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Natesto™ Effects on Testosterone, Luteinizing Hormone, Follicle Stimulating Hormone and Semen Parameters

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Table of Contents

| | |
|-------------------------------------------------------------------------------------|-----------|
| 1. BACKGROUND | 6 |
| 1.1 Study Disease | 6 |
| 1.2 Study Interventions | 6 |
| 1.3 Study Rationale | 6 |
| 2. HYPOTHESIS | 7 |
| 2.1 Alternate hypothesis | 7 |
| 2.2 Null Hypothesis | 7 |
| 3. OBJECTIVES | 7 |
| 3.1 Primary Efficacy Objective | 7 |
| 3.2 Secondary Efficacy Objective | 7 |
| 4. STUDY DESIGN | 7 |
| 4.1 Accrual goal | 7 |
| 4.2 Duration of Study Participation | 8 |
| 5 STUDY ENTRY, ENROLLMENT AND WITHDRAWAL | 8 |
| 5.1 Study Entry | 8 |
| 5.2 Enrollment Procedure | 8 |
| 5.3 Cancellation Guidelines | 8 |
| 6. PATIENT SELECTION/ELIGIBILITY CRITERIA | 9 |
| 6.1 Inclusion (Eligibility) Criteria | 9 |
| 6.2 Exclusion (Eligibility) Criteria | 9 |
| 6.3 Study Population | 11 |
| 7. STUDY DESIGN, CLINICAL, RADIOLOGICAL, LABORATORY AND SURGICAL EVALUATIONS | 11 |
| 7.1 Study Design | 11 |
| 7.2 Screening Evaluations and Procedures | 11 |
| 7.3 Pre-Treatment Procedures and Evaluations | 12 |
| 7.4 Follow-Up Procedures and Evaluations: | 12 |
| 8. ADVERSE EVENTS | 12 |
| 8.1 Expected Adverse Events | 12 |
| 8.2 Serious Adverse Events | 12 |
| 9. DATA AND SAFETY MONITORING PLAN | 13 |
| 10. STATISTICAL CONSIDERATIONS | 13 |
| 10.1 Primary Study Endpoints | 13 |
| 10.2 Endpoint definitions | 13 |
| 10.3 Sample size, accrual and study duration | 14 |
| 10.4 Statistical Analysis and Power calculation | 14 |
| 11. INVESTIGATORS RESPONSIBILITIES | 14 |
| 11.1 Investigator Responsibility/Performance | 14 |
| 11.3 Confidentiality | 14 |
| 11.4 Informed Consent and Permission to Use Protected Health Information | 15 |
| 11.5 Source Documentation and Investigator Files | 15 |



| | |
|--------------------------------------------------------------------|----|
| 11.6 Recording and Processing of Data..... | 16 |
| 11.7 Non-Protocol Research..... | 16 |
| 11.8 Ethics | 16 |
| 11.9 Essential Documents for the conduct of a clinical trial | 16 |
| 12. STUDY CALENDAR | 17 |
| 13 REFERENCES..... | 18 |

This clinical trial shall be conducted in compliance with the protocol, as referenced herein, and all applicable local, national, and international regulatory requirements to include, but not be limited to:

- International Conference on Harmonization (ICH) Guidelines on Good Clinical Practice (GCP)
- Ethical principles that have their origins in the Declaration of Helsinki
- Food and Drug Administration (FDA) Code of Federal Regulation (CFR):
 - Title 21CFR Part 50 and 45 CFR Part 46, Protection of Human Patients
 - Title 21CFR Part 54, Financial Disclosure by Clinical Investigators
 - Title 21CFR Part 56, Institutional Review Boards
 - Title 45 CFR Parts 160, 162, and 164, Health Insurance Portability and Accountability Act (HIPAA)

As the Principal Investigator, I understand that my signature on the protocol constitutes my agreement and understanding of PI responsibilities to conduct the clinical trial in accordance to the protocol and applicable regulations. Furthermore, it constitutes my understanding and agreement that any changes initiated by myself, without prior agreement in writing from the Sponsor, shall be defined as a deviation from the protocol, and shall be formally documented as such.

I understand that my signature constitutes agreement and understanding of acceptance of the defined responsibilities of a Sponsor-Investigator as defined by the protocol, applicable FDA Regulations, and/or business contracts, but does not in any capacity relieve me of my responsibilities as the Sponsor-Investigator. Additionally, my signature constitutes my understanding and agreement that any changes to the protocol shall be implemented timely with my review and approval prior to implementation.



INVESTIGATOR'S AGREEMENT

I confirm that I have read this protocol, I understand it, and I will work according to this protocol and to the ethical principles stated in the latest version of the Declaration of Helsinki, the applicable ICH guidelines for good clinical practices, and the applicable federal, state, and local laws, rules, and regulations relating to the conduct of the protocol.

I have read and understand the information in the Instructions for Use (and/or other such pertinent safety information) regarding the risks and potential benefits.

I agree to inform all those who assist/collaborate with me in the conduct of this study of their responsibilities and obligations.

Once the protocol has been reviewed and approved by the Institutional Review Board (IRB) I understand that any change(s) made during the course of the study must also (first) be approved by the IRB prior to implementation, except when such modification is made to remove any immediate hazard(s) to the subject(s).

I certify that I and the study staff responsible, have received the requisite training to conduct this research protocol.

I agree to maintain adequate and accurate records in accordance with the University of Miami policies, federal, state and local laws and regulations.

I agree to maintain the confidentiality of all information received and/or developed in connection with this protocol.

Ranjith Ramasamy

Print Name of Physician

A handwritten signature in black ink, appearing to read 'Ranjith R'.

Physician's Signature

March 4, 2019

Date

1.BACKGROUND

1.1 Study Disease

Testosterone is an anabolic steroid and the primary male sex hormone promoting development of male reproductive tissues such as prostate and testis. It can activate androgen receptors in its unchanged form or it can be converted to 5 α -dihydrotestosterone (DHT) by the enzyme 5 α reductase before binding to the androgen receptor. Once bound, the receptor-hormone complex moves into the cell nucleus altering specific gene sequences on the cellular DNA and modifying its transcription, therefore promoting protein synthesis and thus growth of tissues that are sensible to its action.¹ Spermatogenesis is a process that relies on testosterone levels in order to produce good quality sperm cells. This process starts with a primitive germ cell known as spermatogonia; which divides to produce spermatocytes that ultimately form young sperm cells known as spermatids. These last cells then mature and are transformed into spermatozoa or sperm cells. Is in this maturation process where testosterone is crucial. Normal sperm count for a healthy male is higher than 20 million per milliliter.²

Hypogonadism, or low testosterone (Low T), is the deficiency in producing testosterone by the testes. Low T affects more than 10% of men worldwide, with high incidence in the elderly (Haring, et al., 2010). It occurs in association with aging, chronic disease, or other modifiable risk factors such as obesity and diabetes.¹ Testosterone deficiencies have been shown to associated with less muscle mass³, lower bone mineral density⁴, lower hematocrit and hemoglobin concentrations⁵, smaller prostate glands⁶, and diminished energy and sexual function than normal men.^{7,8}

1.2 Study Interventions

Testosterone replacement therapy (TRT) is becoming more widely available and has seen a greater than three-fold increase in use men 40 years and older.⁹ Current delivery systems of TRT include transdermal gels and patches¹⁰, injection therapy¹¹, and long acting subcutaneous pellets.¹² TRT has been shown to improve bone mineral density, prostate volume, energy, and sexual function.⁸ Natesto TM is a relatively new form of TRT that is delivered intranasal to men diagnosed with low T and that has the potential to avoid side effects related to TRT that are commonly seen with other delivery methods. A recent study showed that this medication was well tolerated with very low adverse events and discontinuation rates among patients. ¹¹

1.3 Study Rationale

Current advantages to Natesto TM include ease of delivery and decreased risk of the medication being transfer upon skin contact to woman or children.¹¹ Recently Natesto 4.5% NTG (125 uL/nostril, 11.0mg testosterone/dose) TID dosing was shown to also increase serum testosterone while maintaining normal, though decreased, serum levels of LH and FSH.¹⁶ This has the

theoretical benefit of maintaining normal semen parameters while on TRT with Natesto- to date, this theoretical benefit has not been evaluated.

While Natesto has been shown to have positive effects on Testosterone while maintaining LH and FSH, the impact on sperm count has not yet been proven. Past research has shown a marked reduction in spermatogenesis in topical testosterone gel treatment.¹⁷ Natesto™ has the potential to maintain normal spermatogenesis levels via maintenance of FSH and LH levels in the normal range. Our hypothesis is that Natesto™ will significantly increase Testosterone while having no significant impact on FSH, LH, and serum parameters in men with Low testosterone. A proposed mechanism for this is that the TID dosing of Natesto will maintain pulsatile release of GnRH, preventing marked decreases in serum FSH and LH.

2. HYPOTHESIS

2.1 Alternate hypothesis

Natesto™ will not change sperm count by $\pm 10\%$ from baseline.

2.2 Null Hypothesis

Natesto™ will change sperm count by $\pm 10\%$ from baseline.

3. OBJECTIVES

3.1 Primary Efficacy Objective

Primary outcomes will be changes in LH, FSH, testosterone, estrogen, and quality of life from baseline, 14 weeks ± 7 days and 26 weeks ± 7 days.

3.2 Secondary Efficacy Objective

Secondary outcomes will be changes in sperm count from baseline, 14 weeks ± 7 days, and 26 weeks ± 7 days after treatment, as well as monitoring for adverse effects throughout the duration of our study.

4. STUDY DESIGN

4.1 Accrual goal

A total of 40 patients with a diagnosis of idiopathic hypogonadism (testosterone <350 on two consecutive T samples collected 1-4 weeks apart. meeting the eligibility criteria will be recruited from the Department of Urology clinic.

4.2 Duration of Study Participation

Total study duration will be 6 months and subjects will be provided with Natesto for the duration of the study (24 weeks treatment course).

5 STUDY ENTRY, ENROLLMENT AND WITHDRAWAL

5.1 Study Entry

Study entry, as used in this protocol, will be defined as a subject signing informed consent. Study enrollment, as used in this protocol, will be defined as the investigator's confirmation of the subject's eligibility by signing an eligibility checklist. As per University of Miami policy, each study participant, including participants who have screened failed, who sign an informed consent form, should be entered into the study database.

5.2 Enrollment Procedure

Completed and signed protocol-specific eligibility checklist;

All pages of the original signed informed consent forms (ICFs), including HIPAA Form B; Relevant source documents or medical records such as: subject medical history and physical exam, admission or discharge notes, diagnostic reports, pathologic confirmation of diagnosis, and relevant subject-specific written communication.

Documentation from the Investigator that he/she has determined the subject meets eligibility criteria.

5.3 Cancellation Guidelines

The following are reasons for withdrawal of subjects from the study:

- A subject does not meet the eligibility criteria; (the subject will be considered a screen failure).
- A subject withdraws consent,
- A subject dies during protocol participation from causes other than the study treatment (not due to adverse events) or
- A study investigator decides the subject should be withdrawn from the study (e.g. subject non-compliance)

Regardless of reason for withdrawal, an intention to treat analysis will be performed.

All subjects who either screen fails, is withdrawn from the study or has completed all visits should be de-enrolled from the research database within 48 hours.

6. PATIENT SELECTION/ELIGIBILITY CRITERIA

6.1 Inclusion (Eligibility) Criteria

Subjects must meet the following criteria:

1. Voluntarily sign and date the study consent form(s) which have been approved by an Institutional Review Board (IRB). Written consent must be obtained prior to the initiation of any study procedures.
2. Male between 18 and 55 years of age, inclusive, with documented onset of hypogonadism prior to age 55.
3. Documented diagnosis of primary hypogonadism (congenital or acquired) or hypogonadotropic hypogonadism (congenital or acquired).
4. Serum total testosterone < 350 ng/dL based on 2 consecutive blood samples obtained 1-4 weeks apart between 6 and 10 AM following an appropriate washout of current androgen replacement therapy; with clinical symptoms of hypogonadism such as diminished energy and sexual function; and/or a decreased sperm count (<20 million sperm/mL semen).
5. Naïve to androgen replacement or has discontinued current treatment and completed a washout of 4 weeks following androgen treatment (excluding Testopel TM). Washout must be completed prior to collection of baseline serum testosterone samples to determine study eligibility.
6. Judged to be in good general health as determined by the principal investigator based upon the results of a medical history, physical examination, vital signs, and laboratory profile and a 12-lead electrocardiogram (ECG) performed at the time of enrolment of within the previous 6 months.

6.2 Exclusion (Eligibility) Criteria

Subjects meeting any of the following criteria will be excluded from the study:

1. History of significant sensitivity or allergy to androgens, castor oil or product excipients.
2. Clinically significant findings in the pre-study examinations including abnormal breast examination requiring follow-up.

3. Abnormal prostate digital rectal examination (DRE) with palpable nodule(s) or I-PSS score > 19 points.
4. Body mass index (BMI) ≥ 35 kg/m².
5. Azoospermia or severe oligospermia (<1 million sperm/cc) on screening semen analysis: no sperm found in direct nor centrifuged semen sample or previous history of vasectomy.
6. Clinically significant abnormal laboratory value, in the opinion of the investigator, in serum chemistry, hematology, or urinalysis including but not limited to:
 - a. Baseline hemoglobin > 16 g/dL
 - b. Hematocrit < 35% or > 50%
 - c. PSA > 4 ng/mL and age >40
7. History of seizures or convulsions, including febrile, alcohol or drug withdrawal seizures.
8. History of any clinically significant illness, infection, or surgical procedure within 4 weeks prior to study drug administration.
9. History of stroke or myocardial infarction within the past 5 years.
10. History of, or current or suspected, prostate or breast cancer.
11. History of diagnosed, severe, untreated, obstructive sleep apnea.
12. History of abuse of alcohol or any drug substance in the opinion of the investigator within the previous 2 years.
13. History of nasal disorders such as nasal polyps; nasal septal perforation; nasal surgery; nasal trauma resulting in nasal fracture within the previous 6 months or nasal fracture that caused a deviated anterior nasal septum; sinus surgery or sinus disease
14. Donation or loss of 550 mL or more blood volume (including plasmapheresis) or receipt of a transfusion of any blood product within 12 weeks prior to the start of treatment.
15. Inadequate venous access for collection of serial blood samples required for pharmacokinetic profiles.
16. Receipt of any investigational product within 4 weeks or within 5 half-lives prior to the start of treatment.

17. Inability to understand and provide written informed consent for the study.
18. Considered by the investigator or the sponsor-designated physician, for any reason, that the subject is an unsuitable candidate to receive Natesto.
19. History of treatment with clomiphene citrate within the last 12 months.
20. History of varicocele repair.
21. Actively seeking conception unless agreeing on sperm cryopreservation for a minimum of 12 months from enrollment in the study.

6.3 Study Population

The study will consist of 80 males suffering from idiopathic hypogonadism.

6.4 Setting

Subjects will be identified from those visiting the University of Miami – Department of Urology and the UHealth Fertility Center, and receiving the diagnosis of idiopathic hypogonadism. If they meet the inclusion criteria, the patients will receive an explanation of the study. Patients will be informed both verbally and in written form of the study and procedures involved. The PI, Resident, Fellows and/or the study coordinator will obtain a signed/dated Informed Consent Document (ICD) before enrolling each subject. Subsequent visits will take place in the same Clinic. Study data will be safely stored in a RedCap database.

7. STUDY DESIGN, CLINICAL, RADIOLOGICAL, LABORATORY AND SURGICAL EVALUATIONS

7.1 Study Design

This is a prospective clinical study aimed to evaluate the safety and efficacy of Natesto TM on patients with idiopathic hypogonadism. Clinical signs and symptoms of hypogonadism, i.e. diminished energy and sexual function; and/or a decreased sperm count constitute the clinical indication for TRT in our study population.

7.2 Screening Evaluations and Procedures

The first visit of the patients will be for screening and medical evaluation. Patient's medical co-medication history will be collected and documented and a physical examination will be performed.

Previous month's blood test results will be reviewed including a general chemistry panel, a lipid profile, and Testosterone levels during chart review.

Patients will sign an informed consent and in case they meet all inclusion criteria (and do not meet any exclusion criteria), they will be recruited to the study.

7.3 Pre-Treatment Procedures and Evaluations

Upon evaluating the inclusion/exclusion criteria, patients will be recruited to the study. Patients will be instructed to stop any use of androgen replacement for 4 weeks prior to first treatment session and refrain from using any other androgen replacement therapy option during the study. After the washout period and before the first treatment session, patients will answer the IIEF-EF and SF-36 questionnaires for baseline evaluation.

7.4 Follow-Up Procedures and Evaluations:

Follow-up visits will be conducted at week 14+/- 7 days and 26+/- 7 days, these visits shall include:

- Measuring IIEF-EF and SF-36 scores of patients at the clinic
- Taking serum FSH, LH, E, and total Testosterone levels
- Semen analysis
- Reporting and recording adverse events at every follow-up visit.

Additional determinations of total serum testosterone will be performed periodically at every follow up visit, beginning one month after initiation of therapy. Additional semen analysis will be performed at weeks 15+/- 7 days and 27+/- 7 days.

8. ADVERSE EVENTS

8.1 Expected Adverse Events

In a 90-day clinical study, the most commonly reported adverse reactions to Natesto™ were “prostate specific antigen (PSA) increased, headache, rhinorrhea, epistaxis, nasal discomfort, nasopharyngitis, upper respiratory tract infection (URI), sinusitis, bronchitis and nasal scab”² Natesto™ in large doses may lower sperm count and occasionally lead to azoospermia.

8.2 Serious Adverse Events

Serious injury or death

Any adverse event and eventual complication must be recorded at any time during the treatments and the follow up visits, and throughout the entire study duration. Patients will be instructed to alert the study investigator by telephone of any side effects occurring in the period after the treatment and until the study end.

For Reporting of adverse events see section 9

9. DATA AND SAFETY MONITORING PLAN

The study investigators will report to a surgeon monitor Dr. Satyanarayana Ramgopal in the department of urology (who is not involved in the study) to ensure data quality and subject safety. The investigators will conduct continuous reviews of the data and subject safety, keeping track of the number of subjects, significant toxicities in accordance with the protocol and observed responses, which will be discussed at research committee meetings. All grade 3-5 adverse events (CTCAE v4.0) , will be entered into study database and reviewed at research committee meetings. In addition, all adverse reactions considered “serious” will be entered into research database and reviewed by the Surgeon monitor on an ongoing basis. If a death occurs within 30 days of treatment and is determined to be related to the study, the investigators will notify the Department Chair Dr. Dipen Parekh within 1 business day. If an increase in the frequency of grade 3 or 4 adverse events is noted in the study, a report will be submitted to the Department Chair Dr. Dipen Parekh at the time the increased rate is identified. If at any time the principal investigator stops enrollment or stops the study due to safety issues, the Department Chair (Dr. Dipen Parekh) will be notified within 1 business day and a formal letter will be sent to the Department Chair (Dr. Dipen Parekh) to be received within 10 business days. Additionally to reporting to the Department chair, all serious events will also be reported to the supervising IRB in the same timely manner.

10. STATISTICAL CONSIDERATIONS

10.1 Primary Study Endpoints

The primary endpoint will be change in FSH, LH, Testosterone, and Semen Analysis after 6 months of treatment of Natesto.

10.2 Endpoint definitions

In the semen analysis, we will include changes in the following parameters: Semen volume (ml), sperm concentration ($\times 10^6$), sperm motility (% progressive), sperm morphology (% normal forms) and sperm viability (% viable); as exploratory endpoints.

Total testosterone will be expressed in ng/dL, and FSH and LH in mIU/mL. Hormone determinations will be done by peripheral venous puncture blood draw.

10.3 Sample size, accrual and study duration

TOTAL SAMPLE SIZE: 80
TOTAL ACCRUAL: 80
ACCRUAL DURATION: 6 months
STUDY DURATION: 27 weeks

10.4 Statistical Analysis and Power calculation

The average and standard deviation of all relevant variables and baseline characteristics, primary and secondary outcomes will be calculated.

Change in sperm count will be analyzed using the ANOVA and MANOVA. A change in $< \pm 10\%$ will be reject the null hypothesis.

LH, FSH, E and Testosterone levels will be analyzed using one-sample t-test with repeated measures throughout the study.

IIEF-EF and SF-36 questionnaires will be analyzed and compared between the groups according to Fisher's exact test in each of the endpoints. The statistical significance will be set at $P < 0.05$.

Demographic characteristics such as age and testosterone level will be compared between groups A and B using student's test. Other demographic characteristics, such as medical background and risk factors will be compared between these groups using Fisher's exact test.

11. INVESTIGATORS RESPONSIBILITIES

11.1 Investigator Responsibility/Performance

The investigator (or a person designated by the investigator) should inform the patient of all pertinent aspects of the study, including the written information.

The investigator should provide the patient ample time and opportunity to inquire about details of the study and to decide whether or not to participate in the study. All questions about the study should be answered to the satisfaction of the patient. Neither the investigator, nor the study staff, should coerce or unduly influence a patient to participate or to continue to participate in a study.

11.3 Confidentiality

The identity of the patients in this study will be treated as confidential. Patients eligible to participate in the study following the pre-treatment visit will be assigned a unique patient code. The results of the study, including any other data, may be published for scientific purposes but will not give the patients' name or include any identifiable references to them.

However, any records or data obtained as a result of the patient participation in this study may be inspected by the sponsor, by any relevant governmental agency, by the Hospital Ethics Committee, or by the persons conducting this study, provided that such inspectors are legally obligated to protect any identifiable information from public disclosure, except where disclosure is otherwise required by law or a court of competent jurisdiction. These records will be kept private in so far as permitted by law.

11.4 Informed Consent and Permission to Use Protected Health Information

It is the responsibility of the investigator to obtain written informed consent from each subject participating in this study after adequate explanation, in lay language, of the methods, objectives, anticipated benefits, and potential hazards of the study. The investigator must also explain that the subject is completely free to refuse to enter the study or to discontinue participation at any time (for any reason) and receive alternative conventional therapy as indicated. Prior to study participation, each subject will sign an IRB approved informed consent form and receive a copy of same (and information leaflet, if appropriate).

The investigator or designee **must** explain to the subject before enrollment into the study that for evaluation of study results, the subject's protected health information obtained during the study may be shared with the study sponsor, regulatory agencies, and the IRB. It is the investigator's (or designee's) responsibility to obtain permission to use protected health information per HIPAA from each subject, or if appropriate, the subjects' parent or legal guardian.

11.5 Source Documentation and Investigator Files

The investigator will maintain adequate and accurate records to document the conduct of the study and to ensure that study data can be subsequently verified. These documents will be classified into two separate categories: (1) investigator study file and (2) subject clinical source documents that corroborate data collected on the CRF's. Subject clinical source documents would include hospital/clinic patient records; physician's and nurse's notes; original laboratory, radiology, pathology, and special assessment reports; QOL forms, signed informed consent forms. When the CRF or any form is used as the source document, this will be clearly stated in the investigator study file.

At a minimum, the following be documented in source documents:

- Medical history/physical condition and diagnosis of the subject before involvement in the study sufficient to verify protocol entry criteria.
- Study number, assigned subject number, and verification that written informed consent was obtained (each recorded in dated and signed notes on the day of entry into the study)
- Progress notes for each subject visit.
- Laboratory test results including semen analysis.

- Condition and response of subject upon completion of or early termination from the study.
- IIEF-EF and SF-36 Surveys.

11.6 Recording and Processing of Data

Data for this study will be entered into electronic CRFs in research database (a web-based clinical research management application). A CRF is required for every patient who received any study intervention. The investigator will ensure that the CRF's are accurate, complete, legible and timely. Separate source records are required to support all CRF entries. All corrections to study data will be made by drawing a single line through the information to be corrected without obscuring it. All corrections will be initialed, dated and explained, if necessary. **Do not use "white-out" or obscuring correction tape.**

11.7 Non-Protocol Research

No investigative procedures other than those described in this protocol will be undertaken on the enrolled subjects without the agreement of the IRB.

11.8 Ethics

The investigator agrees to conduct the study in compliance with the protocol, current good clinical practices, and all applicable (local, FDA) regulatory guidelines and standard of ethics

11.9 Essential Documents for the conduct of a clinical trial

Essential documents are those documents with individually and collectively permit evaluation of the conduct of a trial and the quality of the data produced.

The following documents will be on file:

- CV's and license of all investigators.
- IRB documentation/correspondence.
- Documentation of IRB certification.

12. STUDY CALENDAR

| Visit #, Time | Visit 1 (Week 1) Screening | Visit 2 (Week 2) | Visit 3 (Week 6) | Visit 4 (Week 14) | Visit 5 (Week 15) | Visit 6 (Week 26) | Visit 7 (Week 27) |
|--------------------------------------|-------------------------------|---------------------|---------------------|----------------------|----------------------|----------------------|----------------------|
| Medical & Urological History | • | | | | | | |
| Physical Examination & Questionnaire | • | | | • | | • | |
| Informed Consent | • | | | | | | |
| Inclusion & Exclusion Criteria | • | | | | | | |
| Blood Analysis (FSH, LH, T, E) | • | | • (Only T) | • | • (Only T) | • | • (Only T) |
| Semen Analysis | • | • | | • | • | • | • |
| Drug dispensing | | • | | • | | | |

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