

1 BIG LEAP: A comparison of insulin degludec to continuous subcutaneous infusion of insulin  
2 aspart for basal insulin delivery in type 1 diabetes

3 Protocol Number: MDEC2018

4 **INVESTIGATOR INITIATED STUDY PROPOSAL**

5 Universal Trial Number: U1111-1199-8692

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19 **BACKGROUND AND SIGNIFICANCE**

20  
21 Physiologic insulin replacement for the treatment of type 1 diabetes, or intensive insulin  
22 therapy, is administered either by multiple daily injections (MDI) of insulin or via continuous  
23 subcutaneous insulin infusion (CSII) via insulin pump. Since its inception in the 1970's, CSII has  
24 improved continuously in terms of both the devices and the rapid acting insulin analogues they  
25 deliver so that today's insulin pumps are considered the "gold standard" of intensive insulin  
26 therapy. However, CSII has only shown advantages over MDI regimens which used older basal  
27 insulin products including intermediate-acting insulin (NPH) and first generation insulin  
28 analogues (glargine and detemir) including reducing the incidence of hypoglycemia, improving  
29 overall glycemic control and improving glycemic variability (1,2). CSII also has advantages in  
30 terms of patient convenience and comfort by eliminating the need for multiple daily insulin  
31 injections, portability of insulin, and the use of computerized bolus calculators to assist with  
32 bolus calculations. However, patients treated with CSII continue to show significant glycemic  
33 variability owing to different absorption characteristics of insulin infused subcutaneously at  
34 different body sites and due to the inherent variability of absorption of rapid-acting insulin  
35 analogues even when infused continuously in sub-unit quantities for basal insulin delivery by  
36 modern insulin pumps.

37 Insulin degludec is a new ultralong-acting insulin analogue with a unique mechanism of  
38 protraction giving it a flat, peakless pharmacodynamic profile with a coefficient of variation of  
39 the glucose infusion rate of approximately 25% that of insulin glargine in glucose clamp studies

40 and a half-life of 25 hours (3). Insulin degludec has also been shown to have a reduced  
41 incidence of hypoglycemia compared to insulin glargine in a head-to head clinical trial in  
42 patients with type 1 diabetes. (4). Whether insulin degludec might provide equivalent basal  
43 insulin coverage with a flatter 24 hour glycemic profile compared to CSII using a rapid-acting  
44 insulin analogue is currently not known as these have not been compared in a clinical trial. Part  
45 of the reason for this is because patients using CSII use a pump for both the basal and bolus  
46 component of their insulin regimen; currently, no “bolus only” insulin delivery device exists.  
47 Also, there was no compelling reason until now to study a hybrid model for basal/bolus insulin  
48 regimens since there was no true 24 hour basal insulin product which had any therapeutic  
49 advantage over basal insulin delivered by CSII. Furthermore, it is now recognized that the use  
50 of insulin infusion sets of various design in CSII lead to increased glucose variability by virtue of  
51 local inflammation and micro-occlusions of the sets, which interfere with consistent insulin  
52 basal delivery (5). Insulin degludec, however, appears in clinical use to possibly have a flatter  
53 glycemic profile than CSII can provide, with equivalent or even lower glycemic variability and  
54 less hypoglycemia. If so, patients with type 1 diabetes may achieve better overall glycemic  
55 control with, and therefore prefer, a daily injection of insulin degludec for their basal insulin  
56 needs in combination with their pump (with its bolus calculator) for their bolus insulin needs.

57 The purpose of this investigator-initiated trial is to compare the effect of a daily injection of  
58 insulin degludec vs. basal insulin delivery via CSII, both in combination with bolus insulin  
59 delivery via the patient’s usual insulin pump with insulin aspart, on glycemic variability, overall  
60 blood glucose control and incidence of hypoglycemia, all assessed by continuous glucose  
61 monitor (CGM), as well as patient satisfaction, in patients with type 1 diabetes currently using  
62 CSII.

### 63 **SPECIFIC OBJECTIVES**

64 The primary objective of this trial is to determine whether insulin degludec will provide an  
65 equally stable and consistent basal glycemic profile with lower glycemic variability as  
66 determined by CGM compared to insulin aspart delivered by CSII in patients with type 1  
67 diabetes experienced in use of insulin pump therapy. Specifically, this study will determine if  
68 the percent time in the target glycemic range (70 to 180 mg/dl) by CGM is superior using insulin  
69 degludec than continuously infused insulin aspart, and if degludec is associated with lower GV  
70 as assessed by the standard deviation (SD) of the mean daily glucose by CGM. Particular  
71 attention will be given to the nocturnal glucose profile (from midnight to 6 am) which most  
72 closely reflects basal insulin action as it is typically the time of day least affected by bolus  
73 insulin, food intake or exercise. Quality of life questionnaires regarding treatment preference  
74 will be used to capture patient preference for method of basal insulin delivery.

### 75 **RESEARCH DESIGN AND METHODS**

#### 76 **Study hypothesis**

77 It is anticipated, based on the low glycemic variability of insulin degludec shown in glucose  
78 clamp studies and seen in clinical practice, that insulin degludec will provide more stable 24  
79 hour basal insulin action than insulin aspart by CSII in patients with type 1 diabetes.

## 80 **OUTCOME MEASURES/SPECIFIC ENDPOINTS**

81

### 82 **Primary endpoint**

83 Percent time in euglycemia (BG 70 to 180 mg/dl) by CGM during the final 14 days of each  
84 treatment period during steady state (with basal insulin delivery as either one daily injection of  
85 insulin degludec or as insulin aspart via CSII).

86

### 87 **Key secondary endpoints**

88

- 89 1. SD of interstitial fluid glucose by CGM for 2 week period during each basal insulin  
90 delivery method. (Note: because the Dexcom Platinum G5 CGM is currently only FDA  
91 approved for 7 days of use, two contiguous CGM periods using 2 sensors, each for 7  
92 days, will be performed to capture 2 weeks of continuous CGM data.) Dexcom G6 is  
93 approved by FDA for 10 days of use so 2 sensors will be used during the CGM periods.
- 94 2. SD of blood glucose by CGM during the nocturnal period (midnight to 6 am) during each  
95 basal insulin delivery method

96

### 97 **Other secondary endpoints**

- 98 1. Percent time in hypoglycemia by CGM, captured at 2 levels of hypoglycemia: BG  $\leq$  54  
99 mg/dl (level 2) and BG 55-69 mg/dl (level 1), for each basal insulin treatment.
- 100 2. Percent time in normoglycemia (BG 70 to 140 mg/dl) by CGM during each basal  
101 treatment period.
- 102 3. Time to recovery from level 2 hypoglycemia (BG  $>$ 70 with resolution of symptoms) on  
103 each treatment. If a second event (BG  $\leq$  70 mg/dl) occurs within 60 minutes of a  
104 previous hypoglycemic event, this will be considered part of the same hypoglycemic  
105 episode.
- 106 4. Patient satisfaction by TRIM-D and TRIM-DD questionnaires with each basal insulin  
107 treatment.
- 108 5. HbA1c after 20 weeks of each basal insulin treatment.
- 109 6. Total daily insulin dose, total basal insulin dose, and total bolus insulin dose on each  
110 treatment.

111

112 See Table 1: Study Visit Table for study procedures at each visit and when specific endpoints  
113 will be measured.

## 114 **STUDY TYPE**

115 This will be a randomized, cross-over, open label, single-center study consisting of a 20 week  
116 period on each of two basal insulin delivery methods, both in combination with insulin aspart  
117 with boluses taken by insulin pump. Each 20 week period will consist of a 4 week insulin  
118 optimization period for titration of basal and bolus insulin doses, followed by a 16 week  
119 maintenance period. The final 2 weeks of the maintenance period during each treatment arm  
120 will be used for endpoint data collection. The treatment sequence will occur in random order.  
121 The study population will include patients with type 1 diabetes with good baseline glycemic  
122 control who are experienced in the use of both CSII and CGM; the cross-over design allows each  
123 subject to serve as his or her own control.

#### 124 **STUDY POPULATION**

125 In order to confirm a 10% improvement in the time spent in euglycemia by CGM with 85%  
126 power, 47 subjects will be studied. Thus, between 55 and 60 subjects will be screened to allow  
127 for a 10% drop-out rate. (See “Statistical Analysis Plan” for sample size calculation.)

#### 128 **INCLUSION CRITERIA**

- 129 1. Male and female patients > 18 years of age with type 1 diabetes using CSII with any pump for  
130 > 12 months.
- 131 2. Females must be using adequate contraception, defined as oral contraceptive pill, barrier  
132 method of contraception, or surgical method (tubal ligation or hysterectomy).
- 133 3. Good glycemic control ( $HbA1c \leq 8.0\%$ ).
- 134 4. Patients are experienced in carbohydrate counting, evidenced by pump downloads showing  
135 frequent meal boluses with realistic carbohydrate entries, few over-rides of the pump bolus  
136 calculator, few to no omitted boluses (at least 3 boluses per day), and post-meal glucose levels  
137 generally below 200 mg/dl indicating accurate carbohydrate assessment.
- 138 5. Patients are regular ( $\geq 85\%$  of time) users of the Dexcom G5 or G6 CGM.
- 139 6. Pump download confirms correct use of insulin pump features, including appropriate use of  
140 bolus calculator with minimal overrides, entering carbohydrate content of meals, at least 3  
141 boluses taken per day, appropriate use of correction boluses, and infusion set changes every 2  
142 to 3 days.
- 143 7. No serious comorbidities including: retinopathy requiring active intervention,  $eGFR < 30$ , CV  
144 event within the previous 6 months, active malignancy with ongoing treatment, any condition  
145 requiring chronic use of systemic glucocorticoids, or any other condition which in the opinion of  
146 the investigator would interfere with the subject’s ability to comply with the study protocol or  
147 acutely affect insulin requirements.
- 148 8. Able to comply with study protocol.
- 149 9. Ability to provide written informed consent prior to any study-related procedures.

#### 151 **EXCLUSION CRITERIA**

- 153 1. Subjects with type 2 diabetes.
- 154 2. Subjects with  $HbA1c > 8.0\%$

- 155 3. Subjects not using CSII and CGM (ie, on MDI)
- 156 4. Subjects inexperienced in the use of CSII, or whose pump download shows poor
- 157 utilization of bolus calculator features, ie fewer than 2 boluses per day, lack of
- 158 correction boluses, frequent overrides of the recommended boluses, unrealistic
- 159 carbohydrate entries (suggestive of under-bolusing), not changing infusion set at least
- 160 every 3 days, or other evidence of poor insulin pump usage.
- 161 5. Subjects inexperienced in or not regular users ( $\geq 85\%$  of time) of Dexcom G5 or G6 CGM
- 162 6. Subjects who are using a Medtronic pump with low blood glucose suspend who are
- 163 unwilling to use the Dexcom CGM or to disengage the low blood glucose suspend
- 164 feature of the pump.
- 165 7. Use of any other CGM than Dexcom G5 or G6.
- 166 8. Serious concomitant illness.
- 167 9. Females unwilling to use adequate contraception, intending to become pregnant, or
- 168 breastfeeding.
- 169 10. Known or suspected allergy to study products, their excipients or related products.
- 170 11. Previous participation in this trial. Note: subjects who screen fail because of A1c may
- 171 rescreen once if, in the opinion of the investigator, the HbA1c was explainable (ie,
- 172 recent steroid injection or illness, etc) and atypical for the subject.
- 173 12. Hypoglycemic unawareness.
- 174 13. Episode of severe hypoglycemia (requiring assistance for treatment) within the previous
- 175 90 days.
- 176

## 177 **WITHDRAWAL CRITERIA**

178 Subjects may withdraw at will for any reason.

179 Females who become pregnant will be discontinued from the study.

180 Subjects who do not comply with CGM use or switch from use of CSII to MDI will be

181 discontinued.

182

183 Subjects who are discontinued from the study will not be replaced.

184

## 185 **RATIONALE FOR THE STUDY POPULATION**

186

187 A population of well controlled patients with type 1 diabetes who are experienced in the use of

188 both CSII and CGM was chosen in order to assess the effect of the change in glycemic profile

189 using two different methods of basal insulin delivery. Studying a population with expertise in

190 diabetes self-management with these devices will minimize the effect of incorrect device use

191 and allow assessment of the effect of the change of insulin regimen itself. Allowing the subjects

192 to use their insulin pumps for bolus insulin delivery, as they are accustomed, will minimize the

193 chances of skipping meal boluses and correction doses.

194

195 Replacing basal insulin delivery by CSII with a single daily injection of degludec will add minimal,

196 if any, treatment burden which will be offset by potential therapeutic benefits. These benefits

197 include the potential for reduced glycemic variability and the elimination of the risk of

198 hyperglycemia and DKA with basal insulin interruption which can occur with infusion set  
199 occlusion or dislodgement inherent to CSII. Subjects may also enjoy the freedom afforded by a  
200 basal insulin injection which will allow them to disconnect from their pumps safely without  
201 sacrificing glycemic control for prolonged periods of time (which is not an option using CSII for  
202 basal insulin delivery).

203

204

## 205 **VISIT PROCEDURES**

206

207 Please see pages 16-18 for “Visit Procedures”, which describes a detailed list of procedures and  
208 assessments at each visit, and page 19 for the “Study Flow Sheet”, which summarizes study-  
209 related procedures at each visit in spreadsheet format.

210

## 211 **ASSESSMENTS OF EFFICACY**

212

### 213 **Primary endpoint**

214 Percent time spent in euglycemia by CGM: data will be downloaded from the Dexcom G5 or G6  
215 (either by download of receiver or from Dexcom Clarity cloud-based application); this will yield  
216 the percent time in euglycemia, hyperglycemia, hypoglycemia and SD for endpoint analyses.

217

### 218 **Other endpoints**

219 1. HbA1c: This will be measured by central laboratory (LabCorp, Burlington, NC). 2. GlycoMark  
220 (1,5 anhydroglucitol) will be measured by commercial assay (LabCorp, Burlington, NC). All  
221 laboratory specimens will be drawn and processed by site staff and shipped under required  
222 conditions to LabCorp by courier with pick-up daily. GlycoMark is a marker of glycemic  
223 variability which essentially reflects postprandial glucose control. It will be captured as a safety  
224 measure to ensure that change of method of basal insulin delivery does not impact  
225 postprandial glucose control in any way, since patients using CSII may on occasion have  
226 increased postmeal basal insulin infusion rates (to compensate for inadequate mealtime insulin  
227 dosing) which cannot be reproduced in the degludec treatment arm.

228

## 229 **ASSESSMENTS OF SAFETY:**

230

231 Hypoglycemia will be captured by fingerstick BG and by CGM. All episodes of severe  
232 hypoglycemia (requiring assistance) will be documented and reported as AE’s in diaries.  
233 Categories of hypoglycemia that will be captured include: percent time in two categories of  
234 hypoglycemia (BG < 54 mg dl and 55-69 mg/dl) by CGM, episodes of symptomatic BG-confirmed  
235 hypoglycemia (<54 mg/dl), nocturnal hypoglycemia and severe hypoglycemia. In the event  
236 where the SMBG and the CGM readings are discordant, the SMBG will be considered the  
237 primary source.

238

239 Time to recovery from hypoglycemia, defined as BG  $\geq$  70 with resolution of symptoms, during  
240 each treatment will also be captured.

241

242 **OTHER ASSESSMENTS**

243

244 Patient satisfaction with each study treatment will be assessed by TRIM-D and TRIM-DD  
245 questionnaires.

246

247 **ASSESSMENT OF SUBJECT COMPLIANCE**

248

249 Compliance of study subjects with use of CSII and CGM will be assessed by review of device  
250 downloads which capture percent time devices are used, set changes, boluses and  
251 carbohydrate intake. Since subjects will be using their insulin pumps to bolus, all bolus insulin  
252 doses and carbohydrate intake will be captured in both treatment arms by review of insulin  
253 pump downloads at each study visit.

254

255 Compliance with administration of basal insulin during the degludec treatment arm will be  
256 assessed by documentation of insulin doses and times in subject diaries. Number of missed  
257 injections will be captured during the degludec treatment arm; number of infusion set changes,  
258 set occlusions, and missed boluses will be captured during both treatment arms.

259

260 **STATISTICAL CONSIDERATIONS**

261

262 **Sample Size Determination**

263 The subjects in a recent study (4) should closely reflect the population we are going to study.  
264 Using the statistics from that source as an estimate of population parameters, power analyses  
265 were done for: (1) percent time in euglycemia (BG 70 to 180 mg/dl), desired effect size 0.4, (2)  
266 SD of BG by CGM, desired effect size 0.44, and (3) HbA1c, desired effect size 0.44. The repeated  
267 independent variable is degludec vs CSII. These a priori analyses – using G\*Power, version  
268 3.1.9.2 <http://www.gpower.hhu.de/en.html> – assumed a Type I error of .05 and a power of .85  
269 (Type II error of .15).

270

271 The sample size estimate required for percent time in euglycemia was the largest at n = 47.  
272 Allowing for a 10% dropout rate a final sample size of 53 will be sought – more if possible.

273

274 **Statistical Methods**

275

276 Ho: For Type 1 DM subjects using degludec vs CSII, there is no difference between mean  
277 percent time in euglycemia, mean SD of BG by CGM, or mean HbA1c.

278

279 Ha: For Type 1 DM patients using degludec vs CSII, there will be mean differences between  
280 percent time in euglycemia, SD of BG by CGM, and HbA1c. (No one tailed tests are planned.)

281

282 **Dependent Variable**

283 One group of patients will first use degludec for 20 weeks then switch to CSII for 20 weeks. As  
284 all eligible patients who sign the IRB consent form are identified, a random number will be

285 generated to determine the treatment with which they begin first. The other group of patients  
286 will start with CSII and after 20 weeks switch to Tresiba for 20 weeks. Randomization sequence  
287 will be determined by computerized randomization program. All patients will receive both  
288 treatments unless they drop out. Dropouts are unlikely since the participants are all regular  
289 continuing patients of the site's clinical practice.

290  
291 Since each patient will be measured twice - insulin degludec vs CSII by pump – this repeated  
292 measure will be the dependent variable.

293 Since study participants are all ongoing patients of the three physicians at the site, there should  
294 be no missing data, per-protocol, or intention-to-treat issues. Only patients who complete the  
295 entire 46-week study will be used in the final analysis.

296  
297 No continuous or ratio variables will be categorized.

298  
299 No interim analysis will be performed.

300

### 301 **Control/covariate variables**

302 These will be recorded at the beginning of the study to help reduce error variance in the  
303 dependent measures:

- 304 1) Time elapsed since diagnosis of Type 1 DM (ratio variable)
- 305 2) Age (ratio variable)
- 306 3) Physician attending each patient – there are 3 physicians (nominal).
- 307 4) Whether each patient started with CSII by pump or insulin degludec (nominal)
- 308 5) Race of patient (if there is any variability; unlikely) (nominal).

309

### 310 **Statistical Analysis**

311 Statistical analyses will be performed using STATA version 15.0, revision 25, released  
312 September 2017. ([www.stata.com](http://www.stata.com))

313 Since all the dependent variables are interval and the independent and control/covariate  
314 variables are a mixture of ratio and nominal, a General Linear Model for Repeated Measures  
315 analysis can be used. Depending on the final sample sizes a hierarchical stepwise analysis could  
316 be performed to identify the more useful control/covariate variables.

### 317 **DATA HANDLING AND RECORD KEEPING**

318 The study data (CGM data and laboratory endpoints including HbA1c and GlycoMark) will be  
319 uploaded and stored in the cloud in HIPAA-compliant electronic files. Pertinent data for  
320 endpoint safety and efficacy analyses will be entered into and electronically filed in password-  
321 protected Excel spreadsheets. Hypoglycemia diaries will be on paper and stored as source  
322 documents along with paper CRF's capturing study-related procedures (history, physical exam,



323 vital signs, con meds, AE's) at each visit. Patients will complete TRIM-D and TRIM-DD  
324 questionnaires, and responses will be tabulated into Excel spreadsheets for statistical analysis.

325 All paper source documents and CRFs will be stored on site. All electronic data will be stored in  
326 the cloud in HIPAA-compliant electronic files.

### 327 **ETHICAL CONSIDERATIONS**

328 The sponsor (Mountain Diabetes and Endocrine Center), its investigators and site staff will  
329 comply with all applicable regulatory and legal requirements, ICH GCP guidelines and the  
330 Declaration of Helsinki in obtaining and documenting the informed consent.

331 Informed consent will be obtained by a medically qualified site member (MD or RN) after each  
332 subject has had time to review the informed consent document and have any questions about  
333 the study answered. This is performed in a conference room at the study site in a private and  
334 unhurried fashion. The subjects may bring family members with them to witness the informed  
335 consent process. The informed consent documents will be paper documents that will be  
336 retained at the site, signed by the subject and investigator, and a copy will be provided to each  
337 subject.

338 Upon approval of the study protocol, the study will be submitted for approval to IntegReview  
339 IRB in Austin, Texas for review and approval. The informed consent document will include  
340 information about the IRB and contact information for the IRB, the site, and the study principal  
341 investigator.

342 The study will be conducted in accordance with ICH GCP guidelines and the Declaration of  
343 Helsinki.

### 344 **STUDY SCHEDULE**

345 Planned recruitment period: 6 to 9 months

346 Expected milestones: Start of study: immediately upon IRB approval (March 2018)

347 First patient first visit (FPFV): within 1 week of IRB approval (site is ready to begin recruitment  
348 with subjects available to start upon protocol and IRB approval and obtaining study insulin)

349 Last patient first visit (LPFV): within 6 to 9 months of FPFV

350 Last patient last visit: 46 weeks after LPFV

351 Estimated study duration (FPFV to LPLV): 14 months (March 2018 to May 2019)

352 Completion of final study report: within 6 weeks of study completion

353 Time to submission of study for publication: within 12 weeks of study completion

### 354 **STUDY DRUGS AND MATERIALS**

355 Study medication: insulin degludec (Tresiba) U100 or insulin degludec (Tresiba) U200 (Novo  
356 Nordisk) with insulin aspart (administered as bolus insulin via insulin pump) (Novolog, Novo  
357 Nordisk) for one treatment arm; insulin aspart (Novolog) administered by CSII for both basal  
358 and bolus insulin in second treatment arm.

359 Study Devices: subjects' own insulin pumps will include Medtronic (530, 730 models; no low  
360 blood glucose suspend models or closed loop pumps will be used), Animas Ping, Animas Vibe,  
361 Tandem T-slim and Insulet Omnipod.

362 The study CGM will be Dexcom G5 or G6.

### 363 **PACKAGING AND LABELLING OF STUDY MEDICATION AND DEVICES**

364 Study insulins (insulin degludec and aspart) will be distributed at study visits to each subject  
365 from the site. Insulin degludec will be supplied in injection pens (5 per box for U100 and 3 per  
366 box for U200). Insulin aspart will be supplied in vials (as bolus insulin will be administered via  
367 insulin pump.) Subjects will use their own insulin pumps and pump supplies during the study.  
368 Dexcom sensors will be supplied for a two week period (using one sensor weekly) at baseline  
369 and for the final two weeks of each treatment period. These will be distributed and placed at  
370 CGM dispensing/insertion site visits (see study visit flow sheet).

### 371 **STORAGE AND DRUG ACCOUNTABILITY OF STUDY MEDICATION**

372 Study insulins will be received in temperature-controlled containers and the temperature of the  
373 medication upon receipt will be recorded. Study insulins will be stored at the study site in  
374 refrigerators maintained between 2 and 8 degrees Celsius; the temperature will be monitored  
375 and recorded daily. No trial medication will be dispensed to any individual not enrolled in the  
376 study. All medication used in the study will be recorded in the CRF at each study dispensing  
377 visit. Subjects will be provided with sufficient study insulin for study completion.

378 Subjects will be instructed not to refrigerate opened insulin degludec (Tresiba U100 & U200)  
379 and to store the product at room temperature. Properly stored opened insulin degludec can be  
380 used for up to 8 weeks (7). Subjects may choose to refrigerate or not refrigerate an opened vial  
381 of insulin aspart (Novolog), maintaining the vial at a temperature of less than 86°F (30°C) for up  
382 to 4 weeks (8).

### 383 **AUXILIARY SUPPLY**

384 Study subjects will use their own insulin pumps and insulin pump supplies.

385 Dexcom glucose sensors (6 per subject) will be purchased by the site and supplied to subjects  
386 for two weeks at 3 times (baseline and for the final two weeks of each treatment period).  
387 Subjects will use their own CGM receiver devices which will be downloaded at appropriate  
388 study visits. Site will have back up receivers to supply to subjects in case of loss or malfunction  
389 of subject's own device (not likely). Subjects will use their own sensors for each treatment  
390 period except for the 3 two week periods of CGM data capture as described above.

391

392

### 393 **RANDOMIZATION AND BLINDING**

394 This is an unblinded study as the two basal insulin delivery methods (degludec via injection vs.  
395 aspart via CSII) cannot be blinded. For a description of the randomization and treatment  
396 allocation, please refer to the Statistical Methods section above.

### 397 **CONCOMITANT ILLNESSES AND MEDICATIONS**

#### 398 **Definitions:**

399 Concomitant illness: any illness that is present at the start of the trial (at the first study visit).

400 Concomitant medication: any medication other than the trial product(s) that is taken during the  
401 trial, including the screening and run-in periods.

402 Details of all concomitant illnesses and medication will be recorded at trial entry (at the first  
403 study visit). Any changes in concomitant medication will be recorded at each visit.

404 The information collected for each concomitant medication will include start date, stop date or  
405 continuing, and indication.

406 For each concomitant illness, date of onset, date of resolution or continuing, will be recorded.

407

### 408 **ADVERSE EVENTS**

409

410 Adverse events will be captured at each study visit and recorded on CRF's. Special AE and SAE  
411 reporting forms have been created to capture these events. SAE's will be reported within 24  
412 hours of discovery to appropriate local and federal authorities as well as to the IRB when  
413 appropriate. The study site will comply with all local legal, regulatory, and IntegReview IRB  
414 requirements.

415 Mountain Diabetes and Endocrine Center, its investigators, and site staff will be responsible for  
416 reporting of all adverse events including serious adverse events (SAE), suspected unexpected  
417 serious adverse reactions (SUSARs) and serious adverse drug reactions (SADRs) to the  
418 competent authority and independent ethics committee/institutional review board based upon  
419 federal regulations and local/IRB policies.

420 Mountain Diabetes and Endocrine Center, its investigators, and site staff will report to Novo  
421 Nordisk all SAEs, SUSARs, and SADRs at the same time such events are reported to regulatory  
422 authorities or within 15 days from the site becoming aware of such adverse events, whichever  
423 comes first.

424 Mountain Diabetes and Endocrine Center, its investigators, and site staff will collect the  
425 following information at minimum for each of these events:

426 1. Study name

427 2. Patient identification

- 428 3. Event (with appropriate diagnosis)  
429 4. Drug  
430 5. Reporter identification  
431 Also 6) Causality, and 7) Outcome might be reported, if appropriate.

## 432 **DEFINITIONS**

### 433 **Adverse Event (AE):**

434 An AE is any undesirable medical event occurring to a subject in a clinical trial, whether or not  
435 related to the trial product(s). This includes events reported from the first trial related activity  
436 after the subject has signed the informed consent and until post treatment follow-up period as  
437 defined in the protocol. This will also include any events related to the malfunction of a  
438 medical device (i.e. an insulin pump or Dexcom CGM). The following will not be recorded as  
439 AEs, if recorded as medical history/concomitant illness on the CRF at screening:

- 440 • Pre-planned procedure, unless the condition for which the procedure was planned has
- 441 worsened from the first trial related activity after the subject has signed the informed consent
- 442 • Pre-existing conditions found as a result of screening procedures

### 443 **Clinical Laboratory Adverse Event:**

444 A clinical laboratory AE is any clinical laboratory abnormality regarded as clinically significant,  
445 i.e. an abnormality that suggests a disease and/or organ toxicity and is of a severity which  
446 requires active management, (i.e. change of dose, discontinuation of trial product, more  
447 frequent follow-up or diagnostic investigation).

448

### 449 **Serious Adverse Event (SAE):**

450 A serious AE is an event that results in any of the following:

- 451 • Death
- 452 • A life-threatening\* experience
- 453 • In-patient hospitalization or prolongation of existing hospitalization
- 454 • A persistent or significant disability/incapacity
- 455 • A congenital anomaly/birth defect
- 456 • Important medical events that may not result in death, be life-threatening\*, or require
- 457 hospitalization may be considered an SAE when, based upon appropriate medical judgement,
- 458 they may jeopardise the subject and may require medical or surgical intervention to prevent
- 459 one of the outcomes listed in this definition. Suspicion of transmission of infectious agents
- 460 must always be considered an SAE.

461 \*The term life-threatening in the definition of SAE refers to an event in which the subject was at risk of death at  
462 the time of the event. It does not refer to an event which hypothetically might have caused death if it was more  
463 severe.

464

### 465 **Suspected Unexpected Serious Adverse Event (SUSAR):**

466 Mountain Diabetes and Endocrine Center will inform the appropriate regulatory authorities, the  
467 IRB, and Novo Nordisk of trial product-related SUSARs in accordance with reporting  
468 requirements and GCP guidelines.

469

470 **Serious Adverse Drug Reaction (SADR):**

471 An adverse drug reaction (ADR) is an adverse event for which a causal relationship  
472 (Possible/Probable relation) between the study drug and the occurrence of the event is  
473 suspected. The ADR will be classified as **serious** if it meets one or more of the seriousness  
474 criteria.

475

476 **Medical Events of Special Interest (MESI):** A MESI is (1) a medication error (e.g. wrong drug  
477 administration or wrong route of administration) or (2) a suspected transmission of an  
478 infectious agent via the product

479

480 **Non-Serious Adverse Event:**

481 A non-serious AE is any AE which does not fulfill the definition of an SAE.

482

483 **Severity Assessment Definitions:**

- 484 • Mild: Transient symptoms, no interference with the subject's daily activities
- 485 • Moderate: Marked symptoms, moderate interference with the subject's daily activities
- 486 • Severe: Considerable interference with the subject's daily activities, unacceptable

487

488 **Relationship to study medication Assessment Definitions:**

- 489 • Probable: Good reason and sufficient documentation to assume a causal relationship
- 490 • Possible: A causal relationship is conceivable and cannot be dismissed
- 491 • Unlikely: The event is most likely related to an etiology other than the trial product

492

493 **Outcome Categories and Definitions:**

494

- 495 • Recovered: Fully recovered or by medical or surgical treatment the condition has returned to  
496 the level observed at the first trial related activity after the subject signed the informed  
497 consent
- 498 • Recovering: The condition is improving and the subject is expected to recover from the event.  
499 This term should only be used when the subject has completed the trial
- 500 • Recovered with sequelae: As a result of the AE, the subject suffered persistent and  
501 significant disability/incapacity (e.g. became blind, deaf, paralysed). Any AE recovered with  
502 sequelae will be rated as an SAE
- 503 • Not recovered
- 504 • Fatal
- 505 • Unknown

506

507 **Collection, Recording, Evaluating, and Reporting of Adverse Events**

508

509 All events meeting the definition of an adverse event will be collected, reported, and evaluated  
510 from the first trial-related activity after the subject has signed the informed consent and until  
511 the end of the post-treatment follow-up period as stated in the protocol. All adverse events

512 resulting from incorrect storage or usage of study product as per product labelling, if applicable,  
513 will be reported to the appropriate institutions (7,8). The investigator will copy Novo Nordisk  
514 when expediting SAE information to health authorities and will report all SAEs related to Novo  
515 Nordisk products to the local Novo Nordisk affiliate safety department. SAE submission to  
516 Novo Nordisk will be within 15 days from the investigator's first awareness of the event.

517

#### 518 **Follow-up of Adverse Events**

519

520 **During and following a subject's participation in this clinical trial, Mountain Diabetes and**  
521 **Endocrine Center, its investigators and site staff, will provide adequate medical care to the**  
522 **study subject for any study-related adverse events, including clinically significant laboratory**  
523 **values related to the study. This medical care for study subjects will be provided regardless of**  
524 **their insurance status.**

525

526 **All adverse events classified as serious or severe or possibly/probably related to the trial**  
527 **product will be followed until the subject has recovered and all queries have been resolved.**

528 For cases of chronic conditions, follow-up until the outcome category is "recovered" is not  
529 required, as these cases can be closed with an outcome of "recovering" or "not recovered".

530 All other adverse events will be followed until the outcome of the event is "recovering" (for  
531 chronic conditions), or "recovered" or until the end of the post-treatment follow-up stated in  
532 the protocol, whichever comes first, and until all queries related to these AEs have been  
533 resolved.

534

#### 535 **Pregnancy**

536 Study subjects will be instructed to notify the site and study physician immediately if they  
537 become pregnant.

538

539 **Mountain Diabetes and Endocrine Center, its investigators and site staff, will report to Novo**  
540 **Nordisk any pregnancy occurring during the trial period. Reporting of pregnancy by the site**  
541 **will occur within the same timelines described above for reporting of Adverse Events.**

542

543 Pregnancy complications will be recorded as adverse event(s). If the infant has a congenital  
544 anomaly/birth defect this must be reported and followed up as a serious adverse event.

545

#### 546 **Precautions/ Insulin Over-dosage**

547 Study subjects will be prescribed glucagon kits and treatment of hypoglycemia will be reviewed  
548 with subjects upon enrolment into the study.

549

#### 550 **LIABILITY AND SUBJECT INSURANCE:**

551 **During and following a subject's participation in trial, Mountain Diabetes and Endocrine**  
552 **Center and the study physicians will provide adequate medical care to the study subject for**  
553 **any study-related adverse events, including clinically significant laboratory values related to**

554 the study. This medical care for study subjects will be provided regardless of their insurance  
555 status.

556  
557 **Mountain Diabetes and Endocrine Center and its investigators will be responsible for the**  
558 **conduct of the study and agree to defend, indemnify, and hold harmless Novo Nordisk, any of**  
559 **its parent companies, affiliates, or subsidiaries, and their respective officers, directors,**  
560 **employees, agents, representatives, distributors, salespersons, customers, licensees, and**  
561 **end-users from and against any claim, suit, demand, loss, damage, expense or liability**  
562 **imposed by any third party arising from or related to: (a) any breach of sponsor-investigator's**  
563 **obligations or representations; or (b) sponsor-investigator's negligent or grossly negligent use**  
564 **or willful misuse of the study drug, the results, or services derived therefrom. This**  
565 **indemnification shall not apply in the event and to the extent that a court of competent**  
566 **jurisdiction or a duly appointed arbiter determines that such losses or liability arose as a**  
567 **result of Novo Nordisk's gross negligence, intentional misconduct, or material breach of its**  
568 **responsibilities.**

569

#### 570 **EVALUABILITY OF SUBJECTS**

571 Only the principal investigator has the authority to exclude any subjects or data observations  
572 after the initiation of the study, initial selection of subjects, and beginning of data collection.  
573 Possible reasons for such actions might be (1) questionable validity or reliability of data  
574 collection or measurement techniques for a particular subject, (2) misrepresentation of initial  
575 selection criteria by a subject, or (3) changes in the health conditions of subjects that might  
576 affect the reliability or validity of measurements or accuracy of data collection procedures. The  
577 reasons for any such action will be carefully documented by the principal investigator and kept  
578 on file for the actionable subject.

579

#### 580 **PREMATURE TERMINATION OF STUDY**

581

582 The study will only be discontinued prematurely in the unlikely event of an unforeseen safety  
583 concern arising from the study protocol.

584

#### 585 **PUBLICATION PLAN**

586

587 Upon approval, the study will be registered with [www.clinicaltrials.gov](http://www.clinicaltrials.gov).

588

589 Upon study completion, the study results will be submitted in a manuscript to a peer-reviewed  
590 journal. It is anticipated that the study results will be of sufficient interest and importance to  
591 be presented at one or more national meetings, notably ADA, AACE and AADE.

592

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603

#### 604 **APPENDIX: METHOD OF CONVERSION OF BASAL INSULIN BY CSII TO DEGLUDEC**

605

606 Upon randomization to insulin degludec, or upon crossover from CSII to insulin degludec, the  
607 first dose of insulin degludec will be administered on day one and a 50% temporary basal  
608 reduction of the insulin pump for 24 hours will be activated. After 24 hours, the insulin pump  
609 basal rate will be lowered to the lowest hourly infusion rate (0.025 units per hour or 0.05 units  
610 per hour for a total daily basal insulin dose of either 0.6 or 1.2 units per day) for the duration of  
611 the insulin degludec treatment arm, with the remainder of the basal insulin dose administered  
612 as a single daily Tresiba injection.

613  
614 The 24 hour basal insulin dose by CSII will be converted to degludec on a unit-for-unit basis.  
615 This is because both degludec and CSII are roughly equivalent methods with high efficiency  
616 (bioavailability) for delivery of a basal insulin dose. Although there are no head-to-head studies  
617 comparing basal insulin dose by CSII to degludec, clinical studies (and the experience of the  
618 investigator) suggest that the lowest basal insulin requirement for any given subject will be with  
619 either CSII or degludec compared to glargine, NPH or detemir.

620  
621 A comparison of insulin degludec to continuous subcutaneous infusion of insulin aspart for  
622 basal insulin delivery in type 1 diabetes:

#### 623 624 Visit Procedures

625

626 **Note: Assessment of hypoglycemia and capturing of AE's (including technical complaints and**  
627 **device site reactions) will be performed at each study visit, as well as diabetes education.**  
628

629 Visit 1 (Screening Visit): Obtain written informed consent; review inclusion/exclusion criteria;  
630 demography (including child bearing potential and tobacco use); medical history; record  
631 concomitant medications; vital signs (incl. height); complete physical examination; review  
632 download of Dexcom and pump; HbA1c, GlycoMark, CBC, comprehensive metabolic profile  
633 (CMP), lipid panel, urine microalbumin/creatinine ratio (LabCorp); pregnancy test (urine);  
634 review treatment of hypoglycemia; insert Dexcom sensor; provide a hypoglycemia/basal dose  
635 diary  
636

637 Phone Visit 2: Remind subject to insert new Dexcom sensor; assessment of infusion site  
638 reactions and occlusions; hypoglycemia assessment (insulin titration if required)



639  
640 Visit 3 (Randomization): Review of inclusion/exclusion criteria; vital signs; CGM and insulin  
641 pump downloads; HbA1c (POC - Afinion); insulin optimization/titration; dispensing of  
642 hypoglycemia/basal dose diary; Tresiba pen teaching (degludec arm only); dispense trial  
643 product; treatment questionnaires  
644  
645 Visit 4: Vital signs; pump and CGM downloads; insulin optimization/titration; assessment of  
646 infusion site reactions and occlusions; dispensing of hypoglycemia/basal dose diary  
647  
648 Visit 5: Vital signs; pump and CGM downloads; insulin optimization/titration; assessment of  
649 infusion site reactions and occlusions; dispense trial product; dispensing of hypoglycemia/basal  
650 dose diary; drug accountability and diabetes education.  
651  
652 Phone Visit 6: Ensure subject is changing insulin pump sites and CGM per protocol, assessment  
653 of infusion site reactions and occlusions; review of hypoglycemia (insulin titration if required)  
654  
655 Visit 7: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
656 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
657 accountability  
658  
659 Phone Visit 8: Ensure subject is changing insulin pump sites and CGM per protocol, assessment  
660 of infusion site reactions and occlusions; review of hypoglycemia (insulin titration if required)  
661  
662 Visit 9: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
663 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
664 accountability  
665  
666 Visit 10: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
667 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
668 accountability  
669  
670 Visit 11: Dexcom sensor insertion; assessment of infusion site reactions and occlusions, review  
671 of hypoglycemia/basal dose diary  
672  
673 Visit 12 (Cross-over Visit): Vital signs; complete physical examination; pump and CGM  
674 downloads; insulin optimization/titration; treatment crossover; Tresiba pen training (degludec  
675 arm only); HbA1c, GlycoMark, CBC, comprehensive metabolic profile (CMP), urine  
676 microalbumin/creatinine ratio (LabCorp); pregnancy test (urine); dispense trial product;  
677 treatment questionnaires; dispensing of hypoglycemia/basal dose diary; assessment of infusion  
678 site reactions and occlusions; drug accountability  
679  
680 Visit 13: Vital signs; pump and CGM downloads; insulin optimization/titration; assessment of  
681 infusion site reactions and occlusions; dispensing of hypoglycemia/basal dose diary  
682

683 Visit 14: Vital signs; pump and CGM downloads; insulin optimization/titration; assessment of  
684 infusion site reactions and occlusions; dispense trial product; dispensing of hypoglycemia/basal  
685 dose diary; drug accountability  
686  
687 Phone Visit 15: Ensure subject is changing insulin pump sites and CGM per protocol, assessment  
688 of infusion site reactions and occlusions; review of hypoglycemia (insulin titration if required)  
689  
690 Visit 16: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
691 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
692 accountability  
693  
694 Phone Visit 17: Ensure subject is changing insulin pump sites and CGM per protocol, assessment  
695 of infusion site reactions and occlusions; review of hypoglycemia (insulin titration if required)  
696  
697 Visit 18: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
698 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
699 accountability  
700  
701 Visit 19: Vital signs; pump and CGM downloads; assessment of infusion site reactions and  
702 occlusions; dispense trial product; dispensing of hypoglycemia/basal dose diary; drug  
703 accountability  
704  
705 Visit 20: Dexcom sensor insertion; assessment of infusion site reactions and occlusions, review  
706 of hypoglycemia/basal dose diary  
707  
708 Visit 21 (End of study visit): Vital signs; complete physical examination; review download of  
709 Dexcom and pump; HbA1c, GlycoMark, CBC, comprehensive metabolic profile (CMP), lipid  
710 panel, urine microalbumin/creatinine ratio (LabCorp); pregnancy test (urine); review treatment  
711 of hypoglycemia; insulin optimization for post-trial treatment; assessment of infusion site  
712 reactions and occlusions; drug accountability; treatment questionnaires  
713  
714 Phone Visit 22 (Follow-up phone visit): Capture AE's; review of hypoglycemia  
715

Trial Procedures	Screening	P2	Randomization	Treatment Period 1 (First treatment group)									Treatment Period 2 (Second treatment group)								EOT	F/U
				V4	V5	P6	V7	P8	V9	V10	V11	V12	V13	V14	P15	V16	P17	V18	V19	V20		
Visit (V), Phone (P)	V1	P2	V3	V4	V5	P6	V7	P8	V9	V10	V11	V12	V13	V14	P15	V16	P17	V18	V19	V20	V21	P22
Timing of Visit (Weeks)	-2	-1	0	2	4	6	8	10	12	16	18	20	22	24	26	28	30	32	36	38	40	44
Visit Window (Days)		+ 2	+ 1	+ 3	+ 3	+ 3	+ 3	+ 3	+ 3	+ 3	+ 2	+ 3	+ 3	+ 3	+ 3	+ 3	+ 3	+ 3	+ 3	+ 2	+ 3	+ 3
Informed Consent	X																					
In/Exclusion Criteria	X		X																			
Demography & Tobacco Use	X																					
Physical Examination	X											X									X	
Concomitant Illness /Medical History	X	X	X																			
Concomitant Medication	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Child Bearing Potential	X																					
Pregnancy Test, Urine	X											X									X	
Height	X																					
Body Weight	X		X	X	X		X		X	X	X	X	X	X		X		X	X	X	X	X
Vital Signs	X		X	X	X		X		X	X	X	X	X	X		X		X	X	X	X	X
Dexcom Sensor Insertion	X	X									X										X	
CGM Download	X		X	X	X		X		X	X	X	X	X	X		X		X	X	X	X	X
Insulin Pump Download	X		X	X	X		X		X	X	X	X	X	X		X		X	X	X	X	X
Insulin Optimization			X	X	X							X	X	X								
Blood Sampling	X		X									X									X	
Point-of-Care HbA <sub>1c</sub>			X																			
HbA <sub>1c</sub> (central laboratory)	X											X									X	
GlycoMark	X											X									X	
CBC	X											X									X	
CMP	X											X									X	
Lipids	X																				X	
Urine MA	X											X									X	
Adverse Events				X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Hypoglycemia Assessment	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Technical Complaints				X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Infusion Site Reactions				X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Infusion Site Occlusions				X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Randomization			X																			
Dispensing of Trial Product			X		X		X		X	X		X		X		X		X	X			
Drug Accountability					X		X		X	X		X		X		X		X	X		X	
Treatment Crossover												X										
Questionnaires/Surveys			X									X									X	
Diabetes Education	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
<b>REMINDEES</b>																						
Attend Visit Fasting	X											X										X

Degludec Pen Instruction			X*								X*											
Hand out Hypo/Basal Dose Diary	X		X	X	X		X		X	X	X	X	X	X		X		X	X	X		

\* Dependent upon to which treatment group the subject is assigned.