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Revision History

№	Date	Changes Implemented	Version Number
1	22.01.2020	New document	1.0
2	17.06.2020	Section 9.2 (Adverse Events) was updated to include additional adverse event summaries. New tables (3.12.X, 3.13.X, 3.14) were added as requested by Roche Regulatory Disclosures and described in ML39355 Study Results Posting Requirements. The numeration of subsequent tables was adjusted. Section 7 (Summary of Study Data) was updated with the statement regarding summary of data from patients who received different Pirfenidone drugs during rollover study. Numeration of sections was adjusted according to the latest template version. Typing errors were corrected.	2.0



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1. Abbreviations and Definitions

Abbreviation	Definition
AE	Adverse event
AESI	Adverse event of special interest
ALT	Alanine aminotransferase
AP	Alkaline phosphatase
AST	Aspartate aminotransferase
ATC	Anatomical Therapeutic Classification
ATS	American Thoracic Society
BAL	Bronchoalveolar lavage
BMI	Body mass index
ccs	Complete case set
CS	Clinically significant
CI	Confidence interval
СК	Creatine kinase
CSR	Clinical study report
CTCAE	Common Terminology Criteria for Adverse Events
DLco	Carbon monoxide diffusing capacity
ECG	Electrocardiogram
eCRF	Electronic Case Report Form
CRF	Case Report Form
EQ-5D-5	European Quality of Life 5-Dimension Questionnaire
(inclu	Iding five levels of severity in each of the existing five EQ-
5D d	limensions)
FAS	Full analysis set
FEV	Forced expiratory volume
(F)VC	(Forced) Vital capacity of the lungs
FU	Follow up
GAP	Gender, Age, Physiology (multidimensional index and
	staging system for IPF)
GGT	Gamma-glutamvl transferase
Hb	Hemoalobin
(HR)CT	(High-resolution) Computer Tomography
ICH	International Conference on Harmonisation
IDMC	Independent Data Monitoring Committee
IMP	Investigational Medicinal Product
ILD	Interstitial lung disease
IPF	Idiopathic Pulmonary Fibrosis
LDH	Lactic dehydrogenase
LOCF	Last observation carried forward
MDT	Multidisciplinary team
(n)MWT	N-minute wak test
NĆS	Not clinically significant
PFT	Pulmonary function test
PRO	Patient-reported outcome
PT	Preferred term
QTC-F	QT interval corrected (using Fridericia's formula)
RBC	Red blood cells
SAE	Serious Adverse Event
SAP	Statistical analysis plan
SD	Standard deviation
SOC	System organ class
SOP	Standard operating procedure
SSD	Sum of squared deviations
SLB	Surgical lung biopsy
TEAE	Treatment emergent adverse events
TID	Three times per day
TS	Treated set
UIP	Usual interstitial pneumonia

The abbreviations and the definitions used in this document are listed below.



VAS	Visual Analogue Scale	
WBC	White blood cells	
yr	Year	

2. Introduction

This SAP is written according to ICH E9 Guideline [1] and Data MATRIX LLC SOP [2, 3] using the Protocol Final version 2.0 dated 01.02.2019 and CRF Final version 1.2 dated 08.07.2019.

The purpose of this document is to provide a more technical and detailed elaboration of the principal features of the analysis, described in the Protocol, and to include detailed procedures for executing the statistical analysis.

The SAP needs to be finalized and signed prior to database soft lock. Revisions to the approved SAP may be made prior to database soft lock. In case of deviation from the finalized SAP, explanation will be provided in the clinical study report (CSR).

3. Study Objectives and Endpoints

3.1. Study Objectives

3.1.1. Primary Efficacy Objective

To estimate the treatment effect of pirfenidone 2403 mg/d on lung function.

3.1.2. Secondary Efficacy Objective

To estimate the effectiveness of pirfenidone on IPF patients' functional capability and quality of life.

3.1.3. Exploratory Efficacy Objective

To estimate the effectiveness of pirfenidone on the frequency and number of acute exacerbations of IPF and on features of HRCT.

3.1.4. Safety Objective

To evaluate the safety of pirfenidone.

3.2. Endpoints

3.2.1. Primary Efficacy Endpoint

• Change from Baseline to Week 26 in absolute mL forced vital capacity (FVC) and % FVC

3.2.2. Secondary Efficacy Endpoints

- Change from baseline to Week 26 in 6-minute walk test (6MWT) distance
- Change from baseline to Week 26 in patients' quality of life as measured with European Quality of Life 5-Dimension Questionnaire (EQ-5D)

3.2.3. Exploratory Efficacy Endpoints

• Frequency and number of IPF exacerbations



• CT scan evaluation (semiquantitative assessment "HRCT fibrosis score". The highresolution computed tomography (HRCT) findings will be evaluated using HRCT scoring system. Interstitial lung disease (ILD) radiologist will make assessment of 4 main findings in three zones of each lung. The six zone scores will be averaged to determine the total score for each patient at baseline and at Weeks 26 and 52)

3.2.4. Safety Endpoints

- Treatment-emergent adverse events (AEs)
- Treatment-emergent serious adverse events (SAEs)
- Change from baseline in clinical laboratory parameters and electrocardiogram (ECG) parameters

4. Study Design

4.1. General Study Design and Plan¹

This is a local, multicenter, interventional, non-randomized, non-controlled, non-comparative, open-label study to assess the effectiveness of pirfenidone in patients with IPF in Russian clinical practice. Approximately 60 patients diagnosed IPF will be enrolled to receive pirfenidone 2403 mg/d for 26 weeks. No comparator group is implied in the study.

For enrolment into the study, the diagnosis of IPF must be confirmed by central review of HRCT scans and of the surgical lung biopsy (SLB) samples, if available (if performed prior enrolment in routine clinical practice). The HRCT scans will be reviewed by one or two central readers who are radiologists with expertise in IPF. If the first expert (central reader-1) agrees with the local opinion, the second expert (central reader-2) will not review scans. If the first expert disagrees with the local opinion, the second expert should review scans. Histopathological (SLB) samples will be reviewed by the one central reader who is a pathologist with expertise in IPF. All other diagnostic procedures will be performed and assessed at a local level.

Eligible patients aged 40–80 years must have a confident clinical and radiographic/ or histological diagnosis of IPF according to 2011 IPF guidelines (ATS 2011). Patients with possible UIP on high-resolution computed tomography and without SLB in case of "working diagnose" of IPF during multidisciplinary team (MDT) discussion, are also eligible for the trial (see Table 2).

Patients will be required to have a relative %FVC ≥ 40 %, percent predicted carbon monoxide diffusing capacity (%DLCO) ≥ 30 %, and able to walk ≥ 100 meters during the 6-minute walk test at the Screening.

All assessments in the study will be initiated only after signed Informed Consent is obtained from the patient. For this purpose, an additional visit may be performed prior to the start of the Screening procedures and an appropriate review of the patient's clinical data relevant to the IPF diagnosis is done.

Any patient identified for the study must discontinue all prohibited therapies including therapy targeted to treat IPF for at least 28 days before the start of the treatment period. Other than

¹ This section is based on the sections 3.1 "Description of the study", 3.2 "End of study and length of study" of the clinical study Protocol.



permanent use of corticosteroids (prednisolone at a maximum daily dose of 15 mg or equivalents) and brief periods of corticosteroid use for acute IPF exacerbation, patients will not receive any other therapy for the treatment of IPF. After central review of HRCT and SLB, patients will enter the Screening period, which may last up to 28 days (4 weeks).

After the Screening, eligible patients will enter the Treatment period lasting 26 (\pm 1) weeks. All patients will receive pirfenidone 2403 mg/d administered orally in divided doses three times per day (TID) with food. Dose of the study treatment will be titrated over 14 days to the full dose of 9 capsules per day (three 267 mg capsules taken orally TID with food). Patients will remain on a stable maintenance dose for the duration of the treatment period unless the dose is reduced to manage adverse events or titrated again when restarting study treatment after an appropriate interruption in treatment.

The primary efficacy endpoint in the study is the change from baseline to Week 26 in absolute (mL) FVC and relative (%) FVC as measured by spirometry procedure. Spirometry will be performed at the Screening, on Day 1 of treatment, at Week 12, Week 26 and in the extended Follow-up period (Weeks 39 and 52), if applicable. At screening, spirometry measurements will be performed before and after administration of albuterol (or salbutamol) from a metered dose inhaler. During further visits, bronchodilator test is not necessary to perform. Spirometry data collected throughout the study will be evaluated by local readers.

Patients will have a telephone assessment at Week 1, when safety-related information and adherence to treatment is collected. Subsequently patients will have in-clinic visits at Weeks 2, 4, 8, 12, 16, 20 and 26. Patients should complete an adverse event and dosing compliance diary between all visits. Additionally, telephone calls to patients will be scheduled at Weeks 3, 5, 7, 13 and 24 as a part of IPF care program. A total of 5-7 calls are scheduled in the study, including extra-calls at Weeks 8 and 10 as needed.

Treatment with pirfenidone will continue until Week 26 (\pm 1). Patients will be followed through the Follow-up visit scheduled 2-4 weeks after treatment completion or until entry into the longterm follow-up (rollover study), whichever occurs earlier. Patients who undergo lung transplantation or who chose to withdraw from study procedures early will be followed for vital status until Week 26. If patients discontinue study treatment early for any reason, they should continue with all scheduled study procedures through Week 26.

Patients who complete participation in the study prematurely due to any reasons (drop-outs) will not be replaced.

Patients who proceed until Week 26 in the study and are compliant with the study treatment, may continue treatment with commercially available pirfenidone after study completion in real clinical practice (long-term follow-up or rollover study). In this case, patients will have two follow-up assessments of FVC and 6MWT at Weeks 39 and 52 and CT scans evaluation at Week 52. Information on AEs occurrence will also be collected during the rollover part of the study.

If a patient cannot participate in the rollover part of the study for any reason, a follow-up visit will be performed 14-28 days after the last dose of pirfenidone is taken.

A schedule of activities is provided in Table 1.

An Independent Data Monitoring Committee (IDMC) will be implemented in the study. The



IDMC will conduct regular review of the trial safety data, with the focus on death cases, serious adverse events, unexpected adverse events, adverse events leading to treatment or study discontinuation, liver enzyme increases, reported as adverse events, and other AEs immediately reported to the Sponsor.

Duration of participation in the study for an individual patient is between 31 and 35 weeks (excluding long-term follow-up). For patients continuing in the rollover study the duration of participation in the study extends up to maximum 58 weeks.

Table 1Schedule of Activities

Study period	IC visit	Screening (Washout, if applicable)		Treatment period							Follow-Up	Long-Term Follow-Up ¹⁶			
Week/ Day (Window)		-4 to −1 W	Day 1	W1 (± 1 d)	W2 (± 2 d)	W4 (± 2 d)	W8 (± 2 d)	W12 (± 2 d)	W16 (± 1 w)	W20 (± 1 w)	W (± ′	'26 1 w)	14–28 days after last dose	W39 (± 2 w)	W52 (± 2 w)
Informed consent ¹	Х														
Phone Call Assessment ²				x											
Demographic data		x													
Medical history, concomitant medication ³	Х3	Х ³	x		x	x	x	x	Х	x		x	x		
Vital signs, physical exam⁴		x	x		x	x	x	x	Х	x	х	х	x	x	x
Height, weight⁵		x	x		x	x	x	x	X	x		x	x		
ECG ⁶		x	x			x	x					x			x
Eliciting AEs		x	x	x	x	x	x	x	X	x		x	x	x	x
Disease Assessment and PFT															
Review SLB ⁷ (if applicable)	x														
Review transbronchial lung biopsy/BAL ⁸		x													
Review HRCT ⁷	x														
HRCT		X ₈										x			x
Spirometry (FVC). Collection and recording of the retrospective FVC values ¹⁰		x	x					x			x	x		x	x
Dico		x													
6MWT		x	x					x			х	х		x	x
Laboratory Tests															
Hematology, blood chemistry ¹¹		x	x		x	x	x	x	Х	x		x	x	x	x
Serologic tests ¹¹		x													
Pregnancy test		x	X ¹²			x	x	x		x		x			
PROs and ClinROs															
GAP assessment		x													



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Study period	IC Visit	Screening (Washout, if applicable)		Treatment period Fo						Follow-Up	Long Follov	-Term v-Up ¹⁶			
Week (Window)		-4 to −1	Day 1	W1 (± 1 d)	W2 (± 2 d)	W4 (± 2 d)	W8 (± 2 d)	W12 (± 1 w)	W16 (± 1 w)	W20 (± 1 w)	V (±	V26 1 w)	14–28 days after last dose	W39 (± 2 w)	W52 (± 2 w)
Borg scale ¹³		х	х					х			х	х		х	х
EQ-5D questionnarie ¹³		x	х					х				х			х
Patient diary (review, dispense) ¹⁴			x	х	х	х	х	х	x	х		х			
Telephone calls (IPF Care program) ¹⁵															

¹Written informed consent must be obtained prior to any study-associated procedure, including discontinuing any prohibited medications.

² Safety-related information (AEs) and adherence to treatment is collected.

³ Complete medical history is collected at an IC visit, washout and screening only. Thereafter, directed history (including review of AEs/SAEs, concomitant medications, oxygen use, hospitalizations, dosing, and diary) is only collected.

⁴ Complete physical examination is performed at the Screening only (head, eyes, ears, nose, and throat, and the cardiovascular, dermatological, musculoskeletal, respiratory, gastrointestinal, genitourinary, and neurological systems). At subsequent visits (or as clinically indicated), only limited, symptom-directed physical examinations should be performed.

⁵ Height is assessed at the Screening only.

⁶ ECG should be performed after patient's resting in a supine position for at least 10 min, prior to other procedures scheduled at that same time (e.g., vital sign measurements, blood draws), bronchodilator administration and within 3 hours after any meal)

⁷ To confirm IPF will be applicable to use previously performed HRCT, if it is performed not earlier than 24 months before the Screening. Review of the SLB samples obtained within 4 years before the Screening should be performed centrally for eligibility confirmation. Histopathological evaluation, if not available, is not repeated at the Screening.

⁸ Transbroncheal biopsy or BAL are not mandatory and will only be reviewed at the Screening, if available, to exclude other causes of PF.

⁹ HRCT should be performed at the Screening only for patients having no validated procedure within 2 months prior initiation of treatment.

¹⁰ At screening, spirometry measurements of FVC should be performed before and after administration of albuterol (or salbutamol) from a metered dose inhaler (4 separate doses of 100 mg). Tests should be repeated after a 15-min delay. During further visits, bronchodilator test is not necessary to perform. Collection and recording of the retrospective FVC values obtained in clinical practice over the last year will be performed only at the screening visit.

¹¹ Blood samples must be drawn in fasted state. Serologic tests: rheumatoid factor, anticyclic citrullinated peptide and antinuclear antibody titer.

¹² Pregnancy test must be performed before first dosing on Day 1 and must be negative. If the urine test is positive, serum pregnancy test must be performed.

¹³ Questionnaires should be self-administered before the patient or clinician receives any information on disease status, prior to the performance of non-PRO assessments, and prior to the administration of study treatment, with the exception for Borg scale administered in conjunction with the 6MWT.

¹⁴ Patient diary should be filled out on daily basis by the patient and reviewed by the investigator at in-clinic visits. Patient diary captures information on compliance and AEs occurance and is dispensed as needed.

¹⁵ Schedule of calls in IPF Care Program you can see in Appendix 7

¹⁶ Only in patients continuing treatment with pirfenidone in real clinical practice.

4.2. Randomization and Blinding²

Randomization is not planned in the study.

Blinding is not applicable in this open-label study.

5. Sample Size³

Taking into account study design (single group prospective study), sample size was estimated based on feasibility of patient enrollment with precision-based approach. In the ASCEND study primary efficacy endpoint (Percent Predicted FVC) in pirfenidone group changed from 67.8 (11.24) (denoted as mean (SD)) at baseline to 65.3 (14.52) at week 26, with stable disease (decline in FVC < 10 % to 0 %) in 60.1 % of study patients.

The required sample size for two-sided 95% confidence level can be calculated using the following formula:

$$\begin{split} \mathbf{n} &= \hat{p}\hat{q}\left(\frac{Z_{\alpha/2}}{E}\right)^2;\\ \mathbf{n} &= 0.601\times 0.399\left(\frac{1.96}{0.125}\right)^2 \approx 58.96. \end{split}$$

Assuming previous calculations, 60 patients included in the study will be sufficient for study parameters estimation. Taking into account study goals and the notion that the study drug is a product for the treatment of an orphan drug, margin of error of 0.125 is considered sufficient for parameter estimation. For precision-based sample size justification, with a sample size of 60 patients, an expected mean value of 2.5% and standard deviation of 20, distance from the mean to limit of the two-sided 95% confidence interval for the mean Change from Baseline to Week 26 (Percent Predicted FVC) will extend about 5 (margin of error). The SD for change from baseline at week 26 is conservatively estimated based on the results of the ASCEND study as standard deviation for Percent Predicted FVC in pirfenidone group at week 26 (14.52) multiplied by $\sqrt{2}$ (Machin et al., 1997). This is also in line with the results of CAPACITY programme (Noble et al. 2011) in which the SD of changes from baseline was 17 - 20 at week 72.

Taking into account an expected rate of screening failures about 45%, up to 109 patients will be screened for eligibility.

6. General Considerations

6.1. Timing of Analyses

No interim analysis is planned in this study.

 ² This section is based on the section 4.2 "Method of treatment assignment and blinding" of clinical study Protocol.
 ³ This section is based on the section 6.1 "Determination of sample size" of clinical study Protocol.



6.2. Analysis Populations

The main patient samples of interest are defined as follows.
The <u>'All enrolled patients' set</u> will consist of all patients who: Gave their Informed Consent.
The <u>'Allocated to treatment' set</u> will consist of all patients who: Gave their Informed Consent and Had successfully completed Screening procedures.
The <u>'Treated set' (TS)</u> will consist of all patients who: Were in the 'Allocated to treatment' set and Received any dose of the study treatment.

The '<u>Full analysis' set</u> (FAS) will consist of all patients who:

- Were included in the 'Treated' set and
- Had data for at least one post-baseline assessment of any efficacy measurement.

The <u>'Complete case set'</u> (CCS) will include FAS patients who

• Provided FVC data at 26-week assessment.

6.3. Missing Data⁴

For missing FVC data imputation, patients will be classified into different patterns depending on the availability of data:

Patients with a 26 week FVC value:

- 1. those who received study drug until 26 weeks (completed the treatment period);
- 2. those who prematurely discontinued study drug, but who were followed up until Week 26.

Patients without a 26 Week FVC value:

- 3. those who were alive at 26 weeks;
- 4. those who died before 26 weeks.

Missing data at other visits before Week 26 (primary outcome variable) will not be imputed. The following imputation methods including sensitivity analyses will be applied for patients with pattern 3 - 4:

Assuming that deaths of the patients (pattern 4) is likely to be related to worsening of IPF, these unobserved FVC values should be lower than those in patients who did not die prior to Week 26. Methods for handling missing data due to death will include the following:

- Replacement with the worst possible value (FVC= 0 mL or 0%) (Primary analysis).
- Replacement with worst observed averaged FVC value at week 26 (Sensitivity analysis).

⁴ This section is based on the section 6.2 "Summaries of conduct of study" of clinical study Protocol.



• Replacement with an intermediate value (FVC =1500 mL or 50%) (Sensitivity analysis).

Missing data due to reasons other than death (e.g., missing visits, early withdrawal from the study, including missing values due to lung transplantations) (pattern 3) will be replaced with imputed values based on the average measurements for "similar" patients at that time point. Similar patients are those without missing data before that time point and whose data have the smallest sum of squared deviations (SSD) from that patient for all visits prior to the one with the missing data. Missing data due to lung transplant will be imputed using the SSD method even if the patient dies after lung transplant.

The procedure referred to as the sum of squared deviations (SSD) is outlined as follows:

Step 1: For post-Baseline missing value to be imputed at a visit (Visit week 26) for a particular patient (Patient A), a set of all patients without any missing values for visits from Baseline up to Visit week 26 as Patient A will be selected.

Step 2: For the patients in this set, the SSDs between each patient selected in Step 1 and Patient A will be calculated across all non-missing values from Baseline up to the visit prior to Visit week 26.

Step 3: The 3 patients with the smallest SSDs will be identified and the average of their nonmissing value at Visit week 26 will be used to impute the missing value for Patient A at that visit. The number of smallest SSDs to calculate the average can be less than 3 due to availability of patients defined in Step 1 or more than 3 based on tied SSDs.

A sensitivity analysis will use the data imputed with last observation carried forward method (LOCF).

Complete case analysis will also be performed as a sensitivity analysis.

If the information about end date for prior/concomitant therapy is missing or incomplete, the following rules (table 6.1) will be used for the classification of therapy as prior or concomitant.

Day	Month	Year	Processing				
			Therapy will be classified as				
is missing	is known	is known	concomitant, if month and year \geq month				
is missing	IS KHOWH	IS KHOWH	and year of the first dose of study				
			therapy, else – as prior				
is missing	is missing	is known	Therapy will be classified as				
is lan our	ia miasina		concomitant, if year \geq year of the first				
IS KHOWH	is missing	IS KHOWH	dose of study therapy, else – as prior				
is missing	is known	is missing	Theremany will be already as				
is known	is missing	is missing	i nerapy will be classified as				
is missing	is missing	is missing	conconntant				

Table 6.1 – Management of partial and missing prior/concomitant therapy end date.



If the information about adverse event start date and time is missing or incomplete, the following rules (table 6.2) will be used for the classification of adverse events as TEAEs and non-TEAEs (occurred before the start of study treatment).

Day	Month	Year	Processing
			AE will be classified as TEAE, if month
is missing	is known	is known	and year \geq month and year of the first
			dose of study therapy
is missing	is missing	is known	AE will be classified as TEAE, if year \geq
is known	is missing	is known	year of the first dose of study therapy
is missing	is known	is missing	AE will be classified as
is known	is missing	is missing	
is missing	is missing	is missing	ILAE

Table 6.2 – Management of partial and missing AE start date.

No date imputation will be performed for listings.

6.4. Interim Analyses and Data Monitoring⁵

No interim analysis is planned in the study.

6.5. Multi-center Studies

Data from all centers will be merged and analyzed as one population for all study endpoints.

6.6. Multiple Testing

No adjustment for multiplicity is planned.

7. Summary of Study Data

Demographic and other baseline characteristics, efficacy and safety data will be summarized by time point of assessment and listed.

Default Summary Statistics

The default summary statistics for quantitative and ordinal variables will be the number of observations (n), mean, standard deviation (SD), median, minimum (Min) and maximum (Max).

Default Frequency Tabulations

For qualitative variables, the number and percentage (n, %) of patients with non-missing data per category and total number of patients with non-missing data where applicable (n') will be the default summary presentation.

For AEs and medical history, however, the denominator for the percentage calculation will be the number of patients at risk. A patient will be considered at risk if the patient is in the Treated set.

⁵ This section is based on the section 6.6 "Interim analysis" of clinical study Protocol.



The number of decimals for each descriptive statistic will be determined by the following rules:

- mean, median: +1 decimal symbols compared to the analysed variable values;
- standard deviation: +2 decimal symbols compared to the analysed variable values;
- minimum and maximum values: the same as for the analysed variable values;
- percentages will be rounded to one decimal symbol;
- confidence intervals will be presented with accuracy of the estimated value.

The maximum number of decimal places in the statistical report is four. If some descriptive statistic has more than four decimal places after above mentioned rules application, this value will be rounded to four decimal places.

Statistical Tests and Common Calculations

Unless otherwise specified in the description of the analyses, the following arrangements will be applied:

- 95% two-sided confidence intervals (CI);
- [if appropriate] CIs for mean values will be calculated based on normal distribution (SAS procedure UNIVARIATE with CIBASIC option);
- [if appropriate] CIs for proportions will be computed using the exact (Clopper-Pearson) method.
- Type I error values (p-values) will be rounded to four decimal symbols.

For descriptive statistics and analysis, the following rules will be applied:

- "<XX" results (e.g. below the limit of quantification, BLQ) will be replaced by the "XX/2" values;

For quantitative measurements, changes from baseline will be calculated as [value at post-baseline visit X – baseline value].

For change from baseline, the baseline value for a variable in common cases (except for efficacy analysis) is defined as the last non-missing value collected before the first study drug administration.

Study day for each event will be calculated from the reference start date (date when patient was first exposed to study drug).

If the date of the event is on or after the reference date, then

study day = (date of event - reference date) + 1.

If the date of the event is prior to the reference date, then

study day = (*date of event – reference date*).

For partial dates study day will not be calculated.

The following visit windows will be defined:



Visit	First day of the visit	Last day of the visit
	WINDOW	WINDOW
Week 1 Telephone Assessment (+/- 1 day)	1	8
Week 2 (+/- 2 days)	6	16
Week 4 (+/- 2 days)	20	30
Week 8 (+/- 2 days)	48	58
Week 12 (+/- 2 days)	76	86
Week 16 (+/- 1 week)	99	119
Week 20 (+/- 1 week)	127	147
1st day at Week 26 (+/- 1 week)	169	189
2nd day at Week 26 (+/- 1 week)	169	189
Week 39 (+/- 2 weeks)	253	287
Week 52 (+/- 2 weeks)	344	378

The data from all subjects who were treated in the rollover study will be pulled for the analysis irrespective of the trade name(s) of pirfenidone used by the subject (Esbriet® or other, if applicable). An explanatory footnote will be added to the outputs where necessary to indicate which pharmaceutical product was used.

7.1. Subject Disposition

The following patient disposition summaries will be provided:

- A summary of the number of patients signed the Informed Consent and the number of screen failures with reasons for premature study termination
- A summary of the number of screen failure patients violated any inclusion/exclusion criterion, by criterion
- A summary of the number of patients allocated to treatment, the number and percentage of treated patients, the number and percentage of patients attended each study visit, the number and percentage of patients who were included into rollover study (Allocated to treatment set)
- A summary of the number and percentage of patients included in each population for statistical analysis (Allocated to treatment set)
- A summary of the number and percentage of patients completed the study and prematurely discontinued from the study by major reason for discontinuation (Allocated to treatment set).

By-patient listings of disposition details will be provided for all patients who signed the Informed Consent.

7.2. Protocol Deviations⁶

Number and percentage of patients with at least one protocol deviation will be tabulated (Allocated to treatment set). All protocol deviations will be listed (All Enrolled patients).

⁶ This section is based on the section 9.2 "Protocol deviations" of clinical study Protocol.



7.3. Demographic and Baseline Variables ⁷

eCRF form: "DEMOGRAPHIC DATA", "VITAL SIGNS".

Descriptive statistics for the following demographic and anthropometric characteristics, which are evaluated at screening, will be presented in accordance with section 7:

- demographic characteristics: age, gender, race, ethnicity;
- anthropometric characteristics: height (cm), weight (kg), body mass index (BMI, kg/m²).

Body mass index will be calculated as weight $(kg) / [height (m)]^2$.

eCRF form: "SURGICAL LUNG BIOPSY", "REVIEW TRANSBRONCHIAL LUNG BIOPSY/BAL", "HRCT SCAN FOR PATIENT ELIGIBILITY CONFIRMATION", "DL(CO)", "SPIROMETRY", "SEROLOGIC TESTS", "GAP RISK ASSESSMENT".

The following parameters will be tabulated in accordance with section 7:

- Disease Assessment and PFT:
 - Surgical lung biopsy (SLB) (if available) (UIP pattern assessment of regional specialist: Consistent, Probable, Possible, Inconsistent / unclassified; UIP pattern assessment of central specialist: Consistent, Probable, Possible, Inconsistent / unclassified)
 - Transbronchial lung biopsy/ Bronchoalveolar lavage (BAL) (if available) (Are there features supporting an alternative diagnosis? Yes/No)
 - High-resolution computed tomography (HRCT) (HRCT fibrosis score; Lung opacity score (Ground-glass opacity))
 - HRCT scan for patient's eligibility confirmation (UIP pattern assessment of regional CT-specialist: Typical (apparent), Possible; UIP pattern assessment of central CT-specialist: Typical (apparent), Possible, Inconsistent)
 - Carbon monoxide diffusing capacity (DL_{CO} predicted)
 - Pre-, post- bronchodilator, and retrospective FVC (absolute), ml; FVC (relative),
 % predicted, pre- post-bronchodilator FEV1/FVC,
- Laboratory Tests:
 - Serologic tests: rheumatoid factor, anticyclic citrullinated peptide and antinuclear antibody titer (Positive / Negative)
- PROs and ClinROs:
 - GAP (Gender, Age, Physiology) assessment (Stage, GAP Index (Points), Mortality 1-yr, Mortality 2-yr, Mortality 3-yr)

Specific calculations and/or conversions include but are not limited to the following:

DL_{CO}: Data for DL_{CO} will be collected in the eCRF. Results of DL_{CO} measurements will be corrected to patients' hemoglobin (Hb) using one of the formulas (Cotes et al. 1979):

Hb-corrected DL_{CO} for men: DL_{CO} × $(10.22 + Hb)/(1.7 \times Hb)$

⁷ This section is based on the section 6.3 "Summaries of Demographic and Baseline characteristics", 4.5.6 "HRCT and Surgical Lung Biopsy", 4.5.7 "Spirometry and DL_{CO} Measurements", 4.5.8 "Laboratory, Biomarker, and Other Biological Samples", 4.5.11.4 "GAP Risk Assessment System" of clinical study Protocol.



Hb-corrected DL_{CO} for women: $DL_{CO} \times (9.38 + Hb)/(1.7 \times Hb)$

where Hb indicates the value of hemoglobin, in g/dL. If the Hb value is reported in g/L, then the Hb value is divided by 10. If the Hb value is reported in mmol/L, then the Hb value is divided by 0.6206.

Use the hemoglobin value available on the same day if possible; otherwise, the closest hemoglobin value before or after the actual DL_{CO} assessment date should be used. If the two values are equidistant, the value before the actual DL_{CO} assessment should be used.

All data will be listed.

7.4. Concurrent Illnesses and Medical Conditions⁸

eCRF form: "GENERAL MEDICAL HISTORY", "HISTORY OF IDIOPATHIC PULMONARY FIBROSIS".

Medical history findings will be coded using the Medical Dictionary for Regulatory Activities (MedDRA) version 22.0 (or further updating) according to Data MATRIX SOP [4], and will be presented by Primary System Organ Class (SOC) and Preferred Term (PT) within SOC.

Medical history events marked as "Resolved" will be considered as prior diseases. Medical history events marked as "Ongoing" will be considered as concomitant diseases.

Prior and concomitant diseases will be tabulated separately.

Medical history findings will be reported on a by-patient basis. This implies that if the patient suffered the same event (mapped to same PT) repeatedly the event will be counted once and only once for appropriate SOC. Within SOC patients may have reported more than one PT. The SOCs and PTs within each SOC will be sorted by descending order of total incidence.

As medical history of main disease, the following parameters: (Idiophatic Pulmonary Fibrosis), Duration of clinical symptoms consistent with IPF (months), Clinically significant environmental exposure known to cause pulmonary fibrosis (Yes/ No), Known explanation for interstitial lung disease (Yes/ No), Cigarette smoking within 28 days before the treatment start (Yes/ No) will be presented descriptively in the separate table.

All data will be listed.

7.5. Prior and Concurrent Medications⁹

eCRF form: "PRIOR/ CONCOMITANT MEDICATIONS".

⁸ This section is based on the section 4.5.2 "Medical History and Demographic Data", 6.3 "Summaries of Demographic and Baseline characteristics" of clinical study Protocol.

⁹ This section is based on the section 6.2 "Summaries of conduct of study" of clinical study Protocol.



1. Prior and concomitant medications will be analyzed based on the information from the Concomitant/Prior Medications (CM) domain.

2. All prior and concomitant medications will coded using the World Health Organization Drug Dictionary (WHODD) version B2 March 2018 (or further updating) according to Data MATRIX SOP [4].

Classification of treatment as either Prior or Concomitant will be based on stop date of medication in "PRIOR/CONCOMITANT" eCRF form. The number (%) of patients reporting the use of any medications, and the number of reported medications will be presented in separate tables by pharmacological subgroup (3rd level) and chemical substance (5th level).

Medications that stop prior to the date of first dose of study therapy will be classified as Prior medications. If a medication stops on or after the date of first dose of study therapy (or "ONGOING") then the medication will be classified as Concomitant. For patients who take part in the rollover study, medications started on or after the date of the first dose of the study drug during the rollover study will be summarized separately.

3. If the information about stop date of medication is missing or incomplete, the rules from section 6.3 will be applied for classification. Medications will be assumed to be concomitant, unless there is clear evidence (through comparison of partial dates) to suggest that the medication stopped prior to the first dose of study therapy. If there is clear evidence to suggest that the medication stopped prior to the first dose of study therapy, the medication will be assumed to be Prior.

By-patient listings will be provided.

8. Efficacy Analyses¹⁰

eCRF form: "SPIROMETRY".

Descriptive statistics for all efficacy variables will be presented by visit. For the descriptive statistics, the rules presented in section 7 will be applied.

The FAS and Complete case set will be used for the efficacy analysis. Analysis on Complete case set will be one of the sensitivity analyses to be performed.

All efficacy data will be listed.

8.1. Primary Efficacy Analysis

The primary outcome variable is the absolute change in FVC from Baseline to Week 26 (both in mL and % predicted). Baseline FVC will be the average of the FVC measured at the Screening (excluding values after bronchodilator administration) and Day 1 visits, if either is missing, the non-missing value will be used. The FVC at Week 26 will be the average of the FVC measured on

¹⁰ This section is based on the section 6.4 "Efficacy analyses" of clinical study Protocol.



two separate days at Week 26, if either is missing, the non-missing value will be used. If both values are missing, the data will be imputed as specified in Section 6.3.

Data will be analyzed using standard descriptive statistics for continuous variables and 95% CI for the means and mean changes.

The data imputation methods for primary endpoint are described in Section 6.3.

For the primary analysis, missing data due to death will be replaced with the worst possible value (FVC=0 mL or 0%). Missing data due to reasons other than death (pattern 3) will be replaced with imputed values based on the average measurements for "similar" patients at that time point (SSD, Primary analysis).

As sensitivity analyses, the following imputation methods will be used:

1. Missing data due to death will be replaced with the worst observed FVC value at week 26. Missing data due to reasons other than death (pattern 3) will be replaced with imputed values based on the average measurements for "similar" patients at that time point (SSD).

2. Missing data due to death will be replaced with an intermediate value (FVC =1500 mL or 50%). Missing data due to reasons other than death (pattern 3) will be replaced with imputed values based on the average measurements for "similar" patients at that time point (SSD).

3. Missing data due to death will be replaced with the worst possible value (FVC= 0 mL or 0%). Missing data due to reasons other than death (pattern 3) will be replaced with LOCF.

4. Missing data due to death will be replaced with the worst observed FVC value at week 26. Missing data due to reasons other than death (pattern 3) will be replaced with LOCF.

5. Missing data due to death will be replaced with an intermediate value (FVC =1500 mL or 50%). Missing data due to reasons other than death (pattern 3) will be replaced with LOCF.

6. Missing data due to death and due to reasons other than death (pattern 3) will be replaced with LOCF.

Analysis of the primary efficacy endpoint will be also repeated on the Complete case set (CCS) without missing data imputation as the sensitivity analysis. Complete case set will include patients related to pattern 1 and 2 (section 6.3), results will be reported for pattern 1 and 2 separately and overall.

Primary analysis with missing data imputation of the primary efficacy endpoint and analysis on the CCS set will also be repeated excluding all values outside of visit window based on visit window definitions in Section 7.

For this analysis, if one of the observations pertaining to Week 26 visit is within the visit window and the other is outside the visit window, the observation which is within the visit window will be used for Week 26 assessment. The distribution (number and percentage) of primary outcome variable across three categories of change from Baseline (Decline of $\geq 10\%$ or death



before Week 26; Decline of < 10% to 0%; Improvement of \ge 0%) (absolute change in FVC % predicted and relative change for volume) will be provided in frequency table.

Additionally, the absolute change in FVC from Baseline at Week 12, 39 (optional) and 52 (optional) and mean FVC values through all time points (both in mL and % predicted) will be summarized (without missing value imputation) in tables with standard descriptive statistics for continuous variables and 95% CI for the means and mean changes. For each time point, descriptive analysis will be repeated for values within visit window.

8.2. Secondary Efficacy Analyses

eCRF form: "6-Minute Walk Test", "BORG SCALE", "EUROPEAN QUALITY OF LIFE 5-DIMENSION 5-LEVEL QUESTIONNAIRE".

Change in 6MWT distance from Baseline to Week 26

Baseline 6MWT distance will be the average of the measurements recorded at the Screening and Day 1 visits. The 6MWT distance at Week 26 will be defined as the average of the 6MWT distance recorded on two separate days at Week 26. Data will be analyzed using standard descriptive statistics for continuous variables and 95% CI for the means and mean absolute changes.

The distribution (number and percentage) of 6MWT distance across three categories of change from Baseline (Decline of \geq 50 m or death before Week 26; Decline of <50 m to 0 m (exclusively), Improvement of \geq 0 m) will be provided in frequency table.

Additionally, the absolute change in 6MWT from Baseline at Week 39 (optional) and Week 52 (optional) will be summarized in table with standard descriptive statistics for continuous variables and 95% CI for the mean.

Borg scale results will be presented descriptively by visit of assessment. Borg scale results (as a continuous variable) will be presented for both pre-test and post-test by visit of assessment and change from baseline (the average of the measurements recorded at the Screening and Day 1 visits) at post-baseline visits for pre-test assessments only.

The Borg scale results at Week 26 will be defined as the average of the Borg scale results recorded on two separate days at Week 26. If at baseline and/or at Week 26 for 6MWT distance or Borg scale either value is missing, the non-missing value will be used.

Descriptive analysis for 6MWT distance and Borg scale results will be repeated excluding assessments outside of visit window.

Change from Baseline to Week 26 in patients' quality of life as measured with EQ-5D-5L

• Cross tabulation for each of five dimensions (Mobility, Self-Care, Usual Activities, Pain / Discomfort, Anxiety / Depression) by response and study week.



- VAS score, including absolute changes from baseline, will be summarized with descriptive statistics by study week.
- EQ-5D-5L index score using the UK value set, including absolute changes from baseline, will be summarized with descriptive statistics by study week.

Descriptive analysis will be repeated excluding assessments outside of visit window.

The baseline assessment is the last non-missing assessment prior to the first dose of the study drug.

8.3. Exploratory Efficacy Analyses

eCRF form: "HRCT SCAN", "ADVERSE EVENTS".

HRCT fibrosis score

The HRCT findings will be evaluated using HRCT scoring system. One ILD radiologist will make assessments of 4 main findings in three zones of each lung. The six zone scores will be averaged to determine the total score for each patient. Score will be recorded at the initial diagnosis and after six and 12 months in a similar manner for further comparison. The mean \pm SD of HRCT fibrosis score like continuous variable will be determined obligatory at baseline, 6 months and optional at 12 months.

The values of HRCT fibrosis score at baseline, at Week 26 and Week 52 (optional) will be summarized in table with standard descriptive statistics for continuous variables and 95% CI for the mean.

Additionally, the absolute change of HRCT fibrosis score from baseline at Week 26 and Week 52 (optional) will be summarized in table with standard descriptive statistics for continuous variables and 95% CI for the mean.

The paired t-test will be performed to evaluate the changes in the variable from the baseline to Week 26 and Week 52 (optional), respectively. The type I error rate will be set to 5%.

Lung opacity (ground-glass attenuation)

The sign 'lung opacity' will be qualified separately using methodology described above for other signs, and its change over time will be assessed outside the framework of a total summed index of fibrosis (HRCT fibrosis score). Lung opacity should be graded as reticular abnormality to calculate the score for each zone, i.e. the percentage (%) area of opacity in a zone should be multiplied by the score of 2.

The lung opacity score at Baseline, at Week 26 and Week 52 (optional) will be summarized using standard descriptive statistics for continuous variables and 95% CI for the mean.

Additionally, the absolute change of lung opacity score from Baseline at Week 26 and Week 52 (optional) will be summarized in table with standard descriptive statistics for continuous variables and 95% CI for the mean.



Exacerbations

An exacerbation will be defined as an AE with special criteria "Is this an exacerbation of IPF?" = "Yes", i.e. only in case the exacerbation has led to death of a patient. Rate of exacerbation will be reported in three following tables:

- Exacerbation cases during treatment period (until Week 26).
- Exacerbation cases during long-term follow-up period (from Week 26 to Week 52).
- Exacerbation cases during whole study period (from Day 1 to Week 52, applicable only for patients continuing in the rollover study).

Exacerbation cases will be listed.

The treatment period and rollover period for the analysis of exacerbations will be defined similarly to Section 9.2.

9. Safety Analyses

9.1. Exposure

Exposure will be evaluated based on the information from the "ASSESSMENT OF COMPLIANCE", "STUDY DRUG ADMINISTRATION (TREATMENT PERIOD)", "COMMERCIALLY AVAILABLE PIRFENIDONE ADMINISTRATION (ROLLOVER STUDY)" eCRF form.

Pirfenidone will be taken orally in a daily dose of 2403 mg in divided doses three times per day with food. Study treatment should be titrated over 14 days, as tolerated, to the full dose of 9 capsules per day (three capsules TID), as follows:

- Days 1 to 7: one capsule TID (801 mg/day)
- Days 8 to 14: two capsules TID (1602 mg/day)
- Day 15 and continuing: three capsules TID (maximum of 9 capsules daily equal to 2403 mg/day).

Study treatment will continue until visit at Week 26 (\pm 1 week), unless terminated earlier for reasons described in Section 4.6.2 of the Protocol or patient is proceeding in the rollover study.

The total duration of exposure is defined as the time interval in weeks between the first dose and the last dose, inclusive, of study drug based on the patient study drug dosing information. Total duration (weeks) of exposure to study drug in the Treated set will be summarized by study period using descriptive statistics.

Exposure (duration of therapy during rollover study and overall up to 58 weeks) for patients in the rollover study will also be presented descriptively.



Descriptive statistics for Total number of capsules taken during the study period will also be presented.

All exposure data will be listed.

9.2. Adverse Events

eCRF form: "ADVERSE EVENTS".

AEs will be reported on a per-patient basis, i.e. counting patients rather than events.

After informed consent has been obtained but prior to initiation of study drug, only serious adverse events caused by a protocol-mandated intervention should be reported.

After initiation of study drug, all adverse events will be reported until 28 days after the last dose of study drug. Additionally, for patients continuing in the rollover part of the study adverse events will be reported until Week 52.

Only treatment emergent AEs will be summarized. In the listings, however, all occurrences of the AEs will be presented. Treatment emergent AEs will be summarized per primary SOC and PT. Severity of treatment emergent AEs will be summarized separately.

Treatment-emergent AE is any reported adverse event that starts after initiation of the study therapy and up to 28 days after the last dose of study therapy.

All registered AEs will be coded using the MedDRA version 22.0 (or further updating) and tabulated in the report with grouping by primary system organ class (SOC) and preferred term (PT).

Number (percentage) of patients and number of AEs will be presented for the Treated Set in the following tables:

- All treatment-emergent AE / SAE;
- Treatment-emergent AE / SAE related to the study drug ("Is the adverse event suspected to be caused by the study drug on the basis of facts, evidence, science-based rationales, and clinical judgment?" = "Yes" including those events where assessment is missing);
- TEAE by maximum severity (Severity, CTCAE v.4.0);
- TEAE related to study drug by maximum severity (Severity, CTCAE v.4.0);
- TEAE leading to the permanent discontinuation of study drug (Action taken with IMP="Permanently discontinued");
- TEAE leading to death (AE with Outcome = "Death") including TEAE related to the study drug;
- TEAE related to the study drug leading to death;
- TEAE of special interest ("Is this an adverse event of special interest?" = "Yes");
- Non-serious TEAEs that occurred at a frequency of >= 5% (if no events occurred at the 5% threshold all non-serious TEAEs will be tabulated).



This set of tables will be repeated for the following study periods:

- Treatment period defined as first dose of study drug to last dose of study drug in the treatment period + 28 days or until the first dose in the rollover period (Treated Set).
- Rollover period defined as first dose in the rollover to last dose in the rollover study + 28 days (Treated Set, patient continuing in the rollover study).

Tables of TEAEs by maximum severity will be prepared using the following rules: each SOC / PT category will include only the AEs with the worst severity for each patient. In the Overall category, all AEs of the patient will be presented. Each patient will be counted only once with the worst severity in each SOC and each PT level as well as in the Overall level.

Number of patients who died will be tabulated.

All AEs/SAEs will be listed. Non-treatment emergent adverse events will be identified accordingly in the listing.

9.3. Pregnancies

eCRF form: "URINE PREGNANCY TEST".

All women of childbearing potential will have a urine pregnancy test at the Screening and on Day 1 before start of treatment. Urine pregnancy tests will be performed repeatedly at specified subsequent visits as scheduled in the Table 1.

The results of these tests and pregnancies episodes will be reported in the listing.

9.4. Clinical Laboratory Evaluations

eCRF form: "HEMATOLOGY", "SERUM CHEMISTRY".

Clinical laboratory evaluations as scheduled in the Table 1 include the following parameters:

- hematology (plasma): white blood cells (WBC) count, red blood cells (RBC) count, hemoglobin, hematocrit, platelet count, (relative) differential WBC count (neutrophils, eosinophils, basophils, monocytes, lymphocytes);
- blood chemistry panel (serum or plasma): albumin, alkaline phosphatase (AP), ALT, AST, direct bilirubin, total bilirubin, total protein, cholesterol, creatine kinase (CK), creatinine, triglycerides, gamma-glutamyl transferase (GGT), glucose, lactic dehydrogenase (LDH), calcium, phosphorus, magnesium, potassium, sodium, urea nitrogen, uric acid;

Creatinine clearance should be assessed at the Screening for eligibility confirmation using the following formula by Cockcroft-Gault (Cockcroft DW et al, 1976):

For serum creatinine concentration in mg/dL:



 $CrCl (mL/min) = (140 - age (years)) \times weight (kg) \times 1.0 / 72 \times serum creatinine (mg/dL)$ For serum creatinine concentration in umol/L:

CrCl (mL/min) = $(140 - age (years)) \times weight (kg) \times 1.0 / 0.81 \times serum creatinine (\mu mol/L)$

For laboratory parameters the following tables will be presented according to section 7:

- descriptive statistics of measured values and changes from baseline (including creatinine clearance);
- shift tables based on comparison with normal range (Lower/Normal/Higher);
- frequency tables for Out of range: No/Yes clinically significant (Yes CS) / Yes nonclinically significant (Yes NCS) for laboratory parameters.

All laboratory data will be listed.

9.5. Other Safety Measures

eCRF form: "VITAL SIGNS", "ECG", "PHYSICAL EXAMINATION".

Vital signs

Vital signs (respiratory rate (breaths/ minute), pulse rate (beats/ minute), and systolic and diastolic blood pressure (mmHg), and axillary temperature (°C)), body weight (kg) and body height (cm) results will be presented in accordance with section 7.

Vital signs results will be presented by visit of assessment as scheduled in the Table 1. Body height will be assessed only at screening.

Vital signs and body weight results will be presented as the following outputs:

- descriptive statistics for measured values and changes from baseline (vital signs, body weight, BMI);
- frequency tables for Out of range: No/Yes clinically significant (Yes CS) / Yes nonclinically significant (Yes NCS) (vital signs).

All data will be listed.

ECG

A 12-lead electrocardiogram (ECG) results will be represented in accordance with section 7 by visit of assessment as scheduled in the Table 1 as the following outputs:

 shift table by post baseline visit compared to baseline for Normal/Abnormal clinically significant (Abnormal CS) / Abnormal non-clinically significant (Abnormal NCS) evaluation for General assessment



- descriptive statistics of ECG parameters and their changes from baseline (Heart rate, beats/min; QT interval, ms; QTC-F interval, ms).
- frequency table for Out of range: No/Yes clinically significant (Yes CS) / Yes nonclinically significant (Yes NCS) for ECG parameters.

All ECG data will be listed.

Physical examinations

Complete physical examination is performed at the Screening only (head, eyes, ears, nose, and throat, and the cardiovascular, dermatological, musculoskeletal, respiratory, gastrointestinal, genitourinary, and neurological systems). At subsequent visits, only limited, symptom-directed physical examinations should be performed.

Physical examination results as scheduled in the Table 1 will be presented in accordance with section 7 by visit of assessment as the following output:

 frequency table for Normal/Abnormal clinically significant (Abnormal CS) / Abnormal non-clinically significant (Abnormal NCS) evaluation.

All physical examination data will be listed.

10. Pharmacokinetics

Not Applicable.

11. Other Analyses

Not Applicable.

12. Reporting Conventions

Not Applicable.

13. Technical Details

Statistical analysis will be performed using SAS 9.4.

One (final) statistical analysis report will be prepared in the Microsoft Office Word (.docx) format after the end of data collection and database lock. The results of statistical analysis will be presented in the form of tables, figures and listings in English.

14. Summary of Changes to the Protocol



The analyses methods in this SAP are fully consistent with the clinical study Protocol.



15. References

1) Committee for Proprietary Medicinal Products (CPMP). International Conference on Harmonisation (ICH) Topic E9: Note for Guidance on Statistical Principles for Clinical Trials; September 1998.

2) DataMatrix_SOP_STAT001_Statistical Principles_ver.3.0_June 2019.

3) DataMatrix_SOP_STAT002_Statistical Analysis Plan Development_ver.2.0_July 2017.

4) DataMatrix_SOP_DM010_Dictionary Management and Data Coding_ver.2.0_August 2015.

5) Machin D., Campbell M., Fayers P., Pinol A. "Sample size tables for clinical studies"// Second edition Blackwell Science Ltd, 1997.

6) Noble PW, Albera C, Bradford WZ et al. CAPACITY Study Group. Pirfenidone in patients with idiopathic pulmonary fibrosis (CAPACITY): two randomised trials. Lancet 2011, 377:1760–1769.

7) ML39355 Study Results Posting Requirements.



16. Listing of Tables, Listings and Figures

16.1. Tables

Patients Disposition

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Table 1.1 Patients disposition (All Enrolled patients)
Table 1.2 Violation of eligibility criteria (Screening Failures)
Table 1.3 Analysis populations (Allocated to treatment set)
Table 1.4 Study visits
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Protocol Deviations

Table 1.5 Protocol deviations / violations (Allocated to treatment set)

Demographic and Anthropometric characteristics

Table 1.6 Demographic characteristics (TS) Table 1.7 Anthropometric characteristics (TS)

Baseline Variables

Table 1.8 Surgical lung biopsy (TS) Table 1.9 HRCT for patient eligibility confirmation (TS) Table 1.10 Carbon monoxide diffusing capacity (DLCO) (TS) Table 1.11 Pre- and post-bronchodilator spirometry parameters at screening (TS) Table 1.12 Serologic tests (TS) Table 1.13 GAP assessment (TS) Table 1.14 Review transbronchial lung biopsy/BAL (TS)

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Table 1.15 Prior medical/surgical conditions/diagnoses (TS) Table 1.16 Concurrent medical/surgical conditions/diagnoses (TS) Table 1.17 History of idiopathic pulmonary fibrosis (TS)

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Table 1.18 Prior medications (TS) Table 1.19 Concomitant medications (TS) Table 1.20 Concomitant medications during rollover study (TS)

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Table 2.1 Descriptive statistics for FVC by visit (FAS) Table 2.2 Primary efficacy endpoint (FAS) Table 2.3 Primary efficacy endpoint: categorical results (FAS) Table 2.4 Primary efficacy endpoint by pattern type (CSS) Table 2.5 6-Minute Walk Test (FAS) Table 2.6 Change in 6MWT distance at Week 26 by category (FAS) Table 2.7 Borg scale (FAS) Table 2.8 EQ-5D-5L. Descriptive statistics (FAS) Table 2.9 Change in EQ-5D-5L. Mobility (FAS) Table 2.10 Change in EQ-5D-5L. Self-Care (FAS) Table 2.11 Change in EQ-5D-5L. Usual Activities (FAS) Table 2.12 Change in EQ-5D-5L. Pain/Discomfort (FAS) Table 2.13 Change in EQ-5D-5L. Anxiety/Depression (FAS) Table 2.14 EQ-5D-5L. VAS and Index score (FAS) Table 2.15 HRCT. HRCT fibrosis score (FAS) Table 2.16 HRCT. Lung opacity score (FAS) Table 2.17 Exacerbation cases during treatment period (FAS) Table 2.18 Exacerbation cases during rollover study (FAS, patients continuing in the rollover study) Table 2.19 Exacerbation cases during whole study period (FAS, patients continuing in the rollover study)

Safety Analyses

Table 3.1 Duration of exposure (TS) Table 3.2 Total number of capsules taken (TS) Table 3.3.1 Treatment-emergent adverse events during treatment period (TS) Table 3.3.2 Treatment-emergent adverse events during rollover study (TS, patients continuing in the rollover study) Table 3.3.3 Treatment-emergent adverse events during whole study period (TS)

Table 3.4.1 Treatment-emergent adverse events related to the study drug during treatment period (TS) $\,$

Table 3.4.2 Treatment-emergent adverse events related to the study drug during rollover study (TS, patients continuing in the rollover study)



Table 3.4.3 Treatment-emergent adverse events related to the study drug during whole study period (TS) $\,$

Table 3.5.1 Serious treatment-emergent adverse events during treatment period (TS) Table 3.5.2 Serious treatment-emergent adverse events during rollover study (TS, patients continuing in the rollover study) Table 3.5.3 Serious treatment-emergent adverse events during whole study period (TS)

Table 3.6.1 Serious treatment-emergent adverse events related to the study drug during treatment period (TS)

Table 3.6.2 Serious treatment-emergent adverse events related to the study drug during rollover study (TS, patients continuing in the rollover study) Table 3.6.3 Serious treatment-emergent adverse events related to the study drug during whole study period (TS)

Table 3.7.1 Treatment-emergent adverse events during treatment period by maximum severity (TS)

Table 3.7.2 Treatment-emergent adverse events during rollover study by maximum severity (TS, patients continuing in the rollover study) Table 3.7.3 Treatment-emergent adverse events during whole study period by maximum severity (TS)

Table 3.8.1 Treatment-emergent adverse events related to the study drug during treatment period by maximum severity (TS) $\,$

Table 3.8.2 Treatment-emergent adverse events related to the study drug during rollover study by maximum severity (TS, patients continuing in the rollover study) Table 3.8.3 Treatment-emergent adverse events related to the study drug during whole study period by maximum severity (TS)

Table 3.9.1 Treatment-emergent adverse events of special interest during treatment period

- (TS)
 Table 3.9.2 Treatment-emergent adverse events of special interest during rollover study
 (TS, patients continuing in the rollover study)
 Table 3.9.3 Treatment-emergent adverse events of special interest during whole study period
 (TS)
- Table 3.10.1 Treatment-emergent adverse events leading to death during treatment period (TS)

Table 3.10.2 Treatment-emergent adverse events leading to death during rollover study (TS, patients continuing in the rollover study) Table 3.10.3 Treatment-emergent adverse events leading to death during whole study period (TS)

Table 3.11.1 Treatment-emergent adverse events leading to discontinuation of study drug during treatment period (TS) $\,$

Table 3.11.2 Treatment-emergent adverse events leading to discontinuation of study drug during rollover study (TS, patients continuing in the rollover study) Table 3.11.3 Treatment-emergent adverse events leading to discontinuation of study drug during whole study period (TS)

Table 3.12.1 Treatment-emergent adverse events related to study drug and leading to death during treatment period (TS) $\,$

Table 3.12.2 Treatment-emergent adverse events related to study drug and leading to death during rollover study (TS, patients continuing in the rollover study) Table 3.12.3 Treatment-emergent adverse events related to study drug and leading to death during whole study period (TS)

Table 3.13.1 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during treatment period (TS) Table 3.13.1 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during rollover study (TS, patients continuing in the rollover study) Table 3.13.1 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during whole study period (TS)

Table 3.14 Deaths Table 3.15 Urine pregnancy test (TS, women of childbearing potential) Table 3.16 Hematology. Descriptive statistics (TS) Table 3.17 Blood chemistry. Descriptive statistics (TS) Table 3.18 Hematology. Shift table (TS) Table 3.19 Blood chemistry. Shift table (TS) Table 3.20 Hematology. Out of range. Frequency table Table 3.21 Blood chemistry. Out of range. Frequency table (TS)

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Table 3.22 Vital signs. Descriptive statistics (TS) Table 3.23 Vital signs. Out of range. Frequency table (TS) Table 3.24 Weight. Descriptive statistics (TS) Table 3.25 ECG. Descriptive statistics (TS) Table 3.26 ECG. Out of range and General Assessment. Frequency table (TS) Table 3.27 Physical examinations. Frequency table (TS)



Patients Disposition

F. Hoffmann-La Roche Ltd ML39355 Table 1.1 Patients disposition All Enrolled patients Page X of X

	Total
	(N = XX)
	n (%)
Patients who signed the Informed Consent Form	XX
Screening Failures	XX
Reasons for premature discontinuation	XX
Patient request or withdrawal of consent	XX
Presence or development of Inclusion/Exclusion criterion (-a)	XX
Allocated to treatment	XX
Treated during the treatment period	XX (XX.X)
Detients continuing (tracted) in the vollence study	VVV (VVV V)
Patients continuing (treated) in the rollover study	XX (XX.X)
Completed	XX (XX.X)
Terminated Prematurely	XX (XX.X)
Reasons for premature discontinuation	
Pregnancy	XX (XX.X)
Unacceptable tolerability and personal safety profile (e.g. adverse events listed in Section 5.1.2 of	····· (·····)
Protocol, or serious adverse events related to study treatment)	AA (AA.A)
Patient request or withdrawal of consent	XX (XX.X)
Any medical condition that the investigator or Sponsor determines may jeopardize the patient's safety if he or she continues in the study	XX (XX.X)
Investigator or Sponsor determines it is in the best interest of the patient for other reasons	XX (XX.X)
Protocol deviation (at Sponsor's discretion) (e.g. refusal to follow study requirements)	XX (XX.X)
Termination of the whole study by the Sponsor	XX (XX.X)
Lung transplantation	XX (XX.X)
The incidence or severity of adverse events in this or other ongoing studies of pirfenidone indicates a	XX (XX.X)
Potential neatin nazaru to patients	VV (VV V)
Patient enrollment is unsatisfactory	$\Lambda\Lambda$ ($\Lambda\Lambda$ · Λ)
Administrative and regulatory reasons	
Presence of development of inclusion/Exclusion criterion (-a)	
other	XX (XX.X)



Other reason 1	XX (XX.X)
Other reason 2	XX (XX.X)
	XX (XX.X)

N: the number of patients who signed the Informed Consent Form.

n: the number of patients within a specific category. Percentages are calculated based on number of patients Allocated to Treatment.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY



F. Hoffmann-La Roche Ltd ML39355 Table 1.2 Violation of eligibility criteria Screening Failures Page X of X

	Total (N = XX) n
Patients with any violation of eligibility criteria	XX
Patients with any violation of inclusion criteria	XX
Inclusion criterion #1	XX
Inclusion criterion #2	XX
Patients with any violation of exclusion criteria	XX
Exclusion criterion #1	XX
Exclusion criterion #2	XX

N: the number of Screening Failures.

n: the number of patients within a specific category.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY


F. Hoffmann-La Roche Ltd ML39355 Table 1.3 Analysis populations Allocated to treatment set Page X of X

	Total
	(N = XX)
	n (%)
Allocated to treatment	XX (100%)
Treated set (TS)	XX (XX.X)
Full analysis set (FAS)	XX (XX.X)
Completed case set (CCS)	XX (XX.X)

N: the number of patients in the Allocated to treatment set.

n: the number of patients within a specific category. Percentages are calculated as $(100 \times n/N)$.

Treated set: patients from 'Allocated to treatment' set, who received any dose of the study treatment.

Full analysis set: patients from 'Treated' set, who had data for at least one post-baseline assessment of any efficacy measurement.

Completed case set: patients from 'Full analysis' set, who provided FVC data at 26-week assessment.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.4 Study visits Allocated to treatment set Page X of X

	Total	Within visit
	(N = XX)	window
	n (%)	n (%)
Treatment period		
Start of Treatment (Day 1)	XX (XX.X)	N/A
Week 1 Telephone Assessment (+/- 1 day)	XX (XX.X)	XX (XX.X)
Week 2 (+/- 2 days)	XX (XX.X)	XX (XX.X)
Week 4 (+/- 2 days)	XX (XX.X)	XX (XX.X)
Week 8 (+/- 2 days)	XX (XX.X)	XX (XX.X)
Week 12 (+/- 2 days)	XX (XX.X)	XX (XX.X)
Week 16 (+/- 1 week)	XX (XX.X)	XX (XX.X)
Week 20 (+/- 1 week)	XX (XX.X)	XX (XX.X)
1st day at Week 26 (+/- 1 week)	XX (XX.X)	XX (XX.X)
2nd day at Week 26 (+/- 1 week)	XX (XX.X)	XX (XX.X)
Follow-up Visit (14-28 days after last dosing)	XX (XX.X)	XX (XX.X)
Long Term Follow-Up/Rollover Study		
Week 39 (+/- 2 weeks)	XX (XX.X)	XX (XX.X)
Week 52 (+/- 2 weeks)	XX (XX.X)	XX (XX.X)

N: the number of patients in the Allocated to treatment set.

n: the number of patients within a specific category. Percentages are calculated as (100 x n/N).

Path to the program code, date and time of output



Protocol Deviations

F. Hoffmann-La Ro	oche Ltd
ML39355	
Table 1.5 Protocol deviati	ons / violations.
Allocated to treat	ment set
Page X of X	X

	Total
	(N = XX)
	n (%)
Patients with at least one protocol deviation	XX (XX.X)

N: the number of patients in the Allocated to treatment set.

n: the number of patients with at least one protocol deviation. Percentages are calculated as $(100 \times n/N)$.

Path to the program code, date and time of output



Demographic and Anthropometric characteristics

F. Hoffmann-La Roche Ltd ML39355 Table 1.6 Demographic characteristics Treated set Page X of X

		Total (N = XX)
Age, years		
n		XX
Mean		XX.X
SD		XX.XX
Median		XX.X
Min		XX
Max		XX
Sex		
n		XX
Male	n (%)	XX (XX.X)
Female	n (%)	XX (XX.X)
Race		
n		XX
Caucasian	n (%)	XX (XX.X)
Asian	n (%)	XX (XX.X)
Black	n (%)	XX (XX.X)
Other	n (%)	XX (XX.X)
Other race 1	n (%)	XX (XX.X)
Other race 2	n (%)	XX (XX.X)
Ethnicity		
n		XX
Russian	n (%)	XX (XX.X)
Tatar	n (%)	XX (XX.X)
Ukrainian	n (%)	XX (XX.X)
Bashkir	n (%)	XX (XX.X)
Chuvash	n (%)	XX (XX.X)
Unknown	n (%)	XX (XX.X)
Other	n (%)	XX (XX.X)
Other ethnicity 1	n (%)	XX (XX.X)
Other ethnicity 2	n (%)	XX (XX.X)

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... ...

N: the number of patients in the Treated set.

n: the number of valid measurements or the number of patients within a specific category. Percentages are calculated as (100 x n/N).

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.7 Anthropometric characteristics Treated set Page X of X

	Total
	(N = XX)
Height, cm	
Ν	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX
Weight, kg	
n	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX
Body Mass Index (BMI), kg/m2	XX
n	XX.XX
Mean	XX.XXX
SD	XX.XX
Median	XX . X
Min	XX.X
Max	

N: the number of patients in the Treated set. n: the number of valid measurements.

Path to the program code, date and time of output



Baseline Variables

F. Hoffmann-La Roche Ltd ML39355 Table 1.8 Surgical lung biopsy Treated set Page X of X

	Total
	(N = XX)
	n (%)
Assessment of regional specialist	
01P Pattern	
n′	XX
Consistent UIP pattern	XX (XX.X)
Probable UIP pattern	XX (XX.X)
Possible UIP pattern	XX (XX.X)
Inconsistent/unclassified UIP pattern	XX (XX.X)
Assessment of central specialist	
UIP Pattern	
n'	XX
Consistent UIP pattern	XX (XX.X)
Probable UIP pattern	XX (XX.X)
Possible UIP pattern	XX (XX.X)
Inconsistent/unclassified UIP pattern	XX (XX.X)

N: the number of patients in the Treated set. n' - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.9 HRCT for patient eligibility confirmation Treated set Page X of X

	Total
	(N = XX)
	n (%)
Assessment of regional CT-specialist	
UIP Pattern	
n′	XX
Typical (apparent) UIP pattern	XX (XX.X)
Possible UIP Pattern	XX (XX.X)
Assessment of central CT-specialist (1)	
UIP Pattern	
n'	XX
Typical (apparent) UIP pattern	XX (XX.X)
Possible UIP Pattern	XX (XX.X)
Inconsistent with UIP Pattern	XX (XX.X)
Assessment of central CT-specialist (2)	
UIP Pattern	
n′	XX
Typical (apparent) UIP pattern	XX (XX.X)
Possible UIP Pattern	XX (XX.X)
Inconsistent with UIP Pattern	XX (XX.X)
Not Performed	XX (XX.X)

N: the number of patients in the Treated set. n' - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.10 Carbon monoxide diffusing capacity (DLCO) Treated set Page X of X

	Total
	(N = XX)
Predicted DLCO, %	
n	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX
Hb-corrected DLCO, %	
n	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX

N: the number of patients in the Treated set.

n: the number of valid measurements.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.11 Pre- and post-bronchodilator spirometry parameters at screening Treated set Page X of X

	Total (N = XX)
Pre-bronchodilator FEV1/FVC	
n	XX
Mean	XX.XX
SD	XX.XXX
Median	XX.XX
Min	XX.XX
Max	XX.XX
Post-bronchodilator FEV1/FVC	
n	XX
Mean	XX.XX
SD	XX.XXX
Median	XX.XX
Min	XX.XX
Max	XX.XX

N: the number of patients in the Treated set. n: the number of valid measurements.

Path to the program code, date and time of output

<Table will be continued for Pre-, post- bronchodilator FVC (absolute), ml; FVC (relative), % predicted>



F. Hoffmann-La Roche Ltd ML39355 Table 1.12 Serologic tests Treated set Page X of X

	Tota	1
	(N = X	XX)
	n (응)
Rheumatoid factor		
n'	XX	
Positive	XX (XX	.X)
Negative	XX (XX	.X)
Anticyclic citrullinated peptide		
n'	XX	
Positive	XX (XX	.X)
Negative (<= 5 U/ml)	XX (XX	.X)
Antinuclear antibody titer		
n'	XX	
Positive	XX (XX	.X)
Negative	XX (XX	.X)

N: the number of patients in the Treated set. n': the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.13 GAP assessment Treated set Page X of X

		Total (N = XX)
GAP index		
n		XX
Mean		XX.X
SD		XX.XX
Median		XX.X
Min		XX
Max		XX
Stage		
n′		XX
I	n (%)	XX (XX.X)
II	n (%)	XX (XX.X)
III	n (%)	XX (XX.X)
Individual risk of mortality		
One year (1-yr) mortality		
n		XX
Mean		XX.XX
SD		XX.XXX
Median		XX.XX
Min		XX.X
Max		XX.X
Two year (2-yr) mortality		
n		XX
Mean		XX.XX
SD		XX.XXX
Median		XX.XX
Min		XX.X
Max		XX.X
Three year (3-yr) mortality		
n		XX
Mean		XX.XX

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SD	XX.XXX
Median	XX.XX
Min	XX.X
Max	XX.X

N: the number of patients in the Treated set. n': the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 1.14 Review transbronchial lung biopsy/BAL Treated set Page X of X

	Total
	(N = XX)
	n (%)
Are there features supporting an alternative diagnosis after review transbronchial lung biopsy?	
n'	XX
Yes	XX (XX.X)
No	XX (XX.X)
Are there features supporting an alternative diagnosis after review BAL?	XX (XX.X)
n'	XX
Yes	XX (XX.X)
No	XX (XX.X)

N: the number of patients in the Treated set. n^\prime - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



Medical history

		F.	. Hoffmann-La Roc	he Ltd
			ML39355	
Table	1.15	Prior	medical/surgical	conditions/diagnoses
			Treated set	
			Page X of X	

System Organ Class (SOC)	Total
Preferred Term (PT)	(N = XX) n (%) / E
Overall	XX (XX.X) / XX
System Organ Class 1	XX (XX.X) / XX
Preferred Term 1	XX (XX.X) / XX
Preferred Term 2	XX (XX.X) / XX
System Organ Class 2	XX (XX.X) / XX
Preferred Term 1	XX (XX.X) / XX
Preferred Term 2	XX (XX.X) / XX

N: the number of patients in the Treated set.

n: the number of patients with at least one prior medical history event within a specific category. Percentages are calculated as (100 x n/N). E: total number of prior medical history events reported within a specific category. Medical history events are coded using MedDRA version XX.X.

Each patient is counted only once per preferred term (PT) and once per system organ class (SOC).

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<System organ classes (SOC) and preferred terms (PT) within each SOC are sorted in descending order of n(



Similar to table 1.15, the following tables will be constructed (with corrections of underlined fragments in the note):

F. Hoffmann-La Roche Ltd ML39355 Table 1.16 Concurrent medical/surgical conditions/diagnoses Treated set Page X of X



F. Hoffmann-La Roche Ltd ML39355 Table 1.17 History of idiopathic pulmonary fibrosis Treated set Page X of X

		Total
		(N = XX)
Duration of clinical symptoms consistent with IPF, months		
n		XX
Mean		XX.X
SD		XX.XX
Median		XX.X
Min		XX
Max		XX
Clinically significant environmental exposure known to cause p	ulmonary fibrosis	
n′		XX
Yes	n (%)	XX (XX.X)
No	n (%)	XX (XX.X)
Known explanation for interstitial lung disease		
n′		XX
Yes	n (%)	XX (XX.X)
No	n (%)	XX (XX.X)
Cigarette smoking within 28 days before the treatment start		
n'		XX
Yes	n (%)	XX (XX.X)
No	n (%)	XX (XX.X)

N: the number of patients in the Treated set.

n: the number of patients within a specific category. n' - the number of valid observations. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



Prior and concomitant medications

F. Hoffmann-La Roche Ltd ML39355 Table 1.18 Prior medications Treated set Page X of X

Pharmacological subgroup (3rd level) Chemical substance (5th level)	Total (N = XX) n (%) / E
Overall	XX (XX.X) / XX
Pharmacological subgroup 1 Chemical substance 1 Chemical substance 2	XX (XX.X) / XX XX (XX.X) / XX XX (XX.X) / XX
Pharmacological subgroup 2 Chemical substance 1 Chemical substance 2	XX (XX.X) / XX XX (XX.X) / XX XX (XX.X) / XX
•••	

N: the number of patients in the Treated set.

n: the number of patients with at least one <u>prior</u> medication within a specific category. Percentages are calculated as (100 x n/N). E: total number of <u>prior</u> medications reported within a specific category. Medications are coded by WHO drug dictionary version XX.X.

Path to the program code, date and time of output

<The pharmacological subgroups and chemical substances within each pharmacological subgroup are sorted in descending order of n (%). Each patient is counted only once per pharmacological subgroup and once per chemical substance.>



Similar to table 1.18, the following tables will be constructed (with corrections of underlined fragments in the note):

F. Hoffmann-La Roche Ltd ML39355 Table 1.19 Concomitant medications Treated set Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 1.20 Concomitant medications during rollover study Treated set (patients continuing in the rollover study) Page X of X



Efficacy Analyses

Primary Efficacy Endpoint

F. Hoffmann-La Roche Ltd ML39355 Table 2.1 Descriptive statistics for FVC by visit Full analysis set (FAS)

Page X of X

Parameter: XXXXXXXXX, <units>

	Total $(N = XX)$ Change from the base	seline
Screening		
n	XX	
Mean [95% CT]	XX X [XX - XX]	
SD		
Median	XX X	
Min	XX XX	
Max	XX	
Dav 1		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	xx.xx	
Median	XX.X	
Min	XX	
Max	XX	
Baseline		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	xx.xx	
Median	XX.X	
Min	XX	
Max	XX	
Week 12		
n	XX XX	
Mean [95% CI]	XX X [XX X - XX X] XX X [XX X - XX	X1



SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX
Week 12*		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX
Week 52		
n	XX	XX
Mean [95% C1]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX . XX	XX . XX
Median	XX . X	XX . X
Min	XX	XX
Max	XX	XX
Week 52*		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX

N: the number of patients in the FAS.

n: the number of valid measurements.

Baseline FVC is the average of the pre-bronchodilator FVC measured at the Screening and Day 1 visits. The FVC at Week 26 is the average of the FVC measured on two separate days at Week 26.

*: Values assessed within the corresponding visit window.

Path to the program code, date and time of output

<Report the following assessments: Screening (pre-bronchodilator), Day 1, Baseline, Week 12, Week 26 Day 1, Week 26 Day 2, Week 26, Week 39, Week 52> <FVC values within the visit window will also be presented descriptively for the following assessments: Week 12*, Week 26 Day 1*, Week 26 Day 2*, Week 26*, Week 39*, Week 52*>

<Report the following parameters: FVC (absolute), mL/ FVC (relative) predicted, %>



	F. Hoffmann-La Roche Ltd ML39355		
	Table 2.2 Primary efficacy endpoint Full analysis set (FAS)		
Deservation, VVVVVVVV (unite)	Page X of X		
Parameter: XXXXXXXX, <units></units>			
		(N = XX)	Change from the baseline
Baseline			
n		XX	
Mean [95% CI]	Σ	XX.X [XX.X - XX.X]	
SD		XX.XX	
Median		XX.X	
Min		XX	
Max		XX	
Week 26, Primary analysis			
n		XX	XX
Mean [95% CI]	Σ	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD		XX.XX	XX.XX
Median		XX.X	XX.X
Min		XX	XX
Max		XX	XX
Week 26, Sensitivity analysis 1			
n		XX	XX
Mean [95% CI]	Σ	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD		XX.XX	XX.XX
Median		XX.X	XX.X
Min		XX	XX
Max		XX	XX
Week 26, Sensitivity analysis 2			
n		XX	XX
Mean [95% CI]	Σ	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD		XX.XX	XX.XX
Median		XX.X	XX.X
Min		XX	XX
Max		XX	XX
•••			

N: the number of patients in the FAS.



n: the number of valid measurements.

Baseline FVC is the average of the pre-bronchodilator FVC measured at the Screening and Day 1 visits. The FVC at Week 26 is the average of the FVC measured on two separate days at Week 26.

Sensitivity analyses 1 - 6 related to missing Week 26 data imputation are described in SAP Section 8.1; Sensitivity analysis 7 - Primary analysis excluding all FVC values outside of visit window. Sensitivity analysis 8 - Complete case set; Sensitivity analysis 9 - Complete case set excluding all FVC values outside of visit window.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<Report the following parameters: FVC (absolute), mL/ FVC (relative) predicted, %>



F. Hoffmann-La Roche Ltd ML39355 Table 2.3 Primary efficacy endpoint: categorical results Full analysis set (FAS)

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Parameter: XXXXXXXXX, <units> <[1]/[2]>

	Total $(N = XX)$
	n (%)
Week 26, Primary analysis	
n'	XX
Patients with decline of \geq 10% from Baseline or death before Week 26	XX (XX.X)
Decline of < 10% to 0%	XX (XX.X)
Patients with improvement of \geq 0% from Baseline	XX (XX.X)
Week 26, Sensitivity analysis 1	
n'	XX
Patients with decline of \geq 10% from Baseline or death before Week 26	XX (XX.X)
Decline of < 10% to 0%	XX (XX.X)
Patients with improvement of \geq 0% from Baseline	XX (XX.X)
Week 26, Sensitivity analysis 2	
n'	XX
Patients with decline of \geq 10% from Baseline or death before Week 26	XX (XX.X)
Decline of < 10% to 0%	XX (XX.X)
Patients with improvement of \geq 0% from Baseline	XX (XX.X)

N: the number of patients in the FAS. n: the number of patients within a specific category. n': the number of valid observations. Percentages are based on the corresponding number of valid observations.

[1] Percentage change (Week 26 FVC value - Baseline FVC)*100/ Baseline FVC; [2] Absolute change in FVC % predicted.

Baseline FVC is the average of the pre-bronchodilator FVC measured at the Screening and Day 1 visits. The FVC at Week 26 is the average of the FVC measured on two separate days at Week 26.

Sensitivity analyses 1 - 6 related to missing Week 26 data imputation are described in SAP Section 8.1; Sensitivity analysis 7 - Primary analysis excluding all FVC values outside of visit window. Sensitivity analysis 8 - Complete case set; Sensitivity analysis 9 - Complete case set excluding all FVC values outside of visit window.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<Report the following parameters: FVC (absolute), mL [1]/ FVC (relative) predicted, % [2]>



	F. Hoffmann-La Roche Ltd ML39355	
Table 2.4	Primary efficacy endpoint by pattern t Complete case set (CCS)	уре

Page X of X

arameter: XXXXXXXXX, <units></units>	-	
	Total (N = XX)	Change from the baselin
Baseline		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Baseline Pattern 1		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Baseline Pattern 2		
n	XX	
Mean [95% CT]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Week 26		
n	XX	XX
Mean [95% CT]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX X	XX X
Min	XX	XX
Max	XX	XX
Week 26, Pattern 1		
n	XX	XX
Mean [95% CT]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]



SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX
Week 26, Pattern 2		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX

N: the number of patients in the CCS. n: the number of valid measurements.

Baseline FVC is the average of the pre-bronchodilator FVC measured at the Screening and Day 1 visits. The FVC at Week 26 is the average of the FVC measured on two separate days at Week 26.

Pattern 1: Patients who received study drug until 26 weeks;

Pattern 2: Patients who prematurely discontinued study drug, but who were followed up until Week 26.

Path to the program code, date and time of output



Secondary Efficacy Endpoint

6-Minute Walk Test

F. Hoffmann-La Roche Ltd ML39355 Table 2.5 6-Minute Walk Test Full analysis set (FAS) Page X of X

	Total (N = XX)	Change from the baseline
6-Minute Walk Test, m		
Screening		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Day 1		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Baseline		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Week 12		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX X	XX X
Min	VV VV	VV



Max	XX	XX
Week 12*		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Week 52		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX
Week 52*		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX

N: the number of patients in the FAS.

n: the number of valid measurements.

Baseline 6MWT distance is the average of the measurements measured at the Screening and Day 1 visits. The 6MWT distance at Week 26 is defined as the average of the 6MWT distance measured on two separate days at Week 26. *: Values assessed within the corresponding visit window.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<The following assessments will be presented: Screening, Day 1, Baseline, Week 12, Week 26 Day 1, Week 26 Day 2, Week 26, Week 39, Week 52> <Values within the visit window will also be presented descriptively for the following assessments: Week 12*, Week 26 Day 1*, Week 26 Day 2*, Week 26*, Week 39*, Week 52*>



F. Hoffmann-La Roche Ltd ML39355 Table 2.6 Change in 6MWT distance at Week 26 by category Full analysis set (FAS) Page X of X

	Total
	(N = XX)
	n (%)
n'	XX
Patients with decline of \geq 50 m from Baseline or death before Week 26	XX (XX.X)
Patients with decline of < 50 m to 0 m from Baseline	XX (XX.X)
Patients with improvement of \geq 0 m from Baseline	XX (XX.X)

N: the number of patients in the Full analysis set (FAS). n' - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

Path to the program code, date and time of output



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F. Hoffmann-La Roche Ltd ML39355 Table 2.7 Borg scale Full analysis set (FAS) Page X of X						
	Total (N = XX)	Change Pre-test from Baseline Pre-test	Change Post-test from Pre-test			
Borg scale						
Screening Pre-test n Mean [95% CI] SD Median Min Max	XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.X XX.X					
Screening Post-test n Mean [95% CI] SD Median Min Max	XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.X XX.X		XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.XX XX.X			
Day 1 Pre-test n Mean [95% CI] SD Median Min Max	XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.X XX.X					
Day 1 Post-test n Mean [95% CI] SD Median Min Max 	XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.X XX.X		XX XX.XX [XX.XX - XX.XX] XX.XXX XX.XX XX.X XX.X XX.X			

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Week 26 Day 1 Pre-test			
n	XX	XX	
Mean [95% CI]	XX.XX [XX.XX - XX.XX]	XX.XX [XX.XX - XX.XX]	
SD	XX.XXX	XX.XXX	
Median	XX.XX	XX.XX	
Min	XX.X	XX.X	
Max	XX.X	XX.X	
Wash 26 Days 1 Dash tash			
week 20 Day I Post-lest	vv		VV
Moon [05% CT]	^^ [VV VV _ VV VV] VV VV		^^ VV VV _ VV _ VV VV]
Medii [95% CI]	AA.AA [AA.AA - AA.AA]		
Nedian			
Min			
Mari			AA • A
Max	****		****
Week 26* Day 1 Pre-test			
n	XX	XX	
	XX.XX [XX.XX - XX.XX]	XX.XX [XX.XX - XX.XX]	
Mean [05% CT]			
Modil [95% CI]			
	VV. VVV	XXX XXXXX	
SD Maddan		XX . XXX	
Median		XX.XX	
MIN	XX • X	XX.X	
Max	XX.X	XX . X	
Week 26* Day 1 Post-test			
n	XX		XX
Mean [95% CI]	XX.XX [XX.XX - XX.XX]		XX.XX [XX.XX - XX.XX]
SD	XX.XXX		XX.XXX
Median	XX.XX		XX.XX
Min	XX.X		XX.X
Max	XX.X		XX.X
Week 52* Post-test			
n	XX		XX
Mean [95% CI]	XX.XX [XX.XX - XX.XX]		XX.XX [XX.XX - XX.XX]
SD	XX.XXX		XX.XXX
Median	XX.XX		XX.XX



Min	XX.X	XX.X
Max	XX.X	XX.X

N: the number of patients in the FAS.

n: the number of valid measurements.

Baseline Borg scale result is the average of the measurements at the Screening and Day 1 visits. The Borg scale at Week 26 is defined as the average of the Borg scale results measured on two separate days at Week 26. *: Values assessed within the corresponding visit window.

Data extracted: DD.MM.YYYY

Path to the program code, date and time of output

<The following assessments will be presented: Screening, Day 1, Baseline, Week 12, Week 26 Day 1, Week 26 Day 2, Week 26, Week 39, Week 52> <Values within the visit window will also be presented descriptively for the following assessments: Week 12*, Week 26 Day 1*, Week 26 Day 2*, Week 26*, Week 39*, Week 52*>



EQ-5D-5L

F. Hoffmann-La Roche Ltd ML39355 Table 2.8 EQ-5D-5L. Descriptive statistics Full analysis set (FAS) Page X of X

Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

	Total
	(N = XX)
	n (%)
Baseline	
	XX
Level 1 (no problem)	XX (XX X)
Level 2 (slight problems)	
Level 3 (moderate problems)	XX (XX X)
Level 4 (severe problems)	XX (XX X)
Level 5 (unable to walk about)	XX (XX.X)
Week 12	
n '	XX
Level 1 (no problem)	XX (XX.X)
Level 2 (slight problems)	XX (XX.X)
Level 3 (moderate problems)	XX (XX.X)
Level 4 (severe problems)	XX (XX.X)
Level 5 (unable to walk about)	XX (XX.X)
Week 12*	
n′	XX
Level 1 (no problem)	XX (XX.X)
Level 2 (slight problems)	XX (XX.X)
Level 3 (moderate problems)	XX (XX.X)
Level 4 (severe problems)	XX (XX.X)
Level 5 (unable to walk about)	XX (XX.X)
Week 26	
n′	XX
Level 1 (no problem)	XX (XX.X)
Level 2 (slight problems)	XX (XX.X)
Level 3 (moderate problems)	XX (XX.X)
Level 4 (severe problems)	XX (XX.X)
Level 5 (unable to walk about)	XX (XX.X)

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N: the number of patients in the Full analysis set (FAS). n' - the number of valid observations. n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations. Baseline is the last non-missing assessment prior to the first dose of the study drug. *: Values assessed within the corresponding visit window.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 2.9 Change in EQ-5D-5L. Mobility Full analysis set (FAS) Page X of X

Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

	Baseline assessment					
	Level 1 (no	Level 2 (slight	Level 3 (moderate	Level 4 (severe	Level 5 (unable to	Total
	problem)	problems)	problems)	problems)	walk about)	(N = XX)
	n (%)	n (응)	n (%)	n (응)	n (%)	n (%)
Baseline						
Level 1 (no problem)						XX (XX.X)
Level 2 (slight problems)						XX (XX.X)
Level 3 (moderate problems)						XX (XX.X)
Level 4 (severe problems)						XX (XX.X)
Level 5 (unable to walk about)						XX (XX.X)
Total						XX (100)
Week 12						
Level 1 (no problem)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 2 (slight problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 3 (moderate problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 4 (severe problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 5 (unable to walk about)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)
Week 12*						
	• • •	•••	•••		• • •	•••
Week 52*						
WEER J2						
•••						

N: the number of patients in the Full analysis set (FAS).

n: the number of patients within a specific category. Percentages are based on the number of non-missing values at baseline and at the corresponding visit.

Baseline is the last non-missing assessment prior to the first dose of the study drug.

*: Values assessed within the corresponding visit window.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

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F. Hoffmann-La Roche Ltd ML39355 Table 2.10 Change in EQ-5D-5L. Self-Care Full analysis set (FAS) Page X of X

Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

		Baseline assessment					
		Level 1 (no problem) n (%)	Level 2 (slight problems) n (%)	Level 3 (moderate problems) n (%)	Level 4 (severe problems) n (%)	Level 5 (unable to wash or dress myself) n (%)	Total (N = XX) n (%)
Baseline							
Level 1	(no problem)						XX (XX.X)
Level 2	(slight problems)						XX (XX.X)
Level 3	(moderate problems)						XX (XX.X)
Level 4	(severe problems)						XX (XX.X)
Level 5	(unable to wash or dress myself)						XX (XX.X)
Total							XX (100)
Week 12 Level 1	(no problem)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 2	(slight problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 3	(moderate problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 4	(severe problems)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 5	(unable to wash or dress myself)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total		XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)
Week 12*							
•••		• • •	•••		•••	•••	•••
Week 52*							

N: the number of patients in the Full analysis set (FAS).

n: the number of patients within a specific category. Percentages are based on the number of non-missing values at baseline and at the corresponding visit.

Baseline is the last non-missing assessment prior to the first dose of the study drug.

*: Values assessed within the corresponding visit window.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

F. Hoffmann-La Roche Ltd


ML39355 **Table 2.11 Change in EQ-5D-5L. Usual Activities** Full analysis set (FAS)

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Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

		Baseline assessment					
		Level 1 (no problem) n (%)	Level 2 (slight problems) n (%)	Level 3 (moderate problems) n (%)	Level 4 (severe problems) n (%)	Level 5 (unable to do my usual activities) n (%)	Total (N = XX) n (%)
Baseline							
Level 1	(no problem)						XX (XX.X)
Level 2	(slight problems)						XX (XX.X)
Level 3	(moderate problems)						XX (XX.X)
Level 4	(severe problems)						XX (XX.X)
Level 5	(unable to do my usual activities)						XX (XX.X)
Total							XX (100)
Week 12 Level 1 Level 2 Level 3 Level 4 Level 5 Total Week 12*	<pre>(no problem) (slight problems) (moderate problems) (severe problems) (unable to do my usual activities)</pre>	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X)	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X)	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X)	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X)	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X)	XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (XX.X) XX (100)
Week 52*							

N: the number of patients in the Full analysis set (FAS).

n: the number of patients within a specific category. Percentages are based on the number of non-missing values at baseline and at the corresponding visit.

Baseline is the last non-missing assessment prior to the first dose of the study drug.

*: Values assessed within the corresponding visit window.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 2.12 Change in EQ-5D-5L. Pain/Discomfort Full analysis set (FAS) Page X of X

Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

	Baseline assessment					
	Level 1 (no pain or discomfort) n (%)	Level 2 (slight pain or discomfort) n (%)	Level 3 (moderate pain or discomfort) n (%)	Level 4 (severe pain or discomfort) n (%)	Level 5 (extreme pain or discomfort) n (%)	Total (N = XX) n (%)
Baseline		× ,				. ,
Level 1 (no pain or discomfort)						XX (XX.X)
Level 2 (slight pain or discomfort)						XX (XX.X)
Level 3 (moderate pain or discomfort)						XX (XX.X)
Level 4 (severe pain or discomfort)						XX (XX.X)
Level 5 (extreme pain or discomfort)						XX (XX.X)
Total						XX (100)
March 10						
Week IZ	1717 (1717 17)	····	1717 (1717 17)	1717 (1717 17)	1717 (1717 17)	1717 (1717 17)
Level 1 (no pain or discomfort)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 2 (slight pain or discomfort)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 3 (moderate pain or discomfort)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 4 (severe pain or discomfort)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 5 (extreme pain or discomfort)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)
Week 12*						
Week 52*						
•••						

N: the number of patients in the Full analysis set (FAS).

n: the number of patients within a specific category. Percentages are based on the number of non-missing values at baseline and at the corresponding visit.

Baseline is the last non-missing assessment prior to the first dose of the study drug.

*: Values assessed within the corresponding visit window.

Path to the program code, date and time of output

F. Hoffmann-La Roche Ltd



ML39355 **Table 2.13 Change in EQ-5D-5L. Anxiety/Depression** Full analysis set (FAS)

Page X of X

Dimension: <Mobility/ Self-Care/ Usual Activities /Pain/Discomfort/ Anxiety/Depression>

		Baseline assessment					
		Level 1	Level 2	Level 3	Level 4	Level 5	Total
		(not anxious	(slight anxious	(moderate anxious	(severe anxious	(extremely anxious	(N = XX)
		or depressed)	or depressed)	or depressed)	or depressed)	or depressed)	(1) 2121)
		n (%)	n (%)	n (%)	n (%)	n (응)	n (%)
Baseline							
Level 1 (not anxi	ous or depressed)						XX (XX.X)
Level 2 (slight a	nxious or depressed)						XX (XX.X)
Level 3 (moderate	anxious or depressed)						XX (XX.X)
Level 4 (severe a	nxious or depressed)						XX (XX.X)
Level 5 (extremel	y anxious or depressed)						XX (XX.X)
Total							XX (100)
Week 12							
Level 1 (not anxi	ous or depressed)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 2 (slight a	nxious or depressed)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 3 (moderate	anxious or depressed)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 4 (severe a	nxious or depressed)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Level 5 (extremel	y anxious or depressed)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total		XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)
Week 12*							
•••		•••					
Week 52*							
•••							

N: the number of patients in the Full analysis set (FAS).

n: the number of patients within a specific category. Percentages are based on the number of non-missing values at baseline and at the corresponding visit.

Baseline is the last non-missing assessment prior to the first dose of the study drug.

 $^{\star}\colon$ Values assessed within the corresponding visit window.

Path to the program code, date and time of output



F	. Hoffmann-La Roche Ltd						
	ML39355						
Table 2.1	4 EQ-5D-5L. VAS and Index score						
	full analysis set (FAS)						
Page X of X							
Palametel: XXXXXXXX							
	l'otal	Change from the baseline					
	(N - AA)						
Baseline							
n	XX						
Mean	XX.X						
SD	XX.XX						
Median	XX . X						
Min	XX						
Max	XX						
Week 12							
n	XX	XX					
Mean	XX.X	XX.X					
SD	XX.XX	XX.XX					
Median	XX.X	XX.X					
Min	XX	XX					
Max	XX	XX					
Week 12*							
n	XX	XX					
Mean	XX.X	XX.X					
SD	XX.XX	XX.XX					
Median	XX.X	XX.X					
Min	XX	XX					
Max	XX	XX					
Week 52*							
n	XX	XX					
Mean	XX.X	XX.X					
SD	XX.XX	XX.XX					
Median	XX.X	XX.X					
Min	XX	XX					
Max	XX	XX					



N: the number of patients in the FAS. n: the number of valid measurements. Baseline is the last non-missing assessment prior to the first dose of the study drug. *: Values assessed within the corresponding visit window.

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Exploratory Efficacy Endpoint

F. Hoffmann-La Roche Ltd ML39355 Table 2.15 HRCT. HRCT fibrosis score Full analysis set (FAS) Page X of X				
	Total (N = XX)	Change from the baseline		
HRCT fibrosis score				
Baseline				
n	XX			
Mean [95% CI]	XX.X [XX.X - XX.X]			
SD	XX.XX			
Median	XX.X			
Min	XX			
Max	XX			
Week 26				
n	XX	XX		
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]		
SD	XX.XX	XX.XX		
Median	XX.X	XX.X		
Min	XX	XX		
Max	XX	XX		
Week 52				
n	XX	XX		
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]		
SD	XX.XX	XX.XX		
Median	XX.X	XX.X		
Min	XX	XX		
Max	XX	XX		
p-value for (Week 26 - Baseline) comparison	0.xxxx			
p-value for (Week 52 - Baseline) comparison	0.xxxx			

N: the number of patients in the FAS.

n: the number of valid measurements.

The comparison of the results is performed using the paired t-test.

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Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 2.16 HRCT. Lung opacity score Full analysis set (FAS) Page X of X

	Total (N = XX)	Change from the baseline
Lung opacity score		
Baseline		
n	XX	
Mean [95% CI]	XX.X [XX.X - XX.X]	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Week 26		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX
Week 52		
n	XX	XX
Mean [95% CI]	XX.X [XX.X - XX.X]	XX.X [XX.X - XX.X]
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX

N: the number of patients in the FAS.

n: the number of valid measurements.

Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 2.17 Exacerbation cases during treatment period Full analysis set (FAS) Page X of X

System Organ Class (SOC)	$\begin{array}{l} \text{Total} \\ (N = XX) \end{array}$
Preferred Term (PT)	n (%) / E
Overall	XX (XX.X) / XX
System Organ Class 1 Preferred Term 1 Preferred Term 2	XX (XX.X) / XX XX (XX.X) / XX XX (XX.X) / XX
 System Organ Class 2 Preferred Term 1 Preferred Term 2	XX (XX.X) / XX XX (XX.X) / XX XX (XX.X) / XX

N: the number of patients in the FAS. E: number of adverse events reported.

n: the number of patients within the corresponding category. Percentages are calculated as 100 x (n/N).

Adverse events were coded using the MedDRA version XX.X.

Each patient is counted only once per preferred term (PT) and once per system organ class (SOC).

<System organ classes (SOC) and preferred terms (PT) within each SOC should be sorted in descending order of n(%).>

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Similar to table 2.17, the following tables will be constructed:

F. Hoffmann-La Roche Ltd ML39355 Table 2.18 Exacerbation cases during rollover study Full analysis set (FAS, patients continuing in the rollover study) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 2.19 Exacerbation cases during whole study period Full analysis set (FAS, patients continuing in the rollover study) Page X of X



Safety Analyses

Exposure

F. Hoffmann-La Roche Ltd ML39355 Table 3.1 Duration of exposure Treated set (TS) Page X of X

	Total
Duration of exposure to Pirfenidone during treatment period, weeks	$(N - \Delta \Delta)$
n	XX
Mean	XX.XX
SD	XX.XXX
Median	XX.XX
Min	XX.X
Max	XX.X
Duration of exposure to Pirfenidone during rollover study, weeks	
n	XX
Mean	XX.XX
SD	XX.XXX
Median	XX.XX
Min	XX.X
Max	XX.X
Total duration of exposure to Pirfenidone (only for patients contir	uing in the rollover study), weeks
n	XX
Mean	XX.XX
SD	XX.XXX
Median	XX.XX
Min	XX.X
Max	XX.X

N: the number of patients in the Treated set (TS). n: the number of valid measurements.



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Path to the program code, date and time of output



F. Hoffmann-La Roche Ltd ML39355 Table 3.2 Total number of capsules taken Treated set (TS) Page X of X

	Total (N = XX)
Total number of capsules taken during treatment period	
n	XX
Mean	XX . X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX
Total number of capsules taken during rollover study	
n	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX
Total number of capsules taken during the study (only for patient	s continuing in the rollover study)
n	XX
Mean	XX.X
SD	XX.XX
Median	XX.X
Min	XX
Max	XX

N: the number of patients in the Treated set (TS). n: the number of valid measurements.

Path to the program code, date and time of output



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Adverse Events

F. Hoffmann-La Roche Ltd						
Table 3.3.1	Treatment-emergent	adverse	events	during	treatment	period
	Trea	ted set	(TS)			•
	Pa	age X of	Х			

	Total
System organiciass (SOC)	(N = XX)
Preferred ferm (PT)	n (%) / E
Overall	XX (XX.X) / XX
System Organ Class 1	XX (XX.X) / XX
Preferred Term 1	XX (XX.X) / XX
Preferred Term 2	XX (XX.X) / XX
System Organ Class 2	XX (XX.X) / XX
Preferred Term 1	XX (XX.X) / XX
Preferred Term 2	XX (XX.X) / XX

N: the number of patients in the Treated set (TS).
n: the number of patients with at least one <u>TEAE</u> within a specific category. Percentages are calculated as (100 x n/N).
E: total number of <u>TEAEs</u> reported within a specific category.
Each patient is counted only once per preferred term (PT) and once per system organ class (SOC).
Adverse events are coded by MedDRA version XX.X.

Path to the program code, date and time of output

<System organ classes (SOC) and preferred terms (PT) within each SOC should be sorted in descending order of n (%). >



Similar to table 3.3.1, the following tables will be constructed (with the corresponding corrections of underlined fragments in the note):

F. Hoffmann-La Roche Ltd ML39355 Table 3.3.2 Treatment-emergent adverse events during rollover study Treated set (TS, patients continuing in the rollover study) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.3.3 Treatment-emergent adverse events during whole study period Treated set (TS) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.4.1 Treatment-emergent adverse events related to the study drug during treatment period Treated set (TS) Page X of X

> F. Hoffmann-La Roche Ltd ML39355

Table 3.4.2 Treatment-emergent adverse events related to the study drug during rollover study Treated set (TS, patients continuing in the rollover study) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.4.3 Treatment-emergent adverse events related to the study drug during whole study period Treated set (TS) Page X of X

> F. Hoffmann-La Roche Ltd ML39355 Table 3.5.1 Serious treatment-emergent adverse events during treatment period Treated set (TS)

Page X of X

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F. Hoffmann-La Roche Ltd ML39355 Table 3.5.2 Serious treatment-emergent adverse events during rollover study Treated set (TS, patients continuing in the rollover study) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.5.3 Serious treatment-emergent adverse events during whole study period Treated set (TS) Page X of X

> F. Hoffmann-La Roche Ltd ML39355

Table 3.6.1 Serious treatment-emergent adverse events related to the study drug during treatment period Treated set (TS) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.6.2 Serious treatment-emergent adverse events related to the study drug during rollover study Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.6.3 Serious treatment-emergent adverse events related to the study drug during whole study period Treated set (TS) Page X of X



F. Hoffmann-La Roche Ltd ML39355 Table 3.7.1 Treatment-emergent adverse events during treatment period by maximum severity Treated set (TS) Page X of X

		Total
System Organ Class (SOC)	Severity	(N = XX)
Preferred term (PT)		n (%) / E
Overall	Grade 1	XX (XX.X) / XX
	Grade 2	XX (XX.X) / XX
	Grade 3	XX (XX.X) / XX
	Grade 4	XX (XX.X) / XX
	Grade 5	XX (XX.X) / XX
System Organ Class 1	Grade 1	XX (XX.X) / XX
	Grade 2	XX (XX.X) / XX
	Grade 3	XX (XX.X) / XX
	Grade 4	XX (XX.X) / XX
	Grade 5	XX (XX.X) / XX
Preferred Term 1	Grade 1	XX (XX.X) / XX
	Grade 2	XX (XX.X) / XX
	Grade 3	XX (XX.X) / XX
	Grade 4	XX (XX.X) / XX
	Grade 5	XX (XX.X) / XX
Preferred Term 2	Grade 1	XX (XX.X) / XX
	Grade 2	XX (XX.X) / XX
	Grade 3	XX (XX.X) / XX
	Grade 4	XX (XX.X) / XX
	Grade 5	XX (XX.X) / XX
Queter Organ Class 2	Cure de 1	
System Organ Class 2	Grade 1	$XX (XX \cdot X) / XX$
	Grade 2	$XX (XX \cdot X) / XX$
	Grade 3	
	Grade 4	XX (XX.X) / XX
	Grade 5	XX (XX.X) / XX



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N: the number of patients in the Treated set (TS).

n: the number of patients with at least one $\frac{\text{TEAE}}{\text{TEAE}}$ within a specific category. Percentages are calculated as (100 x n/N).

E: total number of TEAEs reported with appropriate severity.

For "Overall" and for each SOC and SOC/PT, each patient is counted once in the category of the maximum severity.

For "Overall", the number of all reported TEAEs are presented; for each SOC and SOC/PT, the number of TEAEs of maximum severity is given.

NCI CTCAE version 4.0 was used for assessing AE severity. AEs are coded using MedDRA version XX.X.

Path to the program code, date and time of output

< System organ classes (SOC) and preferred terms (PT) within each SOC should be sorted in descending order of n (%). >



Similar to table 3.7.1, the following tables will be constructed (with the corresponding corrections of underlined fragments in the note):

F. Hoffmann-La Roche Ltd ML39355 Table 3.7.2 Treatment-emergent adverse events during rollover study by maximum severity Treated set (TS, patients continuing in the rollover study) Page X of X F. Hoffmann-La Roche Ltd MT.39355 Table 3.7.3 Treatment-emergent adverse events during whole study period by maximum severity Treated set (TS) Page X of X F. Hoffmann-La Roche Ltd ML39355 Table 3.8.1 Treatment-emergent adverse events related to the study drug during treatment period by maximum severity Treated set (TS) Page X of X F. Hoffmann-La Roche Ltd ML39355 Table 3.8.2 Treatment-emergent adverse events related to the study drug during rollover study by maximum severity

Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355

Table 3.8.3 Treatment-emergent adverse events related to the study drug during whole study period by maximum severity Treated set (TS)

Page X of X



Similar to table 3.3.1, the following tables will be constructed (with the corresponding corrections of underlined fragments in the note):

F. Hoffmann-La Roche Ltd ML39355 Table 3.9.1 Treatment-emergent adverse events of special interest during treatment period Treated set (TS) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.9.2 Treatment-emergent adverse events of special interest during rollover study

Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.9.3 Treatment-emergent adverse events of special interest during whole study period Treated set (TS) Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.10.1 Treatment-emergent adverse events leading to death during treatment period Treated set (TS) Page X of X

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Table 3.10.2 Treatment-emergent adverse events leading to death during rollover study

Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355 Table 3.10.3 Treatment-emergent adverse events leading to death during whole study period Treated set (TS) Page X of X

> F. Hoffmann-La Roche Ltd ML39355

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Table 3.11.1 Treatment-emergent adverse events leading to discontinuation of study drug during treatment period

Treated set (TS) Page X of X

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Table 3.11.2 Treatment-emergent adverse events leading to discontinuation of study drug during rollover study Treated set (TS, patients continuing in the rollover study)

Page X of X

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Table 3.11.3 Treatment-emergent adverse events leading to discontinuation of study drug during whole study period

Treated set (TS) Page X of X

F. Hoffmann-La Roche Ltd ML39355

Table 3.12.1 Treatment-emergent adverse events related to the study drug and leading to death during treatment period Treated set (TS)

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Table 3.12.2 Treatment-emergent adverse events related to the study drug and leading to death during rollover study Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355

Table 3.12.3 Treatment-emergent adverse events related to the study drug and leading to death during whole study period Treated set (TS)

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Table 3.13.1 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during treatment period

Treated set (TS) Page X of X

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Table 3.13.2 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during rollover study Treated set (TS, patients continuing in the rollover study)

Page X of X

F. Hoffmann-La Roche Ltd ML39355

Table 3.13.3 Non-serious treatment-emergent adverse events occurred at a frequency of >=5% during whole study period Treated set (TS) Page X of X



Table 3.14 Deaths Treated set (TS) Page X of X

	Total $(N = XX)$
	n (%)
Total number of deaths	XX (XX.X)
Deaths during treatment period	XX (XX.X)
Deaths during rollover study	XX (XX.X)

N: the number of patients in the Treated set (TS). n: the number of patients who died. Percentages are calculated as (100 x n/N).

Path to the program code, date and time of output



Pregnancies

F. Hoffmann-La Roche Ltd ML39355 Table 3.15 Urine pregnancy test Treated set (TS) Page X of X

	Total
	(N = XX)
	n (%)
Carooning	
screening	1717
n'	
Done [1]	XX (XX.X)
Negative [2]	XX (XX.X)
Positive [2]	XX (XX.X)
Not Done [1]	XX (XX.X)
Male subject [1]	XX (XX.X)
Female subject with no childbearing potential [1]	XX (XX.X)
Other [1]	XX (XX.X)
Day 1	
n′ ¯	XX
Done [1]	XX (XX.X)
Negative [2]	XX (XX.X)
Positive [2]	XX (XX.X)
Not Done [1]	XX (XX.X)
Male subject [1]	XX (XX.X)
Female subject with no childbearing notential [1]	XX (XX X)
Other [1]	XX (XX X)
	$\Lambda\Lambda$ ($\Lambda\Lambda$, Λ)
	•••

N: the number of women of childbearing potential in the TS. n' - the number of valid observations.

n: the number of patients within a specific category.

[1] Percentages are based on the corresponding number of valid observations.

[2] Percentages are based on the number of patients for whom the pregnancy test was performed.

Path to the program code, date and time of output

<The following Urine pregnancy test assessments will be presented: Screening, Day 1, Week 4, Week 8, Week 12, Week 20, Week 26 Day 2>

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Laboratory tests

F. Hoffmann-La Roche Ltd ML39355 Table 3.16 Hematology. Descriptive statistics Treated set (TS) Page X of X

Parameter: XXXXXXXXXXXXXXXX, <units>

	Total (N = XX)	Change from the baseline
Screening		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Day 1		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Baseline		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Week 2		
n	XX	XX
Mean	XX.X	XX.X
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	XX	XX

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N: the number of patients in the Treated set (TS). n: the number of valid measurements.

The baseline value for a variable is defined as the last non-missing value collected before the first study drug administration. <The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 2, Follow-up visit, Week 39, Week 52>

<The following parameters will be presented: Leukocytes (10^9/L), Erythrocytes (10^12/L), Hemoglobin (g/L), Hematocrit (L/L), Platelets (10^9/L), Neutrophils/Leukocytes (%), Eosinophils/Leukocytes (%), Basophils/Leukocytes (%), Monocytes/Leukocytes (%), Lymphocytes/Leukocytes (%).>

Path to the program code, date and time of output



Similar to table 3.16, the following tables will be constructed:

F. Hoffmann-La Roche Ltd ML39355 Table 3.17 Blood chemistry. Descriptive statistics Treated set (TS) Page X of X

<The following parameters will be presented: Albumin (g/L), Alkaline Phosphatase (U/L), Alanine Aminotransferase (U/L), Aspartate Aminotransferase (U/L), Direct bilirubin (umol/L), Bilirubin (umol/l), Protein (g/L), Cholesterol (mmol/L), Creatine Kinase (U/L), Creatinine (umol/L), Triglycerides (mmol/L), Gamma Glutamyl Transferase (U/L), Glucose (mmol/L), Lactate Dehydrogenase (U/L), Calcium (mmol/L), Phosphate (mmol/L), Magnesium (mmol/L), Potassium (mmol/L), Sodium (mmol/L), Urea nitrogen (mmol/L), Urate (umol/L), Creatinine Clearance (mL/min).>



F. Hoffmann-La Roche Ltd ML39355 Table 3.18 Hematology. Shift table Treated set (TS) Page X of X

Parameter: XXXXXXXXXXXXXXXX, <units>

		Baseline		
	Low	Normal	High	Total
Baseline				
Low				XX (XX.X)
Normal				XX (XX.X)
High				XX (XX.X)
Total				XX (100)
Week 2				
Low	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Normal	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
High	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)
Week 4				
Low	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Normal	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
High	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (XX.X)
Total	XX (XX.X)	XX (XX.X)	XX (XX.X)	XX (100)

The baseline value for a variable is defined as the last non-missing value collected before the first study drug administration. Percentages are based on the number of patients with a non-missing assessment at the corresponding time point and at baseline.

<The following assessments will be presented: Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 2, Follow-up visit, Week 39, Week 52>

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

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Similar to table 3.18, the following tables will be constructed:

F. Hoffmann-La Roche Ltd ML39355 Table 3.19 Blood chemistry. Shift table Treated set (TS) Page X of X



	F. Hoffmann-La Roche Ltd	
	ML39355	
	Table 3.20 Hematology. Out of range. Frequency table	
	Treated set (TS)	
	Page X of X	
Parameter: XXXXXXXXXXXXX, <units></units>		
		Total
		(N = XX)

	n (%)
Screening	
n'	
Normal	XX (XX.X)
Out of Range NCS	XX (XX.X)
Out of Range CS	XX (XX.X)
Day 1	
n '	XX
Normal	XX (XX.X)
Out of Range NCS	XX (XX.X)
Out of Range CS	XX (XX.X)
Baseline	
n′	XX
Normal	XX (XX.X)
Out of Range NCS	XX (XX.X)
Out of Range CS	XX (XX.X)
Week 2	
n'	XX
Normal	XX (XX.X)
Out of Range NCS	XX (XX.X)
Out of Range CS	XX (XX.X)
	(,

N: the number of patients in the Treated set (TS). n' - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.

<The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 2, Follow-up visit, Week 39, Week 52>



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Similar to table 3.20, the following tables will be constructed:

F. Hoffmann-La Roche Ltd ML39355 Table 3.21 Blood chemistry. Out of range. Frequency table Treated set (TS) Page X of X



Vital signs

F. Hof	fmann-La Roche Ltd		
ML39355 Table 3.22 Vital signs. Descriptive statistics Treated set (TS)			
	Page X of X		
Parameter: XXXXXXXXXXXXX, <units></units>			
	Total	Change from the baseline	
	(N = XX)		
Screening			
n	XX		
Mean	XX . X		
SD	XX.XX		
Median	XX . X		
Min	XX		
Max	XX		
Day 1			
n	XX		
Mean	XX.X		
SD	XX.XX		
Median	XX.X		
Min	XX		
Max	XX		
Baseline			
n	XX		
Mean	XX.X		
SD	XX.XX		
Median	XX.X		
Min	XX		
Max	XX		
Week 2			
n	XX	XX	
Mean	XX.X	XX.X	
SD	XX.XX	XX.XX	
Median	XX.X	XX.X	
Min	XX	XX	
Max	XX	XX	

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N: the number of patients in the Treated set (TS). n: the number of valid measurements.

The baseline value for a variable is defined as the last non-missing value collected before the first study drug administration.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<Report all parameters: Systolic blood pressure, mm Hg/ Diastolic blood pressure, mm Hg/ Pulse rate, beats/minute/ Respiratory rate, breaths/minute/ Axillary temperature, C>

<The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 1, Week 26 Day 2, Follow-up visit, Week 39, Week 52>



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	F. Hoffmann-La Roche Ltd	
	ML39355 Table 3 23 Vital signs Out of range Frequency table	
	Treated set (TS)	
	Page X of X	
Parameter: XXXXXXXXXXXXX, <units></units>		
		Total
		(N = XX)
		n (%)
Screening		
n'		XX
Normal		XX (XX X)
Out of Range NCS		XX (XX X)
Out of Bange CS		XX (XX X)
oue of hange ob		(111.11)
Day 1		
n′		XX
Normal		XX (XX.X)
Out of Range NCS		XX (XX.X)
Out of Range CS		XX (XX.X)
Baseline		
n′		XX
Normal		XX (XX.X)
Out of Range NCS		XX (XX.X)
Out of Range CS		XX (XX.X)
Week 2		
n′		XX
Normal		XX (XX.X)
Out of Range NCS		XX (XX.X)
Out of Range CS		XX (XX.X)
-		
•••		

N: the number of patients in the Treated set (TS). n^\prime - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.



Follow-up visit, Week 39, Week 52>

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Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

<Report all parameters: Systolic blood pressure, mm Hg/ Diastolic blood pressure, mm Hg/ Pulse rate, beats/minute/ Respiratory rate, breaths/minute/ Axillary temperature, C> <The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 1, Week 26 Day 2,


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Weight

	F. Hoffmann-La Roche Ltd	
Tal	ble 3.24 Weight. Descriptive statistics Treated set (TS)	
Devenuetor, Noight la	Page X of X	
ralameter: weight, kg	m - k - 1	
	(N = XX)	Change from the baseline
Screening		
n	XX	
Mean	XX.XX	
SD	XX.XXX	
Median	XX.XX	
Min	XX.X	
Max	XX.X	
Day 1		
n	XX	
Mean	XX.XX	
SD	XX.XXX	
Median	XX.XX	
Min	XX.X	
Max	XX.X	
Baseline		
n	XX	
Mean	XX.XX	
SD	XX.XXX	
Median	XX.XX	
Min	XX.X	
Max	XX.X	
Week 2		
n	XX	XX
Mean	XX.XX	XX.XX
SD	XX.XXX	XX.XXX
Median	XX.XX	XX.XX
Min	XX.X	XX.X
Max	XX.X	XX.X



Data extracted: DD.MM.YYYY

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N: the number of patients in the Treated set (TS). $\ensuremath{\mathsf{n}}$: the number of valid measurements.

The baseline value for a variable is defined as the last non-missing value collected before the first study drug administration.

Path to the program code, date and time of output

<The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 2, Follow-up visit>



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ECG

Table 3.25 F(ML39355	
יד סביס סבבסב	reated set (TS)	
	Page X of X	
Parameter: XXXXXXXXXXXXXX, <units></units>		
	$ \begin{array}{l} \text{Total} \\ (N = XX) \end{array} $	Change from the baseline
Screening		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Day 1		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Baseline		
n	XX	
Mean	XX.X	
SD	XX.XX	
Median	XX.X	
Min	XX	
Max	XX	
Week 4		
n	XX	XX
Mean	XX.X	XX.X
SD	XX.XX	XX.XX
Median	XX.X	XX.X
Min	XX	XX
Max	 VV	vv



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N: the number of patients in the Treated set (TS). n: the number of valid measurements.

The baseline value for a variable is defined as the last non-missing value collected before the first study drug administration.

Path to the program code, date and time of output

<Report all parameters: Heart rate, beats/min/ QT interval, ms/ QTC-F interval, ms> <The following assessments will be presented: Screening, Day 1, Baseline, Week 4, Week 8, Week 26 Day 2, Week 52>



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	F. Hoffmann-La Roche Ltd	
	Table 3.26 ECG. Out of range and General assessment. Frequency table Treated set (TS) Page X of X	
Parameter: XXXXXXXXXXXXXXXXXXX	rage A OF A	
		Total
		(N = XX)
		n (%)
Screening		
n'		XX
Normal		XX (XX X)
Abnormal NCS		XX (XX X)
Abnormal CS		XX (XX X)
		MA (MA•A)
Dav 1		
n'		XX
Normal		XX (XX X)
Abnormal NCS		XX (XX X)
Abnormal CS		XX (XX.X)
Baseline		
n ′		XX
Normal		XX (XX.X)
Abnormal NCS		XX (XX.X)
Abnormal CS		XX (XX.X)
Week4		
n'		XX
Normal		XX (XX.X)
Abnormal NCS		XX (XX.X)
Abnormal CS		XX (XX.X)

N: the number of patients in the Treated set (TS). n^\prime - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.



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Path to the program code, date and time of output

<Report all parameters: General assessment/ Heart rate, beats/min/ QT interval, ms/ QTC-F interval, ms> <The following assessments will be presented: Screening, Day 1, Baseline, Week 4, Week 8, Week 26 Day 2, Week 52>



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Physical examinations

	F. Hoffmann-La Roche Ltd ML39355	
	Table 3.27 Physical examinations. Frequency table Treated set (TS)	
	Page X of X	
Body system: XXXXXXXXXXXX		
		Total
		(N = XX)
		n (%)
Screening		
n'		VV
Normal		XX (XX X)
Abpormal NCS		XX (XX·X) XX (XX X)
Abnormal CS		XX (XX X) XX (XX X)
Abhormar CS		$\Lambda\Lambda$ ($\Lambda\Lambda\cdot\Lambda$)
Dav 1		
n'		XX
Normal		XX (XX.X)
Abnormal NCS		XX (XX.X)
Abnormal CS		XX (XX.X)
Baseline		
n'		XX
Normal NGC		XX (XX.X)
Abnormal NCS		XX (XX.X)
Abnormal CS		XX (XX.X)
Week 2		
n ′		XX
Normal		XX (XX.X)
Abnormal NCS		XX (XX.X)
Abnormal CS		XX (XX.X)
		. (,

N: the number of patients in the Treated set (TS). n^\prime - the number of valid observations.

n: the number of patients within a specific category. Percentages are based on the corresponding number of valid observations.



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<The following assessments will be presented: Screening, Day 1, Baseline, Week 2, Week 4, Week 8, Week 16, Week 20, Week 26 Day 1, Week 26 Day 2, Follow-up visit, Week 39, Week 52>



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16.2. Figures

No figures are planned in the study.

16.3. Listings

Listing 1 Disposition: analysis populations Listing 2 Disposition: patient visits Listing 3 Disposition: violation of inclusion/exclusion criteria Listing 4 Disposition: study completion Listing 5 Protocol deviations Listing 6 Demographic and anthropometric characteristics Listing 7 Baseline variables: Surgical lung biopsy (SLB) Listing 8 Baseline variables: HRCT scan for patient eligibility confirmation Listing 9 Baseline variables: Carbon monoxide diffusing capacity (DLCO) Listing 10 Baseline variables: Pre- and post-bronchodilator spirometry parameters Listing 11 Baseline variables: Serologic tests Listing 12 Baseline variables: GAP assessment Listing 13 Review transbronchial lung biopsy/Bronchoalveolar lavage (BAL) Listing 14 General medical history Listing 15 History of idiopathic pulmonary fibrosis (IPF) Listing 16 Prior/concomitant medications Listing 17 Prior/concomitant non-drug therapy and procedures Listing 18 Spirometry Listing 19 6-Minute Walk Test Listing 20 Borg scale Listing 21 EQ-5D questionnaire Listing 22 HRCT scan Listing 23 Adverse events Listing 24 Exacerbations Listing 25 Serious adverse events/Adverse events of special interest Listing 26 Study drug administration Listing 27 Exposure Listing 28 Cases of incorrect administration of study drug / overdose Listing 29 Urine pregnancy test Listing 30 Hematology Listing 31 Blood chemistry Listing 32 Vital signs Listing 33 ECG Listing 34 Physical examinations



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F. Hoffmann-La Roche Ltd ML39355 Listing 1 Disposition: analysis populations All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Date, Time of informed consent signature	The Allocated to treatment set	Participation in the rollover study	The Treated set (TS)	The Full analysis set (FAS)	Complete case set (CCS)	Reason for missing 26 week FVC data	Treatment until Week 26
XXXXXXXX/ X/	YYYY-MM-DD	Yes	Yes	Yes	Yes	Yes		Yes
XX/ XXXXX	HH:MM							
XXXXXXXX/ X/	YYYY-MM-DD	Yes	No	Yes	No	No	Death	No
XX/	HH:MM							
XXXXXXXX/ X/	YYYY-MM-DD	No	No	No	No	No	Discontinuation	No
XX/	HH:MM						Lung	No
							transplantation	

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F. Hoffmann-La Roche Ltd ML39355 Listing 2 Disposition: patient visits All Enrolled patients

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Patient ID/ Sex/	Visit	Visit within visit	Date / Study day	Main reason for unscheduled visit
Age (years)/		window		
Race				
XXXXXXXX/ X/ XX/	XXXXXX	Yes	YYYY-MM-DD/ XX	
XXXXX				
	XXXXXX	No	YYYY-MM-DD/ XX	
	XXXXXX		YYYY-MM-DD/ XX	XXXXXXXXX XXXXXXXXXXXX

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F. Hoffmann-La Roche Ltd ML39355

Listing 3 Disposition: violation of inclusion/exclusion criteria

All Enrolled patients

Page X of X

Patient ID/ Sex/ Age (years)/ Race	Category	Criterion number/Criterion	Result
XXXXXXXX/ X/ XX/ XXXXX	Inclusion criteria	**/************************************	No
	Exclusion criteria	xx/xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	Yes
	Exclusion criteria	XX/XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Yes
	Eligibility confirmation		No
•••			

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F. Hoffmann-La Roche Ltd ML39355 Listing 4 Disposition: study completion All Enrolled patients Page X of X

Patient ID/ Sex/ Age (years)/ Race	Date of study completion or discontinuation/ Study day	End of study status	Main reason for premature study termination
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	Discontinued	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	Discontinued	Other: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	Completed	
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	Screening failure	Presence or development of Inclusion/Exclusion criterion (-a): XXXXX

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F. Hoffmann-La Roche Ltd ML39355 Listing 5 Protocol deviations All Enrolled patients Page X of X

Patient ID/ Sex/ Age (years)/ Race	Date of deviation revealed / Study day	Visit name	Form name	Description of deviation
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX	*****
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX	*****
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX	*****

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 6 Demographics and anthropometric characteristics All Patients

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Patient ID/ Sex/ Age (years)/ Race	Date of birth	Age, years	Sex	Race	Ethnicity	Weight, kg	Height, cm	BMI, kg/m2
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD	XXXXX	XXXXX	XXXXX	XXXXX	XX	XXX	XX.X
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD	XXXXX	XXXXX	XXXXX	XXXXX	XX	XXX	XX.X
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD	XXXXX	XXXXX	XXXXX	XXXXX	XX	XXX	XX.X

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F. Hoffmann-La Roche Ltd ML39355 Listing 7 Baseline variables: Surgical lung biopsy (SLB) All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Date of sample collection/ Study day	Assessment of regional specialist: UIP Pattern	Assessment of central specialist: UIP Pattern
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	*****	*****
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	*****	*****
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	*****	*****

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F. Hoffmann-La Roche Ltd ML39355

Listing 8 Baseline variables: HRCT scan for patient eligibility confirmation

All Enrolled patients

Page X of X

Patient ID/	Date of HRCT scan/	Assessment of regional	Assessment of central	Assessment of central	Reasons if Not Done
Sex/ Age	Study day	specialist: UIP Pattern	specialist (1): UIP	specialist (2): UIP	
(years)/ Race			Pattern	Pattern or Not performed	
XXXXXXXX/ X/	YYYY-MM-DD/ XX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	
XX/ XXXXX					
XXXXXXXX/ X/	YYYY-MM-DD/ XX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	
XX/ XXXXX					
XXXXXXXX/ X/	YYYY-MM-DD/ XX	*****	*****	*****	
XX/ XXXXX					

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 9 Baseline variables: Carbon monoxide diffusing capacity (DLCO) All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Date, Time of assessment/ Study day	Date, Time of Hb assessment/ Study day	Hb value	Hb units	Parameter	DLCO result, %
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD HH:MM/ XX				Predicted DLCO	XXXXX
		YYYY-MM-DD HH:MM/ XX	XX	XXXXX	Hb-corrected DLCO	XXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD HH:MM/ XX				Predicted DLCO	XXXXX
		YYYY-MM-DD HH:MM/ XX	XX	XXXXX	Hb-corrected DLCO	XXXXX

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 10 Baseline variables: Pre- and post-bronchodilator spirometry parameters

All Enrolled patients

Page X of X

Patient ID/ Sex/ Age (years)/ Race	Date of assessment/ Study day	Time of assessment	Period of assessment	Parameter	Result	Units	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	нн:ММ	Before bronchodilator administration	FVC (absolute)	XXX	mL	
				FVC (relative) predicted	XXX	oło	
				FEV1/FVC	XX.X		
		нн:ММ	After bronchodilator administration	 FVC (absolute)	 xxx	mL	
				•••	•••		
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	НН:ММ	Before bronchodilator administration	FVC (absolute)	Not Done		XXXX XX XXXXXXXX
		HH:MM	After bronchodilator administration	 FVC (absolute)	 XXX	mL	
				•••	•••		

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 11 Baseline variables: Serologic tests All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Date, Time of assessment/ Study day	Lab Test	Fasting Status	Result	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD HH:MM/ XX	Rheumatoid factor	Yes	XXXXX	
		Anticyclic citrullinated peptide	Yes	XXXXX	
		Antinuclear antibody titer		Not Done	XXXXXXX XXXXXXXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD HH:MM/ XX	Rheumatoid factor	No	XXXXX	
		Anticyclic citrullinated peptide	No	XXXXX	
		Antinuclear antibody titer	No	XXXXX	

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F. Hoffmann-La Roche Ltd ML39355 Listing 12 Baseline variables: GAP assessment All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Date of assessment/ Study day	FVC, %, predicted	Dlco, %, predicted	Stage/ GAP Index	Mortality (1-y)	Mortality (2-y)	Mortality (3-y)	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	XXXXX	XXXXX	X/XXXXX	XXXXX	XXXXX	XXXXX	XXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	XXXXX	XXXXX	X/XXXXX	XXXXX	XXXXX	XXXXX	XXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	YYYY-MM-DD/ XX	XXXXX	XXXXX	X/XXXXX	XXXXX	XXXXX	XXXXX	XXXXXXXXXX

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 13 Review transbronchial lung biopsy/ Bronchoalveolar lavage (BAL)

All Enrolled patients

Page X of X

Patient ID/ Sex/ Age (years)/ Race	Visit	Medical procedure	Date of sample collection/ Study day	Are there features supporting an alternative diagnosis?	Comments if Yes	Result
XXXXXXXX/ X/ XX/ XXXXX	XXXXXXX	Transbronchial biopsy	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****
		Bronchoalveolar lavage (BAL)	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****
XXXXXXXX/ X/ XX/ XXXXX	XXXXXXX	Transbronchial biopsy	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****
		Bronchoalveolar lavage (BAL)	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****
XXXXXXXX/ X/ XX/ XXXXX	XXXXXXX	Transbronchial biopsy	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****
		Bronchoalveolar lavage (BAL)	YYYY-MM-DD/ XX	XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	*****

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F. Hoffmann-La Roche Ltd ML39355 Listing 14 General medical history All Enrolled patients

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Patient ID/	System Organ Class/	Start Date /	End date /	Ongoing or	Comments
Sex/ Age	Preferred Term/	Study day	Study day	Resolved	
(years)/	Lowest Level Term/				
Race	Investigator Description				
XXXXXXXX/	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	YYYY-MM-DD/ XX	YYYY-MM-DD/ XX	Resolved	XXXXXXXXXX
X/ XX/	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				
XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				
	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				

Terms are coded by MedDRA version XX.X.

Path to the program code, date and time of output

Data extracted: DD.MM.YYYY

< Sort by start date, end date, SOC, PT, LLT>



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F. Hoffmann-La Roche Ltd ML39355 Listing 15 History of idiopathic pulmonary fibrosis (IPF) All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Diagnosis	Duration of clinical symptoms consistent with IPF (in months)	Clinically significant environmental exposure known to cause pulmonary fibrosis	Known explanation for interstitial lung disease	Cigarette smoking within 28 days before the treatment start
XXXXXXXX/ X/ XX/ XXXXX	Idiophatic Pulmonary Fibrosis	XX	Yes	No	Yes
XXXXXXXX/ X/ XX/ XXXXX	Idiophatic Pulmonary Fibrosis	XX	Yes	No	No
XXXXXXXX/ X/ XX/ XXXXX	Idiophatic Pulmonary Fibrosis	XX	No	Yes	Yes
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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 16 Prior/concomitant medications All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	1st Lvl, Anatomical main group/ 2nd Lvl, Therapeutic subgroup/ 3rd Lvl, Pharmacological subgroup/ 4th Lvl, Chemical subgroup/ 5th Lvl, Chemical substance/ Medication	Prior/ Concomitant	Start date, Time/ Study day/ Stop date, Time/ Study day	Dose (Units)/ Frequency/ Route	Indication for use	Comments
XXXXXXXX/ X/ XX/ XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Ρ	YYYY-MM-DD HH:MM/ XX/ YYYY-MM-DD HH:MM/ XX	XXXXX (XXXXX)/ XXXXX/ XXXXX	XXXXXXXXXX	XXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	С	YYYY-MM-DD HH:MM/ XX/ Ongoing	XXXXX (XXXXX)/ XXXXX/ XXXXX	XXXXXXXXXX	XXXXXXXXXX

 $\ensuremath{\mathtt{P=Prior}}$, C=Concomitant. Medications are coded using WHODD version XX.X.

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Data extracted: DD.MM.YYYY

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F. Hoffmann-La Roche Ltd ML39355 Listing 17 Prior/concomitant non-drug therapy and procedures All Enrolled patients

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Patient ID/ Sex/	System Organ Class/	Prior/	Start date, Time/	Indication	Comments
Age (years)/	Preferred Term/	Concomitant	Study day/		
Race	Lowest Level Term/		Stop date, Time/		
	Treatment		Study day		
XXXXXXXX/ X/ XX/	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	P	YYYY-MM-DD HH:MM/ XX/	XXXXXXXXXXXXXXXXXX	XXXXXXXXXX
XXXXX	xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx		YYYY-MM-DD HH:MM/ XX		
	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				
	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				

Non-drug therapy and procedures are coded using MedDRA version XX.X.

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F. Hoffmann-La Roche Ltd ML39355 Listing 18 Spirometry All Enrolled patients Page X of X

Patient ID/ Sex/ Age (years)/ Race	Visit	Date, Time of assessment/ Study day	Parameter	Result	Units	Change from baseline/Base line	Reason if Not Done
XXXXXXXX/ X/	Screening/Retrospe	YYYY-MM-DD/ XX	FVC	XXXXX	mL		
XX/ XXXXX	ctive values		(absolute)				
			FVC	XXXXX	90		
			(relative)				
			predicted				
		YYYY-MM-DD/ XX	FVC	XXXXX	mL		
			(absolute)				
			FVC	XXXXX	90		
			(relative)				
			predicted				
	Screening	YYYY-MM-DD/ XX	FVC	XXXXX	mL		
			(absolute)				
			FVC	XXXXX	90		
			(relative)				
			predicted				
	Day 1	YYYY-MM-DD HH:MM/	FVC	XXXXX	mL		
		XX	(absolute)				
			FVC	XXXXX	90		
			(relative)				
			predicted				
	Week 12		FVC	Not			XXXXXXXXX
			(absolute)	Done			
		YYYY-MM-DD HH:MM/	FVC	XXXXX	90	XXXXX/XXXXX	
		XX	(relative)				
			predicted				
	Week 26	YYYY-MM-DD/ XX	FVC	XXXXX	mL	XXXXX/XXXXX	
			(absolute)				
			FVC	XXXXX	90	XXXXX/XXXXX	
			(relative)				
			predicted				
			• • •				

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<For screening list values before bronchodilator administration only.>



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F. Hoffmann-La Roche Ltd ML39355 Listing 19 6-Minute Walk Test All Enrolled patients Page X of X

Patient ID/	Visit	Date of assessment /	Result, m	Change from	Reason if Not Done
Sex/ Age		Study day		Baseline/Baseline	
(years)/ Race					
XXXXXXXX/ X/	XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX/XXXXX	
XX/ XXXXX					
	XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX/XXXXX	
	XXXXX	YYYY-MM-DD / XX	XXXXX	XXXXX/XXXXX	

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F. Hoffmann-La Roche Ltd ML39355 Listing 20 Borg scale All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Visit	Date of assessment/ Study day	Timepoint	Time of assessment	Result	Change from Pre- test Baseline/ Pre-test Baseline	Change from Baseline/ Baseline	Reason if Not Done
XXXXXXXXX/ X/ XX/ XXXXX	XXXXX XXX	YYYY-MM-DD/ XX	Before the 6- minute exercise	HH:MM	XXXXX			
			After the 6- minute exercise	HH:MM	XXXXX	XXXXX/XXXXX		
	XXXXX XX	YYYY-MM-DD/ XX	Before the 6- minute exercise	HH:MM	XXXXX		XXXXX/XXXXX	
			After the 6- minute exercise	HH:MM	XXXXX	XXXXX/XXXXX		

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 21 EQ-5D-5L questionnaire All Enrolled patients Page X of X

Patient ID/ Sex/ Age (years)/ Race	Visit	Date, Time of assessment/ Study day	Mobility/ Self-care/ Usual activities/ Pain/Discomfort/ Anxiety/Depression	Your own health state today (VAS)/ Change from Baseline/ Baseline	Index score/ Change from Baseline/ Baseline	Reason if Not Done
xxxxxxxx/ x/ xx/ xxxxx	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXX/XXXX/XXXX	XXXXX/XXXXX/XXXX	****

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F. Hoffmann-La Roche Ltd ML39355

Listing 22 HRCT scan

All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Visit	Date of HRCT scan / Study day	Parameter	Result	Change from Baseline/Baseline	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD / XX	HRCT fibrosis score	XXXXX	XXXXX/XXXXX	
			Ground-glass opacity	XXXXX	XXXXX/XXXXX	
	XXXXX	YYYY-MM-DD / XX	HRCT fibrosis score	XXXXX	XXXXX/XXXXX	
			Ground-glass opacity	Not Done		XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 23 Adverse events All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	AE NO/ TEAE [1]/ SAE [2]	System Organ Class/ Preferred Term/ Lowest Level Term/ Reported Term	Start Date, Time/ Study Day/ End Date, Time/ Study Day/ Study period	Intermittent or persistent/ Severity/ Relationship to IMP/ Outcome	Action taken with IMP/ Concomitant medications?/ AESI?/ Exacerbation of IPF?/ Causality to concomitant medications taken
XXXXXXXX/ X/ XX/ XXXXX	XX/ XXX/ XXX (X,X)	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	YYYY-MM-DD HH:MM/ XXX/ YYYY-MM-DD HH:MM/ XXX/ XXXXXXXXXXXXXXXXXX	XXXXXXXXXX/ XXXXXXXXXX/ XXXXXXXXXX/ XXXXXX	XXXXXXXXX/ XXX/ XXX/ XXX/ XXXXXXXX, XXXXXXXXX, XXXXXXXXX

. . .

Abbreviations: AE = Adverse Event, SAE = Serious Adverse Event, TEAE = Treatment-Emergent Adverse Event.

[1] TEAE is any reported adverse event that starts after initiation of the study therapy.

[2] Seriousness criteria: 1 = <<fatal>>, 2 = <<life-threatening>>, 3 = <<requires or prolongs in-patient hospitalization>>, 4 = <<results in persistent or significant incapacity/disability>>, 5 = <<congenital abnormality or birth defect>>, 6 = <<other significant medical event>>. AEs are coded using MedDRA version XX.X.

Path to the program code, DDMMMYYYY, HH:MM

Date of data extraction: DDMMMYYYY



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F. Hoffmann-La Roche Ltd ML39355 Listing 24 Exacerbations All Enrolled patients Page X of X

Patient ID/ Sex/ Age (years)/ Race	AE No/ TEAE [1]/ SAE [2]/ Study period	System Organ Class/ Preferred Term/ Lowest Level Term/ Reported Term	Start Date, Time/ Study Day/ End Date, Time/ Study Day/	Intermittent or persistent/ Severity/ Relationship to Study Treatment/Outcome	Action taken with IMP/ Concomitant medications?/ AESI?/ Exacerbation of IPF?/ Causality to concomitant medications taken
XXXXXXXX/ X/ XX/ XXXXX	XX/ XXX/ XXX (X)/ XXX	xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx/ xxxxxx	YYYY-MM-DD HH:MM/XXX/ YYYY-MM-DD HH:MM/XXX	xxxxxxxxxx/xxxxxxxxx/ xxxxxxxxxx/xxxxxxx	XXXXXXXXXX/ XXX/ XXX/ XXX/ XXXXXXXX, XXXXXXXXX, XXXXXXXXXX

. . .

Abbreviations: AE = Adverse Event, SAE = Serious Adverse Event, TEAE = Treatment-Emergent Adverse Event.

[1] TEAE is any reported adverse event that starts after initiation of the study therapy.

[2] Seriousness criteria: 1 = <<fatal>>, 2 = <<life-threatening>>, 3 = <<requires or prolongs in-patient hospitalization>>, 4 = <<results in persistent or significant incapacity/disability>>, 5 = <<congenital abnormality or birth defect>>, 6 = <<other significant medical event>>. Exacerbations are coded using MedDRA version XX.X.

Path to the program code, DDMMMYYYY, HH:MM

Date of data extraction: DDMMMYYYY



ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 25 Serious adverse events/Adverse events of special interest

All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	SAE No	Reported Term/ Date of Initial SAE/AESI Report Release/ Study Day	Report type	Date event became serious/ Study Day/ End date of SAE/AESI/ Study Day/ Last dose prior to SAE/AESI/ Study Day/	Action taken due to SAE/AESI/ Suspected causes	Description for Initial Report/ Description for Follow-up Report
XXXXXXXX/ X/ XX/ XXXXX	XX	XXXXXXXXXXX XXXXXXXXXXXXX XXXXXXXXXX/ YYYY-MM-DD/XXX	Initial	YYYY-MM-DD/XXX/ YYYY-MM-DD/XXX/ YYYY-MM-DD/XXX	XXXXXXXXXX, XXXXXXXXXX/ XXXXXXXXXX, XXXXXXXX	XXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXX

Abbreviations: SAE = Serious Adverse Event, AESI = AE of Special Interest. Terms are coded using MedDRA version XX.X.

Path to the program code, DDMMMYYYY, HH:MM

Date of data extraction: DDMMMYYYY



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F. Hoffmann-La Roche Ltd ML39355

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Listing 26 Study drug administration

Treated set (TS) Page X of X

Patient ID/ Sex/ Age (years)/ Race	Study period	Trade name	Single dose (capsules)/ Frequency	Start Date/ Study day / End Date / Study day or Ongoing	Reason for dose change or stop	Comments
XXXXXXXX/ X/ XX/	Treatment	Pirfenidone	XXXXX/	YYYY-MM-DD/ XX /	XXXXX	XXXXXXXXXXXXXX
ΛΛΛΛΛ	Treatment	Pirfenidone	XXXXX/	YYYY-MM-DD/ XX /	XXXXX	*****
	period		XXXXX	YYYY-MM-DD/ XX		
	Rollover study	XXXXXXXXXX	XXXXX/	YYYY-MM-DD/ XX /	XXXXX	XXXXXXXXXXXXXX
			XXXXX	YYYY-MM-DD/ XX		

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 27 Exposure Treated set (TS) Page X of X

Patient ID/ Sex/ Age (years)/ Race	Study period	Duration of exposure, weeks	Number of capsules taken
XXXXXXXX/ X/ XX/ XXXXX	Treatment period	XX.X	XXX
	Rollover study	XX.X	XXX
	Total	XX.X	ХХХ

Path to the program code, date and time of output



ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 28 Cases of incorrect administration of study drug / overdose Treated set (TS) Page X of X

Patient ID/ Sex/ Age (years)/ Race	Study period	Date, Time/ Study day	Dose (capsules)	Category	Comments/Description
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXX	Incorrect administration of study drug	XXXXXXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXX	Incorrect administration of study drug	XXXXXXXXXXXXXX
XXXXXXXX/ X/ XX/ XXXXX 	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXX	Overdose	XXXXXXXXXXXXXXX

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ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 29 Urine pregnancy test All Enrolled patients

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Patient ID/ Sex/ Age (years)/ Race	Visit	Date, Time of test performed/ Study day	Result	Reasons if Not Done
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXX	
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	Not Done	XXXXXX XXXXXXXX XXXX
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	XXXXX	

Path to the program code, date and time of output



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Patient ID/ Sex/ Age (years) / Race	Visit	Date, Time of specimen collected / Study day	Fasting Status	Parameter, units	Result	Units	Change from Baseline/ Baseline	Reference Limits Lower/Upper	Out of Range (L/N/H)	CS (Yes/No)	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM- DD HH:MM/ XX	Yes	XXXXX	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	
				XXXXX	Not Done						XXXXXXXX XXXXX
				XXXXX •••	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	
	XXXXX	YYYY-MM- DD HH:MM/ XX	Yes	XXXXX	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	
	•••										

L = below lower reference limit. H = above upper reference limit. N = Normal range. CS = Clinically Significant.

Path to the program code, date and time of output



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Patient ID/ Sex/ Age (years) / Race	Visit	Date, Time of specimen collected / Study day	Fasting Status	Parameter, units	Result	Units	Change from Baseline/ Baseline	Reference Limits Lower/Upper	Out of Range (L/N/H)	CS (Yes/No)	Reason if Not Done
XXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM- DD HH:MM/ XX	Yes	XXXXX	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	
				XXXXX	Not Done						XXXXXXXXX XXXXX
				XXXXX •••	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	
	XXXXX	YYYY-MM- DD HH:MM/ XX	Yes	XXXXX	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX/XXXXX	Х	XXXXX	

L = below lower reference limit. H = above upper reference limit. N = Normal range. CS = Clinically Significant.

Path to the program code, date and time of output



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F. Hoffmann-La Roche Ltd ML39355 Listing 32 Vital signs All Enrolled patients

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Patient ID/ Sex/ Age	Visit	Date, Time	Parameter	Result	Units	Change from Baseline/	Out of Bange	Clinically Significant	Reason if Not Done
(vears) /		assessment/				Baseline	(Yes/No)	(Yes/No)	20110
Race		Study day				200011110	(100,100)	(100,110)	
XXXXXXXXXX/	XXXXX	YYYY-MM-DD	Systolic Blood	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
x/ xx/ xxxxx		HH:MM/ XX	Pressure			,			
			Diastolic Blood Pressure	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Pulse rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Respiratory rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Axillary	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			temperature						
			Weight	XXXXX	XXXXX	XXXXX/XXXXX			
			BMI	XXXXX	XXXXX	XXXXX/XXXXX			
			Height	XXXXX	XXXXX				
	XXXXX	YYYY-MM-DD	Systolic Blood	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
		HH:MM/ XX	Pressure						
			Diastolic Blood	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Pressure						
			Pulse rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Respiratory rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			Axillary	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			temperature						
			Weight	XXXXX	XXXXX	XXXXX/XXXXX			
			BMI						
			Height	XXXXX	XXXXX				XXXXXXXXXXXXXXXXX
	• • •	•••							
• • •									

Path to the program code, date and time of output



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Listing 33 ECG All Enrolled patients

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Patient ID/	Visit	Date, Time	Parameter	Result	Units	Change from	Out of	Clinically	Reason /
Sex/ Age		of				Baseline/	Range	Significant	Comments if
(years) /		assessment /				Baseline	(Yes/No)	(Yes/No)	Not Done /
Race		Study day							Abnormal
XXXXXXXXX/ X/	XXXXX	YYYY-MM-DD	Heart rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
XX/ XXXXX		HH:MM/ XX							
			QT interval	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			QTC-F interval	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			General assessment	XXXXX				XXXXX	
	XXXXX	YYYY-MM-DD	Heart rate	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
		HH:MM/ XX	QT interval	Not Done					XXXXXXX XXXX
			QTC-F interval	XXXXX	XXXXX	XXXXX/XXXXX	XXXXX	XXXXX	
			General assessment	XXXXX				XXXXX	
		• • •							

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Path to the program code, date and time of output



ML39355_STATISTICAL ANALYSIS PLAN_Final 2.0_17 June 2020

F. Hoffmann-La Roche Ltd ML39355 Listing 34 Physical examinations All Enrolled patients

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Patient ID/ Sex/ Age (years) / Race	Visit	Date, Time of assessment / Study day	Body system/Site	Result	Clinically Significant (Yes/No)	Reason/ Comments if Not Done/ Abnormal
XXXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD hh:MM/ XX	Head	XXXXX	XXXXX	
			Eyes	XXXXX	XXXXX	
			Ears, nose and throat	XXXXX	XXXXX	
			Cardiovascular system	Not Done		******
			Dermatological system	XXXXX	XXXXX	
			Musculoskeletal system	XXXXX	XXXXX	
				XXXXX	XXXXX	
XXXXXXXXX/ X/ XX/ XXXXX	XXXXX	YYYY-MM-DD HH:MM/ XX	Head	XXXXX	XXXXX	
			Eyes	XXXXX	XXXXX	
			Ears, nose and throat	XXXXX	XXXXX	
			Cardiovascular system	XXXXX	XXXXX	
			Dermatological system	XXXXX	XXXXX	
			Musculoskeletal system	XXXXX	XXXXX	
				XXXXX	XXXXX	

Path to the program code, date and time of output