte et al. (34)
Conditional	<ul> <li>Air pollution is a severe threat to my health</li> <li>How likely do you think you are to suffer from health effects due to air pollution if you</li> </ul>
susceptibility <sup>f</sup>	- How likely do you think you are to suffer from health effects due to an ponation if you do not take any action to reduce exposure?
susceptionity	- How likely do you think people of your same age and sex are to suffer from health
	effects due to air pollution if they do not take any action to reduce exposure?
Response efficacy <sup>a</sup>	Adapted from Witte et al. (34)
	- Following the health advice received through the CityAir app is effective in protecting
	me from exposure to air pollution
Medication response	If you have lung problems:
efficacy <sup>a</sup>	- Taking my preventer medications daily is effective in helping me to control my
	asthma
Self-efficacy <sup>a</sup>	- Carrying my reliever medication with me is an effective way to protect my health Items adapted from Witte et al (34) and Rhode et al (35)
Self efficacy	
	- I am confident I would be able to follow the health advice received through the
	CityAir app, if I wanted to
Medication self-	Items adapted from Witte et al (34) and Rhode et al (35)
efficacy <sup>a</sup>	- If you have lung problems:
	I am confident I would be able to take my preventer inhaler every day , if I wanted to
	- I am confident I would be able to remember to carry my reliever inhaler with me
	during days of poor air quality, if I wanted to
Response costs <sup>a</sup>	- I do not have enough time to follow the health advice received through the CityAir
	арр
	- Taking side roads makes my journey too long
Medication response	If you have lung problems:
costs <sup>a</sup>	- Using the proventer inhaler daily is not safe
	<ul> <li>Using the preventer inhaler daily is not safe</li> <li>Using the preventer inhaler daily makes me dependent on it</li> </ul>
Unwanted	<ul> <li>In the last 4 weeks I stopped exercising altogether due to receiving alerts about poor</li> </ul>
consequences of	air quality
intervention <sup>a</sup>	- In the last 4 weeks, I made an emergency /unplanned visit to A&E or visited my GP
	due to symptoms caused by air pollution
Sensory cue	
prevalence <sup>a</sup>	- I trust my own perception of air quality more than any official air quality alert
Credibility <sup>g</sup>	Mover's credibility index (26.27) $(\alpha - 67)$
Clarity <sup>h</sup>	- Meyer's credibility index (36,37) (α=.67)
Perceived informed	- Overall, how clear were the messages received?
choice <sup>a</sup>	- I received enough information to make an informed choice on how to reduce my
labard'an At	exposure to air pollution
Intentions <sup>a</sup> to adopt	[After reading again the high air pollution hypothetical scenario and tailored advice]
protective behaviour in response to	- I intend to follow the recommendations received with the air alert to reduce exposure
In response to hypothetical, high air	to air pollution
pollution alert	- I will avoid going outdoors
	- I intend to reduce length or level of my physical activity outdoors
	- I intend to change my travel route
	- I intend to change my exercise location
	<ul> <li>I intend to change the time when I travel</li> </ul>
Medication	I intend to change the time when I exercise outdoors     If you have lung problems:
adherence	ין אסט חעיב ועווץ פוסטובוווג.
	- I intend to use my preventer inhaler daily
intentions <sup>a</sup>	
intentions <sup>a</sup>	- I intend to carry my reliever inhaler with me
intentions <sup>a</sup> Other intentions (not	- Tintena to carry my reliever innaler with me
	<ul> <li>I intend to carry my reliever innaler with me</li> <li>I will wear a mask as a protection from air pollution</li> </ul>

v.3

Notes: <sup>a</sup> Measured on 9-point scales, where 1=strongly disagree to 9=strongly agree; <sup>b</sup> From 1 Not at all to 9 all of the time; <sup>c</sup> Possible answers: yes, no, not sure; <sup>d</sup> From 1 Not at all, to 7 more than 150 minutes; <sup>e</sup> Possible answers: Low, Moderate, High, Very High, Not sure, I did not receive any alert; <sup>f</sup> From 1=not at all likely to 9=extremely likely; <sup>g</sup> on a 5-point scale; <sup>h</sup> 1= not clear at all to 9= extremely clear. Demographic data will be collected for all the participants in the first questionnaire.

#### 6. STATISTICAL ANALYSES 6.1 Quantitative data

ANCOVAs will be performed for all the intentions measures in relation to the high air pollution hypothetical scenario, adjusting for baseline measures collected about one month earlier (target population and intervention/control group entered as fixed factors). Both main and interaction effects will be tested. ANCOVAs will also be performed for the actual behaviour change at 4 weeks (with the intervention/control group entered as fixed factor). These latter analyses will also be ran in the subgroups of healthy and at-risk participants. Chi square tests will be performed to analyse differences in proportion of self-reported actual behaviour change between groups, in relation to a real moderate air pollution episode. A two-way MANOVA (1000 bootstraps) will test whether there are differences between groups (both main effects and interactions) in perceived susceptibility, worry, severity, perceived response efficacy, perception of time, self-efficacy, trust, clarity, credibility and perceived informed choice measures. Multiple linear regressions will be used to assess the associations between main predictor variables and self-reported behaviour change at 4 weeks. Mediations effects of predictor variables on significant behavioural changes will be tested using PROCESS macro (38).

# 6.2 Qualitative data

Qualitative data will be subject to content analysis, with the aim of summarising and systematising the data (39). Respondents' answers will be read repeatedly to familiarise the researcher with the data. Deductive analysis will be used to identify barriers and facilitators to behaviour change in response to receiving air quality alerts. The identified factors will be categorised within the components of the COM-B model (29) to facilitate a comparison with previous literature. Triangulation with a member of the research team will be used to validate the themes developed.

# 7 Consent

As this research uses online surveys, no written consent will be taken. However, all potential participants will be provided with full details in the participant information sheet (PIS), which clearly states that participation is entirely voluntary, and that completing any surveys online will indicate their consent to participate.

The PIS will be made available to potential participants both when first contacted via the app. They will have all the time they want to consider participation, and to get in touch with the researchers for questions and clarifications.

Participants will be also informed that while they can withdraw from participation at any time, once they have submitted their answers, they will not be able to withdraw their data.

# 8 ETHICAL AND REGULATORY CONSIDERATIONS

# 8.1 Assessment and management of risk

As part of the study participants will be presented with one of two versions of health advice associated with real-time air quality notifications. All registered users who accept to take part in the study will receive real-time air quality notifications as usual. The control group will receive associated health advice in the usual format,

whilst the intervention group will receive advice in an alternative format. Which version of the advice they are given will be determined by random. Because we do not want to bias participants, we will not tell them exactly which parts have been changed. In line with the same need to avoid biasing participants, we will withhold one of the details of the hypothesis under test (i.e., that we want to specifically test whether the change in wording will have an impact on their intention and actual responses to the information received).

We have no reasons to believe that this may lead to any discomfort or known risks. However, to obviate any potential risk arising from this, we will provide a debriefing at the end of the study (via the online survey or via the app) and provide participants with all the researchers' contact details for doubts or concerns. The debriefing will explain the study hypotheses, methods and potential implications, and thank them for their contribution.

# 8.2 Research Ethics Committee (REC) review & reports

- Ethics approval granted by the BDM Research Ethics Panel at King's College London on the 2<sup>nd</sup> March 2017 [ref: LRS-16/17-4286].
- All correspondence with the REC will be retained.

# 8.4 Patient & Public Involvement

All research materials and questionnaires will be reviewed by experts from the ERG, and tested through Patient and Public Involvement (PPI) workshops. In particular, members of a PPI panel will review the Participant Information Sheet, the study procedure and participants' proposed involvement, and all the study material that the participants will read.

# 8.7 Data protection and patient confidentiality

In accordance with the UK Data Protection Act 1998, to the best of our ability, participants' answers in this study will remain confidential. The questionnaires are anonymous – we will not ask for participants' name. We will be using the data from participants in aggregate. The responses of individual participants cannot be identified, and any reports or publications from this research will provide only broad descriptions of the sample, for example, noting the age, gender, ethnicity, occupation and health status.

As with any online related activity the risk of a breach is minimal but always possible, however we will minimize any risks by storing electronic data in a secure password-locked computer files. No data will be accessed by anyone other than the research team. Anonymity of the material will be protected by using anonymous participant ID numbers. Any electronic data containing sensitive confidential data (including email addresses) will be stored in password-protected files in King's College computers and deleted after data collection is complete.

# 9 DISSEMINIATION POLICY

The research data will be used for publication in DD's PhD thesis, in scientific journals, and conferences. This will be clearly stated in the Participant Information Sheet.

# 10.2 Appendix 1 – Schedule of Procedures

Procedures	

	Screening	Baseline	Weeks 1 to 3	Week 4
Informed consent	x			
Demographics		x		
Health status		х		
Baseline intention		x		
Actual adherence			х	х
End of study intentions				х

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