1	Clinical Efficacy of Cefixime for Treatment of Early Syphilis
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4 Clinical Trial Protocol to Evaluate the Efficacy of Cefixime in the 5 Treatment of Early Syphilis

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10

11 Abstract

12 Background: Syphilis rates have been increasing both in the US and internationally with 13 incidence higher among men-who-have-sex-with-men and people living with human 14 immunodeficiency virus (HIV) infection. Currently, benzathine penicillin is the recommended 15 treatment for syphilis in all patients. Global shortages and cost increases in benzathine penicillin 16 call for alternative treatment options. This study evaluates the efficacy of oral cefixime for the 17 treatment of early syphilis. 18 Methods: We are conducting a randomized, multisite, open-label, non-comparative clinical trial 19 in Los Angeles and Oakland, California. Eligible participants are >18 years old, with primary, 20 secondary or early latent syphilis (Rapid Plasma Reagin [RPR] titer ≥1:8). Patients with HIV 21 infection must have a viral load ≤ 200 copies/mL and CD4+ T cell count ≥ 350 cells/µl during the 22 past 6 months. Participants are randomized to receive either 2.4M IU benzathine penicillin G 23 intramuscularly once or cefixime 400mg orally twice a day for 10 days. Participants return at 3, 6, 24 and 12 months post-treatment for follow-up RPR serological testing. The primary outcome is the

25 proportion of participants who achieve ≥4-fold RPR titer decrease at 3- or 6-months post-

treatment.

Discussion: Clinical trials evaluating the efficacy of alternative antibiotics to penicillin are urgently
 needed.

29 Trial Registration: Clinicaltrials.gov, NCT03660488. Registered September 4, 2018,

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32 Keywords

33 Clinical Trial, Cefixime, Treponema pallidum, penicillin, early syphilis, syphilis

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36 Administrative information

Title {1}	Clinical Trial Evaluating the Clinical Efficacy of Cefixime in Treatment for Early Syphilis
Trial registration {2a and 2b}.	ClinicalTrials.gov Identifier: NCT03660488 (1)
Protocol version {3}	Version 9; 11.20.2019
Funding {4}	AIDS Healthcare Foundation research grant (Grant #20181796)
Author details {5a}	 Department of Medicine, David Geffen School of Medicine at UCLA, Los Angeles, CA/United States of America Department of Medicine, AIDS Healthcare Foundation, Los Angeles, CA/United States of America Public Health Division, AIDS Healthcare Foundation, Los Angeles, CA/ United States of America
Name and contact information for the trial sponsor {5b}	AIDS Healthcare Foundation

Role of sponsor {5c}	The sponsor played no part in study design; and will play no part in the collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication.

39 Introduction

40 **Background and rationale {6a}**

Syphilis rates have been increasing both in the US and internationally, with incidence higher among men-who-have-sex-with-men and people living with human immunodeficiency virus (HIV) infection (2-4). Currently, benzathine penicillin is the recommended treatment for syphilis in all patients, including those living with HIV infection. Doxycycline and tetracycline are available alternative treatments for non-pregnant patients who are allergic to penicillin (5-8). Injectable daily ceftriaxone is another alternative treatment that may be considered and is safe in pregnancy, as a recent review from our team showed (9).

48 Existing alternative treatment recommendations are based on clinical experience, a limited number 49 of small clinical trials, and case series (5-7, 10). However, each regimen poses clinical challenges. 50 Doxycycline/tetracycline require 14 days of treatment by mouth, with tetracycline requiring four 51 daily doses. Ceftriaxone is administered intramuscularly, just like penicillin, but it requires daily 52 injections for 10-14 days, making adherence potentially problematic. In pregnancy, only benzathine 53 penicillin is recommended due to potential toxic effects of the alternatives or due to insufficient 54 efficacy data (WHO). Shortages of benzathine penicillin worldwide have led to the use of unproven 55 non-penicillin alternatives (10-12).

56 Considering the high cost and time required for developing and approving new antibiotics that can 57 treat syphilis in patients with and without HIV infection, a new approach for identifying new, safe, 58 and efficacious antibiotic treatments for syphilis is necessary. Previously Food and Drug 59 Administration (FDA)-approved antibiotics, that are safe and efficacious in other infections and have 60 a favorable pharmacologic profile suggesting activity against *Treponema pallidum*, may be effective 61 alternatives for treating syphilis.

62 Cefixime is an FDA-approved orally administered third-generation cephalosporin with spectrum of
 63 activity and pharmacokinetic profile similar to that of ceftriaxone, a drug which has been used for the

treatment for syphilis (13). Cefixime is clinically used for uncomplicated urinary tract infections, upper respiratory tract bacterial infections and in the treatment of uncomplicated *Neisseria gonorrhoeae* genital infection (14). To our knowledge, it has never been studied as a treatment for early syphilis.

68 Cefixime has a well-studied pharmacokinetic profile (14-19). Unlike other alternatives for syphilis, 69 adverse event profiles are favorable with cefixime in non-pregnant as well as pregnant patients (20, 70 21). Nearly 40-50% of the dose is absorbed when it is given orally, whether administered with or 71 without food. Peak concentrations occur between 2 and 6 hours following oral administration of a 72 single 400mg tablet. A single 400mg tablet produces an average peak concentration of approximately 73 3.7µg/mL (range 1.3-7.7µg/mL). Typical blood levels of cefixime after a single dose of cefixime 400 74 mg by mouth are 4.84 µg/ml maximum at 4 hours and above 1.0 µg/ml at 12 hours. Serum protein 75 binding is concentration independent with a bound fraction of approximately 65%. Cefixime is 76 moderately distributed into extracellular water/tissue pools. Its half-life averages to 3-4 hours but 77 may range up to 9 hours in healthy volunteers. Approximately 50% of the absorbed dose is excreted 78 unmodified in the urine within 24 hours and nearly 10% is excreted in bile (10).

We therefore believe that cefixime's pharmacokinetic similarity to ceftriaxone and its safety in the treatment of pregnant women could potentially make it a viable option in the treatment of early syphilis.

82

83 **Objective {7}**

The primary objective of our study is to determine the efficacy of cefixime 400mg, taken orally two times a day (BID) for 10 consecutive days. Our hypothesis is that cefixime would be an efficacious treatment for early syphilis.

87

88 Trial design {8}

89	This is a randomized, open-label, non-comparative pilot clinical trial. Participants will be randomly
90	assigned (1:1 allocation) to receive either the standard of care benzathine penicillin injection or 10-
91	days of oral cefixime. The study will require 2 years to be completed and each participant will be part
92	of the study for one year.
93	
94	Methods: Participants, interventions and outcomes
95	Study setting {9}
96	The study will take place in 3 primary care HIV healthcare clinics and 1 wellness center of the
97	AIDS Healthcare Foundation in Los Angeles and Oakland, California. Healthcare clinics offer HIV
98	and sexually transmitted infection (STI) primary care services while wellness centers are walk-in
99	comprehensive sexual health clinics that offer HIV/STI screening services, STI treatment and other
100	prevention services.
101	
102	Eligibility criteria {10}
103	The inclusion criteria are:
104	1) Clinically or laboratory confirmed new cases of early syphilis (primary, secondary, early

105 latent syphilis) with a plasma Rapid Plasma Reagin (RPR) \geq 1:8

- 106 2) 18 years of age or older, capable of providing informed consent
- 107 3) HIV infected individuals must have CD4 T cll count≥350 cells/mm³ and be virologically
 108 suppressed (viral load < 200 copies/mL) during the past 6 months
- 4) Able to travel to clinic once a day or be available for phone calls or receive text message for
 at least 7-10 days
- 111 The exclusion criteria are:
- 112 1) Allergy to cefixime or penicillin
- 113 2) Pregnancy or a positive pregnancy test

114	3) Serofast RPR titer (prior titer $\geq 1:8$ without history of 4-fold titer decline)		
115	4) Recent (within the past 7 days) or concomitant antimicrobial therapy with activity against		
116	syphilis, namely azithromycin, doxycycline, ceftriaxone or other beta lactam antibiotics (e.g.		
117	amoxicillin)		
118	5) A medical condition or other factor that might affect their ability to follow the protocol		
119			
120	Who will take informed consent? {26a}		
121	Patients must provide written, informed consent before any study procedures occur (randomization,		
122	blood sample collection, treatment). Consent will be obtained by a study team member in a private		
123	examination room.		
124			
125	Additional consent provisions for collection and use of participant data and biological		
126	specimens {26b}		
127	Patients will also sign a Health Insurance Portability and Accountability Act (HIPAA) release form		
128	allowing access to clinical and laboratory data, including their HIV test results.		
129			
130	Interventions		
131	Explanation for the choice of comparators {6b}		
132	This is a pilot, non-comparative clinical trial designed to collect preliminary efficacy data. It		
133	includes an "experimental arm" of participants receiving cefixime and a contemporaneous "control		
134	arm" of participants receiving benzathine penicillin. The study was not designed to be adequately		
135	powered to show a statistically significant difference in the efficacy between the penicillin and		
136	cefixime arms.		
137			
138	Intervention description {11a}		

139 Eligible participants who provide their consent are randomized to the two arms of the study. 140 Initially, the study team collects demographic (age, gender, race, ethnicity, contact, sexual 141 orientation) and clinical information (most recent RPR titer, CD4 T cell count, HIV viral load). A 142 venipuncture blood sample is collected by trained clinic staff and it is sent to the laboratory for 143 testing. Testing is conducted on serum using the Arlington scientific RPR test kit (Arlington, 144 Virginia) (22). Participants are randomly assigned to the two treatment groups. Participants 145 assigned to the penicillin arm will receive 1 dose of 2.4M IU benzathine penicillin G on the day of 146 enrollment. Participants who are assigned to the cefixime arm will be given 20 capsules of oral 147 cefixime 400mg on the day of enrollment to take for the following 10 days. Study staff observed 148 receipt of the first dose. Subjects in the cefixime arm will then be asked to return for a clinical 149 assessment or have a phone call assessment with the study team member 2 weeks following 150 enrolment.

Study staff will follow up with all participants at 3, 6, and 12 months. In each follow up, participants are asked questions regarding symptoms, antibiotic use in the past 3 months and number of sex partners with whom they had condom-less sex in the past 3 months. A new venipuncture blood sample is also collected for RPR testing

155

156 Criteria for discontinuing or modifying allocated interventions {11b}

157 Participants may request to leave the study or they may be withdrawn due to study-related adverse 158 events. If a subject is discontinued from study participation due to an adverse event, they will be 159 evaluated by the study clinicians for the need of additional treatment for syphilis. Safety data will 160 be collected on any subject who is withdrawn from the study.

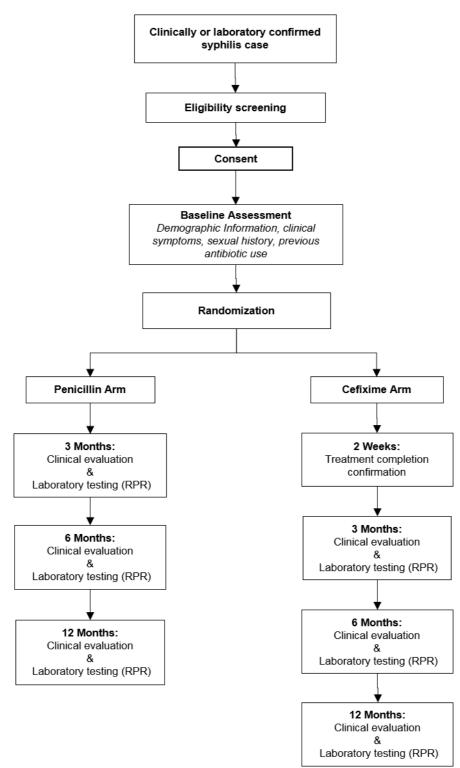
161 Participants in both study groups may receive additional treatment with penicillin, if they show no

162 response to treatment (stable or absence of 4-fold decline at 6 months).

164 Strategies to improve adherence to interventions {11c}

165	To ensure retention of participants, follow-up visits will be scheduled to coincide with routine clinic
166	appointments for HIV care or preventive sexual health appointments, which occur every three
167	months. In addition, study staff will contact participants, either over the phone or via text message,
168	before their scheduled follow-up appointment. Finally, participants will receive reimbursement for
169	their time and transportation in the form of a gift card.
170	
171	Relevant concomitant care permitted or prohibited during the trial {11d}
172	Usual HIV care and treatment for the participant will continue throughout the trial. Concomitant
173	antibiotic use during the participation in the study duration of the trial will be recorded.
174	
175	Provisions for post-trial care {30}
176	Once participants complete the study, they will be able to continue receiving clinical care from the
177	clinics. Participants study records will be reviewed and if necessary, additional treatment for
178	syphilis will be administered according to the standard of care protocol.
179	
180	Outcomes {12}
181	The primary outcome is the successful treatment of early syphilis by the 3-, or 6-month follow-up.
182	The participants' RPR titer will be used as the primary measure of outcome. Successful treatment is
183	defined as an equal or greater than 4-fold RPR titer decrease, from baseline to 3 or 6 months after
184	treatment.
185	
186	Participant timeline {13}
187	Participants will be part of the study for 12 months. Study evaluations will occur at 3, 6- and 12-
188	months post-treatment. See Figure 1 for the participant timeline for the trial.

190 Figure 1: Participant Enrollment and Follow-Up Schedule



191

192

193 **Sample size {14}**

194 The primary analysis will compute the proportion of subjects with a 4-fold decrease (from study 195 entry RPR) in RPR titer from baseline at 6 months in the per protocol analysis population. If we 196 assume a sample size of 40 and the proportion of subjects with a 4-fold decrease in RPR from 197 baseline at 6 months to be 0.9 (90%), we would have a 95% confidence interval of (0.76, 0.97). To 198 calculate the number of subjects required to enroll to reach 40 evaluable subjects in the PP analysis 199 population, the assumptions that 20% of subjects will be excluded from the PP analysis population 200 (due to loss to follow-up or non-compliance with study medication schedule). Under that 201 assumption, enrolling 50 subjects will provide 40 subjects in the PP analysis population.

202

203 Recruitment {15}

Participant recruitment will occur in 4 AIDS Healthcare Foundation (AHF) Clinics based in Los
Angeles, California and Oakland, California. Study clinicians will review the medical and
laboratory records of syphilis cases returning for treatment before the scheduled clinical visit and if
the participant fulfils the eligibility criteria, they will be invited to participate in the study.

209 Assignment of interventions: randomization

210 Sequence generation {16a}

After consent, participants are randomly assigned to the study arms with a 1:1 allocation. We will use a simple randomization method with a shuffled deck of sealed envelopes that contain a card with the assigned treatment. The cards are created before the enrollment period and distributed to each of the study sites. No other factors will be taken into consideration for randomization.

215 Concealment mechanism {16b}

216 The envelopes containing the randomization cards are sealed, thus the team member conducting

217 enrollment does not know the content of the envelope.

218	Implementation {16c}

219 The study staff will ask the participant to select a sealed envelope from the shuffled deck. After

selecting the envelope, the participant will reveal the treatment to themselves and the study staff.

221

222 Assignment of interventions: Blinding

223 Who will be blinded {17a}

224 This is an open label clinical trial, while neither the participants nor the study staff will be blinded

225 to the assigned treatment, staff performing statistical analyses will be masked to treatment

assignment.

227 **Procedure for unblinding if needed {17b}**

228 Not applicable.

229 Data collection and management

230 Plans for assessment and collection of outcomes {18a}

A venipuncture blood sample will be collected at 3, 6, and 12 month visits and it will be tested for

232 RPR titer.. Study data collected on baseline include basic demographic information, sexual history,

233 laboratory tests (CD4 count, viral load, RPR titer). On each follow up visit, sexual history,

antibiotic use, symptoms and the RPR titer will be collected. Data will be collected on paper data

collection forms and will be entered into Research Electronic Data Capture (REDCap) (23, 24).

236 Plans to promote participant retention and complete follow-up {18b}

237 Study follow-up visits are scheduled to coincide with routine clinic appointments within AHF.

238 Study staff will send participants a 1 month, 2 week, and 1 day notification prior to their follow-up

appointment.

240 Data management {19}

241	Participant data will be collected on paper data collection forms and entered into RedCap. Data that
242	will be entered into RedCap include participant information (name, date of birth, medical record
243	number, contact information) and laboratory results.
244	Confidentiality {27}
245	Redcap servers are encrypted, HIPAA-compliant, password protected and accessible only by
246	designated study members. Hard copy data collection forms will be stored into a locked cabinet
247	with limited access only to designated members.
248	
249	Plans for collection, laboratory evaluation and storage of biological specimens for genetic or
250	molecular analysis in this trial/future use {33}
251	There are no plans in this trial to evaluate or store biological specimen for genetic or molecular
252	analysis for future use.
253	
254	Statistical methods
255	Statistical methods for primary and secondary outcomes {20a}
256	The primary analysis for the main outcome will be conducted on the "Per Protocol" (PP)
257	population. This will include participants who satisfy the inclusion and exclusion criteria,
258	completed treatment (i.e. received the penicillin injection or received all of the cefixime pills),
259	report no adverse events, returned for follow-up visits (3 and/or 6 months) and have an evaluable
260	RPR result.
261	For each treatment group, we will calculate the proportion of PP participants who achieved a 4-fold
262	RPR titer decrease at 3, or 6-months post-treatment and the exact binomial 95% confidence
263	interval.
264	

265	Interim analyses {21b}
266	A summary of the enrollment progress, treatment success proportions, adverse events and protocol
267	deviations will be provided to the Data Safety Monitoring Board members.
268	
269	Plans to give access to the full protocol, participant level-data and statistical code {31c}
270	The protocol of the study is publicly available on clinicaltrials.gov (NCT03660488). Deidentified
271	data will be available upon request to the study Primary Investigator.
272	
273	Oversight and monitoring
274	Composition of the coordinating center and trial steering committee {5d}
275	The immediate study research team based in the University of California of Los Angeles meet on a
276	weekly basis. The immediate team is joined by a wider team of AHF study clinicians, based in
277	study clinics, who also meet on a weekly basis.
278	
279	Composition of the data monitoring committee, its role and reporting structure {21a}
280	The Data and Safety Monitoring Board (DSMB) will be composed of a physician, biostatistician,
281	regulatory affairs specialist/ethicist and will oversee the study throughout the 2-year study period.
282	They will review study activities every 6 months. The committee will review safety data and
283	clinical efficacy reports and report their decision to the Primary investigator.
284	
285	Adverse event reporting and harms {22}
286	The study site investigators will report serious adverse events and adverse events to the responsible
287	IRB for that study site in accordance with respective IRB policies and procedures. Follow-up

information to a reported adverse event will be submitted to the IRB as soon as the relevant information is available.

290

308

291	Frequency and plans for auditing trial conduct {23}
292	The trial and individual clinic sites will be audited at least once during the duration of the study by
293	the study sponsor (AIDS Healthcare Foundation).
294	
295	Plans for communicating important protocol amendments to relevant parties (e.g. trial
296	participants, ethical committees) {25}
297	Amendments will be submitted to the IRB according to policies and guidance. Any protocol
298	changes will be promptly communicated to the IRB, the DSMB and the study team.
299	
300	Dissemination plans {31a}
301	We plan to disseminate study results through peer-reviewed journal publications and conference
302	presentations. Study findings will also be shared with relevant clinical and scientific groups.
303	
304	Discussion
305	This is a randomized, non-comparative, pilot study evaluating the efficacy of daily oral cefixime
306	400mg for 10 days for the treatment of early syphilis. To our knowledge, this is the first study
307	assessing the efficacy of cefixime for early syphilis. As syphilis rates increase and penicillin

- 309 efficacious for both pregnant and non-pregnant populations, regardless of HIV status are needed (6-

shortages continue to occur in the United States and worldwide, alternative treatments that are

8). Already approved and antibiotics in clinical use with favourable pharmacokinetic profile, such

311	as cefixime, should be clinically evaluated for alternative treatment options.
312	Data from this pilot study could be used as a foundation to assess the clinical effectiveness for
313	cefixime in early syphilis treatment. Currently, our study is being conducted among non-pregnant
314	individuals. However, subsequent clinical studies should also include women and pregnant women
315	to address the gap in the treatment of maternal syphilis.
316	
317	Trial status
318	Recruitment was initiated in September 16 th , 2018 and is currently ongoing. The current protocol
319	version is version 9 (11/20/2020).
320	
321	Declarations
322	Authors' contributions {31b}
323	SM led the writing of the manuscript. CS led the proposal and protocol development and
324	contributed to the writing of the manuscript. DT, PB, CO, and CM contributed to clinical and
325	logistical aspects of protocol development. JDK led the proposal and protocol development and
326	developed the treatment algorithm for the protocol. All named authors adhere to the authorship
327	guidelines of Trials. All authors have approved the final manuscript and agreed to publication.
328	
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330	Science (NCATS) UCLA CTSI Grant Number UL1TR001881.
331	Funding {4}
332	The trial is funded by AHF (Grant #20181796). The funding body had no role in the design of the
333	study, collection of data or the writing of this paper, nor will the funding body have a role in
334	analysis, interpretation of data or in writing future manuscripts.

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336	Availability of data and materials {29}
337	The datasets analyzed during the current study are available from the corresponding author on
338	reasonable request.
339	
340	Ethics approval and consent to participate {24}
341	The study was approved by Western IRB (IRB# 20181796) and UCLA IRB (IRB# 18-000665).
342	
343	Consent for publication {32}
344	Not applicable
345	Competing interests {28}
346	
540	The authors declare that they have no competing interests.
347	The authors declare that they have no competing interests.
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