

CLINICAL RESEARCH IN INFECTIOUS DISEASES

STATISTICAL ANALYSIS PLAN

for

DMID Protocol

17-0077: A Phase II Study in Healthy Adults (19-64 Years of Age) to Assess the Safety, Reactogenicity and Immunogenicity of Sequential or Simultaneous Intramuscular Administration of an AS03-adjuvanted A/H7N9 Inactivated Influenza Vaccine with Seasonal Influenza Vaccine

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STUDY TITLE

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Products:	Sanofi A/H7N9 Inactivated Influenza Vaccine GSK AS03 Adjuvant Seasonal influenza vaccine (IIV4)
Form/Route:	IM
Indication Studied:	Influenza A/H7N9
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This study was performed in compliance with Good Clinical Practice.

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TABLE OF CONTENTS

STUDY TITLE.....	II
TABLE OF CONTENTS	III
LIST OF ABBREVIATIONS.....	VI
1. PREFACE.....	1
2. INTRODUCTION.....	2
2.1. Purpose of the Analyses.....	2
3. STUDY OBJECTIVES AND ENDPOINTS.....	3
3.1. Study Objectives	3
3.1.1. Primary.....	3
3.1.2. Secondary.....	3
3.1.3. Exploratory.....	3
3.2. Endpoints	4
3.2.1. Primary.....	4
3.2.2. Secondary.....	5
3.2.3. Exploratory.....	5
3.3. Study Definitions and Derived Variables	6
4. INVESTIGATIONAL PLAN.....	7
4.1. Overall Study Design and Plan.....	7
4.2. Discussion of Study Design, Including the Choice of Control Groups	8
4.3. Selection of Study Population.....	8
4.4. Treatments.....	8
4.4.1. Treatments Administered.....	8
4.4.2. Identity of Investigational Product.....	8
4.4.3. Method of Assigning Subjects to Treatment Groups (Randomization)	8
4.4.4. Selection of Doses in the Study.....	8
4.4.5. Selection and Timing of Dose for Each Subject	9
4.4.6. Blinding.....	9
4.4.7. Prior and Concomitant Therapy	9
4.4.8. Treatment Compliance	9
4.5. Immunogenicity and Safety Variables.....	10
4.5.1. Safety Variables	10
4.5.2. Immunogenicity Variables	10

TABLE OF CONTENTS *(continued)*

5.	SAMPLE SIZE CONSIDERATIONS	12
6.	GENERAL STATISTICAL CONSIDERATIONS	13
6.1.	General Principles	13
6.2.	Timing of Analyses	13
6.2.1.	Preliminary Report and CSR	13
6.3.	Analysis Populations	14
6.3.1.	Safety Population	14
6.3.2.	Modified Intention-to-Treat (mITT) Population	14
6.3.3.	Per Protocol Population	14
6.4.	Covariates and Subgroups	15
6.5.	Missing Data	15
6.6.	Interim Analyses and Data Monitoring	15
6.6.1.	Interim Safety Review	15
6.6.2.	Interim Immunogenicity Review	16
6.7.	Multicenter Studies	16
6.8.	Multiple Comparisons/Multiplicity	16
7.	STUDY SUBJECTS	17
7.1.	Subject Disposition	17
7.2.	Protocol Deviations	17
8.	IMMUNOGENICITY EVALUATION	18
8.1.	Primary Immunogenicity Analysis	18
8.2.	Secondary Immunogenicity Analyses	19
8.3.	Exploratory Immunogenicity Analyses	19
8.3.1.	Covariate Analysis	19
8.3.2.	Regression Modeling	19
8.3.3.	NA Serum Antibody Responses	20
9.	SAFETY EVALUATION	21
9.1.	Demographic and Other Baseline Characteristics	21
9.1.1.	Prior and Concurrent Illnesses and Medical Conditions	21
9.1.2.	Prior and Concurrent Medications	21
9.2.	Measurements of Treatment Compliance	22
9.3.	Adverse Events	22

TABLE OF CONTENTS *(continued)*

9.3.1.	Solicited Events and Symptoms	22
9.3.2.	Unsolicited Adverse Events	23
9.4.	Deaths, Serious Adverse Events and other Significant Adverse Events.....	24
9.5.	Pregnancies	24
9.6.	Clinical Laboratory Evaluations	25
9.7.	Vital Signs and Physical Evaluations.....	25
9.8.	Concomitant Medications	25
10.	REPORTING CONVENTIONS	26
11.	TECHNICAL DETAILS	27
12.	SUMMARY OF CHANGES IN THE CONDUCT OF THE STUDY OR PLANNED ANALYSES	28
13.	REFERENCES	29
14.	APPENDICES	30
APPENDIX 1.	TABLE MOCK-UPS	31
APPENDIX 2.	FIGURE MOCK-UPS.....	145
APPENDIX 3.	LISTINGS MOCK-UPS	163

LIST OF ABBREVIATIONS

AE	Adverse Event
ALT	Alanine Aminotransferase
BP	Blood Pressure
C	Celsius
CI	Confidence Interval
Cr	Creatinine
CRF	Case Report Form
CSR	Clinical Study Report
DMID	Division of Microbiology and Infectious Diseases
DSMB	Data and Safety Monitoring Board
eCRF	Electronic Case Report Form
F	Fahrenheit
FDA	U.S. Food and Drug Administration
GMT	Geometric Mean Titer
GSK	GlaxoSmithKline
HAI	hemagglutination inhibition antibody
Hgb	Hemoglobin
HHS	U.S. Department of Health and Human Services
ICH	International Conference on Harmonisation
IIV	Inactivated Influenza Vaccine
IM	Intramuscularly
IRB	Institutional Review Board
L	Liter
MAAE	Medically Attended Adverse Event
mcg	Microgram
MedDRA	Medical Dictionary for Regulatory Activities
mg	Milligram
mITT	Modified Intention to Treat
N, n	Number
NA	neuraminidase
Neut	Neutralizing Antibody

LIST OF ABBREVIATIONS *(continued)*

NIH	National Institutes of Health
NOCMC	New Onset Chronic Medical Condition
PIMMC	Potentially Immune Mediated Medical Condition
PP	Per Protocol
PLT	Platelets
PT	Preferred Term
RCD	Reverse Cumulative Distribution
SAE	Serious Adverse Event
SD	Standard Deviation
SDCC	Statistical and Data Coordinating Center
SMC	Safety Monitoring Committee
SOC	System Organ Class
T. Bili	Total Bilirubin
WBC	White Blood Cell
WHO	World Health Organization

1. PREFACE

This Statistical Analysis Plan (SAP) for DMID Protocol 17-0077, “A Phase II Study in Healthy Adults (19-64 Years of Age) to Assess the Safety, Reactogenicity and Immunogenicity of Sequential or Simultaneous Intramuscular Administration of an AS03- adjuvanted A/H7N9 Inactivated Influenza Vaccine with Seasonal Influenza Vaccine,” describes and expands upon the statistical information presented in the protocol.

This document describes all planned analyses and provides reasons and justifications for these analyses. It also includes sample tables, figures, listings (TFLs) planned for (1) final analyses included in the preliminary report, and (2) analyses included in the Clinical Study Report (CSR). Regarding the final analyses and CSR, this SAP follows the International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) Guidelines, as indicated in Topic E3 (Structure and Content of Clinical Study Reports), and more generally is consistent with Topic E8 (General Considerations for Clinical Trials) and Topic E9 (Statistical Principles for Clinical Trials). The structure and content of the SAP provides sufficient detail to meet the requirements identified by the FDA and ICH, while all work planned and reported for this SAP will follow internationally accepted guidelines published by the American Statistical Association and the Royal Statistical Society for statistical practice.

This document contains four sections: (1) a review of the study design, (2) general statistical considerations, (3) comprehensive statistical analysis methods for immunogenicity and safety outcomes, and (4) a list of proposed tables, figures and listings (TFLs). Following any protocol amendment, this SAP will be reviewed and revised (if needed) to address any changes in the protocol impacting analysis. Any deviation from the final SAP will be described and justified in the CSR. The reader of this SAP is encouraged to also review the study protocol for details on conduct of the study and the operational aspects of clinical assessments.

2. INTRODUCTION

Since March of 2013 [1], avian influenza A/H7N9 viruses have continued to circulate in China causing discrete outbreaks (or waves) in humans with high mortality over the past 5 years. By late 2016, a fifth wave of outbreaks was identified in China and as of May 18, 2017, a total of 1,463 laboratory-confirmed human infections with avian influenza A/H7N9 virus have been reported by the World Health Organization [2, 3]. Since the onset of the fifth wave of A/H7N9 outbreaks in October 2016, more human cases of A/H7N9 infection have been reported in China than any prior A/H7N9 epidemic wave [3]. The U.S. Department of Health and Human Services (HHS) recently assessed A/H7N9 influenza virus as having a significant potential to cause a pandemic, and the greatest risk of causing severe disease. As a result, HHS has supported the production of fifth wave A/H7N9 inactivated influenza 9 vaccines (IIVs) for the U.S. stockpile and for an assessment of their safety and immunogenicity in clinical trials.

The goal of this clinical trial is to assess in healthy adults the safety, reactogenicity, and immunogenicity of two doses of AS03-adjuvanted 2017 A/H7N9 inactivated influenza vaccine (IIV) administered simultaneously (Group 1) or sequentially (Group 2) with seasonal influenza vaccine (IIV4), and to assess potential interference with the immunogenicity of either vaccine. A comparator group will receive IIV4 only at baseline (Group 3). The two doses of the A/H7N9 vaccine given with AS03 adjuvant will be administered approximately 21 days apart.

2.1. Purpose of the Analyses

These analyses will assess the safety and immunogenicity of two doses of AS03-adjuvanted 2017 A/H7N9 IIV administered sequentially or simultaneously with seasonal influenza vaccine and will be included in the clinical study report.

3. STUDY OBJECTIVES AND ENDPOINTS

3.1. Study Objectives

3.1.1. Primary

Safety:

- To assess the safety and reactogenicity following sequential or simultaneous intramuscular (IM) administration of 2 doses of AS03-adjuvanted 2017 A/H7N9 IIV and one dose of seasonal influenza vaccine (IIV4).

Immunogenicity:

- To assess the serum hemagglutination inhibition (HAI) and neutralizing (Neut) antibody responses against A/H7N9 at approximately 21 days following receipt of two doses of AS03-adjuvanted 2017 H7N9 IIV administered IM approximately 21 days apart.
- To assess the serum HAI and Neut antibody responses against the seasonal influenza strains at approximately 21 days following receipt of IIV4.

3.1.2. Secondary

Safety:

- To assess unsolicited non-serious adverse events following sequential or simultaneous IM administration of AS03-adjuvanted 2017 A/H7N9 IIV and seasonal influenza vaccine (IIV4).
- To assess medically attended adverse events (MAAEs), including new onset chronic medical conditions (NOCMCs) and potentially immune mediated conditions (PIMMCs), following sequential or simultaneous IM administration of AS03-adjuvanted 2017 A/H7N9 IIV and IIV4.

Immunogenicity:

- To assess the HAI and Neut antibody responses at 21 days following receipt of 1 dose of AS03-adjuvanted 2017 A/H7N9 IIV.

3.1.3. Exploratory

Immunogenicity:

- To assess the effects of age, sex, BMI, and receipt of prior year(s) seasonal influenza vaccine(s) on serum HAI antibody responses following receipt of two doses of AS03-adjuvanted 2017 A/H7N9 IIV.

- To assess the durability of the antibody response to the 2017 A/H7N9 study vaccine strain and the IIV4 strains at approximately 180 days following the second dose of AS03-adjuvanted 2017 A/H7N9 IIV vaccine.
- To assess the neuraminidase (NA) content of the 2017 H7N9 IIV and the licensed seasonal IIV4 and determine the serum antibody responses to NA following receipt of 2017 H7N9 IIV. *Note: analysis plans for this objective will be described in an addendum to this SAP once the NA assay has been selected.*

3.2. Endpoints

3.2.1. Primary

Safety:

- Occurrence of all serious adverse events (SAEs) following the first study vaccination through approximately 12 months after the last study vaccination.
- Occurrence of study vaccine-related SAEs following the first study vaccination through approximately 12 months after the last study vaccination.
- Occurrence of solicited injection site and systemic adverse events (AEs) following each study vaccination through 7 days after each study vaccination.
- Occurrence of clinical safety laboratory AEs following each study vaccination through approximately 7 days after each study vaccination.

Immunogenicity:

- For HAI and Neut antibodies, percentage of subjects achieving seroconversion against the 2017 H7N9 study vaccine strain (defined as either a pre-vaccination titer <1:10 and a post-vaccination titer \geq 1:40 or a pre-vaccination titer \geq 1:10 and a minimum four-fold rise in post-vaccination antibody titer) at approximately 21 days after 2 doses of AS03-adjuvanted 2017 H7N9 IIV (Group 1 – Day 43; Group 2 – Day 64; Group 3 – N/A).
- For HAI and Neut antibodies, percentage of subjects achieving seroconversion against each of the study IIV4 strains at approximately 21 days after receipt of study IIV4 (Groups 1, 2 and 3 – Day 22).
- For HAI and Neut antibodies, percentage of subjects with an antibody titer of \geq 1:40 against the influenza 2017 H7N9 study vaccine strain at approximately 21 days following receipt of 2 doses of AS03-adjuvanted 2017 H7N9 IIV (Group 1 – Day 43; Groups 2 – Day 64; Group 3 – N/A).
- For HAI and Neut antibodies, percentage of subjects with an antibody titer of \geq 1:40 against each of the study IIV4 strains at approximately 21 days after receipt of study IIV4 (Groups 1, 2 and 3 – Day 22).

- GMTs of serum HAI and Neut antibodies against the 2017 H7N9 IIV strain at approximately 21 days following receipt of 2 doses of AS03-adjuvanted 2017 H7N9 IIV (Group 1– Day 43; Group 2 – Day 64; Group 3 – N/A).
- GMTs of serum HAI and Neut antibodies against each of the 2017 IIV4 strains at approximately 21 days after receipt of IIV4 (Group 1, 2 and 3– Day 22).

3.2.2. Secondary

Safety:

- Occurrence of study vaccine-related unsolicited non-serious AEs following each study vaccination through approximately 21 days after each study vaccination.
- Occurrence of all unsolicited non-SAEs following each study vaccination through approximately 21 days after each study vaccination.
- Occurrence of MAAEs, including NOCMCs and PIMMCs, following the first study vaccination through approximately 12 months after the last study vaccination.

Immunogenicity:

- For HAI and Neut antibodies, percentage of subjects achieving seroconversion against the 2017 H7N9 vaccine strain at approximately 21 days after receipt of 1 dose of AS03-adjuvanted 2017 H7N9 IIV (Group 1– Day 22; Group 2 – Day 43; Group 3 – N/A).
- For HAI and Neut antibodies, percentage of subjects achieving serum HAI and Neut antibody titers $\geq 1:40$ against the influenza 2017 H7N9 vaccine strain at baseline and approximately 21 days after receipt of 1 dose of AS03-adjuvanted 2017 H7N9 IIV (Group 1–Day 1 (baseline) and Day 22; Group 2 –Day 22 (baseline) and Day 43; Group 3 – N/A).
- GMTs of serum HAI and Neut antibodies against the influenza 2017 H7N9 vaccine virus at baseline and at approximately 21 days after receipt of 1 dose of AS03-adjuvanted 2017 H7N9 IIV. (Group 1–Day 1 (baseline) and Day 22; Group 2 –Day 22 (baseline) and Day 43; Group 3 – N/A).

3.2.3. Exploratory

Immunogenicity:

- For HAI and Neut antibodies, percentage of subjects achieving seroconversion, percentage with titer $\geq 1:40$ and the GMTs against the 2017 H7N9 study vaccine strain approximately 21 and 180 days after 2 doses of adjuvanted 2017 H7N9 IIV, stratified by age, sex, BMI, and prior receipt of seasonal influenza vaccine(s) for Groups 1 and 2.

- For HAI and Neut antibodies, percentage of subjects achieving seroconversion, percentage with a titer $\geq 1:40$ and the GMTs against the IIV4 vaccine strains approximately 21 and 180 days after receipt of IIV4, stratified by age, sex, BMI, and prior receipt of seasonal influenza vaccine(s).
- For HAI and Neut antibodies, percentage of subjects achieving seroconversion, percentage with titer $\geq 1:40$, and GMTs against the 2017 H7N9 study vaccine strain at approximately 180 days after 2 doses of adjuvanted 2017 H7N9 IIV for Groups 1 and 2.
- Percentage of subjects with detectable levels of serum N1, N2 and N9 NA-specific antibody elicited by 2017 H7N9 and seasonal IIV4 vaccination, and the correlation of the NA content of 2017 H7N9 IIV and seasonal IIV4 with the elicited NA specific antibody responses at baseline and approximately 21 and 180 days after 2 doses of adjuvanted 2017 H7N9 IIV for Groups 1 and 2; and approximately 21 and 180 days after receipt of the seasonal IIV4 vaccine for all Groups. *Note: analysis plans for this endpoint will be described in an addendum to this SAP once the NA assay has been selected*

3.3. Study Definitions and Derived Variables

For individual subjects, fold rise will be calculated as the ratio of: $\frac{\text{post-vaccination titer}}{\text{pre-vaccination titer}}$, where pre-vaccination value is always the result obtained at Day 0, prior to the priming dose.

HAI Seroconversion is defined as either a pre-vaccination HAI titer < 10 and a post-vaccination HAI titer ≥ 40 or a pre-vaccination HAI titer ≥ 10 and a minimum four-fold rise in post-vaccination HAI titer [5].

Neut Seroconversion is defined as either a pre-vaccination Neut titer $< 1:10$ and a post-vaccination Neut titer $\geq 1:40$ or a pre-vaccination Neut titer $\geq 1:10$ and a minimum four-fold rise in post-vaccination Neut antibody titer.

4. INVESTIGATIONAL PLAN

4.1. Overall Study Design and Plan

DMID Protocol 17-0077 follows a Phase II randomized, open-label study design enrolling males and non-pregnant females aged 19-64 years who are in good health as determined by medical history and physical examination, and meet all eligibility criteria, including erythrocyte sedimentation rate (ESR) and negative urine or serum pregnancy test (for females). This clinical trial is designed to assess the safety, reactogenicity, and immunogenicity of a pre-pandemic AS03 (GSK) adjuvanted 2017 monovalent inactivated influenza A/H7N9 vaccine (2017 H7N9 IIV) manufactured by Sanofi Pasteur (3.75 mcg of HA per dose), when two doses are administered 21 days apart either sequentially or simultaneously (within 15 minutes) with licensed seasonal influenza vaccine.

Subjects will be randomly assigned to 1 of 3 study groups with allocation 2:2:1 (see [Table 1](#)). All study doses will be administered IM approximately 21 days apart. Subjects assigned to treatment group 1 will receive seasonal IIV4 and AS03 adjuvanted 2017 H7N9 IIV concurrently at the first dose, and will return after approximately 21 days to receive a second dose of AS03 adjuvanted 2017 H7N9 IIV. Subjects assigned to treatment group 2 will receive seasonal IIV4 at the first dose followed by two doses at 21 and 42 days post IIV4 of AS03 adjuvanted 2017 H7N9 IIV. Subjects assigned to treatment group 3 will receive a single dose of IIV4.

Reactogenicity will be measured by the occurrence of solicited injection site and systemic reactions from the time of each study vaccination through 7 days after each study vaccination. Unsolicited non-serious AEs will be collected from the time of each study vaccination through approximately 21 days after each study vaccination. SAEs and MAAEs, including NOCMCs and PIMMCs, will be collected from the time of the first study vaccination through approximately 12 months after the last study vaccination. Clinical laboratory evaluations for safety will be performed on venous blood collected prior to each study vaccination and approximately 7 days after each study vaccination.

Immunogenicity testing will include performing HAI and Neut antibody assays against the 2017 H7N9 vaccine virus on serum samples collected for Group 1 on Days 1 (baseline), 22, and 43, and at approximately 180 days post second adjuvanted 2017 H7N9 IIV dose (Day 202), and for Group 2 on Study Days 22 (baseline), 43, and 64, and at approximately 180 days post second 2017 H7N9 IIV adjuvanted dose (Day 223). Serological antibody assays will be performed against the vaccine viruses in IIV4 from serum samples collected at baseline (Day 1) and approximately 21 and 180 days following receipt of seasonal IIV4 (Groups 1, 2, and 3).

Novel methods for identifying and assessing alternative correlates of protection against influenza infection are needed. To assess the NA-specific antibody response to vaccination, it is first necessary to determine the NA content of inactivated influenza vaccine; this assay is

under development. If successful, the NA content in a dosage-specific manner can be correlated to the N9 NA-specific antibody responses elicited by the 2017 H7N9 IIV.

4.2. Discussion of Study Design, Including the Choice of Control Groups

This study uses a dose comparison concurrent control design. All subjects receive seasonal IIV4 vaccine either alone or administered simultaneously or sequentially with two doses of AS03 adjuvanted 2017 A/H7N9 IIV.

4.3. Selection of Study Population

The study population for this clinical trial is 150 males and non-pregnant females, 19-64 years old, who are in good health and meet all eligibility criteria. The subjects will be recruited from the general population at the participating Vaccine Trial and Evaluation Unit (VTEU) sites that have substantial experience conducting large influenza vaccine studies.

4.4. Treatments

4.4.1. Treatments Administered

Seasonal IIV4 will be administered to all enrolled subjects. The 2017 A/H7N9 Vaccine with AS03 Adjuvant will be administered to subjects in treatment groups 1 and 2.

4.4.2. Identity of Investigational Product

See the study protocol for details of study product formulation.

4.4.3. Method of Assigning Subjects to Treatment Groups (Randomization)

Enrollment/randomization will be performed through the enrollment module in the electronic data capture system maintained by the Statistical and Data Coordinating Center (SDCC).

Eligible subjects will be stratified by clinical site and randomly assigned with allocation (2:2:1) to 1 of 3 treatment groups indicated in [Table 1](#). The treatment sequence was generated using permuted block randomization to provide an approximately balanced allocation to the treatment groups during the study.

4.4.4. Selection of Doses in the Study

Participants in this study are to receive one dose of seasonal IIV4 (all subjects), as well as two doses of AS03 adjuvanted 2017 A/H7N9 at HA dose of 3.75 mcg (treatment groups 1 and 2). The 3.75 mcg with AS03 dose was selected to evaluate safety and immunogenicity of antigen sparing dose strategies.

4.4.5. Selection and Timing of Dose for Each Subject

Each subject is to be randomly assigned to a study group which will define the number and timing of AS03 adjuvanted 2017 A/H7N9 vaccinations. The first dose takes place on the day of randomization and will include vaccination with IIV4 for all subjects. Subjects in Group 1 will also receive the first A/H7N9 vaccination at the randomization visit. The second dose, a single A/H7N9 vaccination, if applicable, takes place approximately 21 days after the first dose (protocol defined window of 21-28 days post first dose). The third dose, a single A/H7N9 vaccination, if applicable, takes place approximately 21 days after the second dose (protocol defined window of 21-28 days post second dose).

4.4.6. Blinding

This trial is open-label. Subjects, investigators, and study personnel performing any study-related assessments following study vaccine administration are not blinded to study treatment. However, laboratory personnel performing HAI and Neut antibody assays will receive serum samples blinded to subject ID number and sample visit number.

The randomization scheme was generated by the SDCC and each subject's treatment assignment is displayed in AdvantageEDC upon enrollment.

The Data and Safety Monitoring Board (DSMB) may receive data in aggregate and presented by treatment group, including expected and observed rates of the expected AEs. The DSMB will review grouped data in the closed session only.

4.4.7. Prior and Concomitant Therapy

Administration of any medications, therapies, or vaccines (including prescription and over-the-counter drugs as well as herbals, vitamins, and supplements) from 60 days prior to study vaccination through 21 days post final dose will be solicited from the participant during screening, enrollment, and follow-up. Any reported prior therapies from up to 30 days prior to vaccination through 21 days post final dose will be recorded in the appropriate data collection form.

Use of concomitant medications is allowed prior to and during the trial with the exception of medications and therapies that might interfere with the evaluation of the investigational product. Medications in this category include the prohibited medication per the Subject Exclusion Criteria (protocol Section 5.1.2). In addition, the site principal investigator or appropriate sub-investigator may identify other medications that should not be used due to a risk to subject safety or assessment of reactogenicity and immunogenicity.

4.4.8. Treatment Compliance

All subjects are to receive one dose of seasonal IIV4 and subjects in treatment groups 1 and 2 are to receive two doses of AS03 adjuvanted 2017 A/H7N9 IIV vaccine administered in the clinic based on study group assignment.

4.5. Immunogenicity and Safety Variables

See [Table 2](#), [Table 3](#), [Table 4](#), [Table 5](#), [Table 6](#), and [Table 7](#) for schedules of study procedures by treatment group.

4.5.1. Safety Variables

Safety will be assessed by the frequency and severity of:

1. SAEs occurring from the time of the first study vaccination through approximately 12 months after the last study vaccination.
2. Solicited AEs – reactogenicity events occurring from the time of each study vaccination through 7 days after each study vaccination:
 - a) Injection site reactions including pruritus, ecchymosis, erythema, induration (hardness)/edema (swelling), pain, and tenderness.
 - b) Systemic reactions including fever, feverishness, fatigue, malaise, myalgia, arthralgia, headache, and nausea.
3. Clinical safety laboratory AEs occurring from the time of each study vaccination through approximately 7 days after each study vaccination. Parameters to be evaluated include white blood cells (WBC), hemoglobin (Hgb), platelets (PLT), alanine aminotransferase (ALT), total bilirubin (T. Bili), and creatinine (Cr).
4. Unsolicited AEs – non-serious AEs occurring from the time of each study vaccination through approximately 21 days after each study vaccination.
5. MAAEs, including NOCMCs, and PIMMCs occurring from the time of the first study vaccination through approximately 12 months after the last study vaccination.

Grading scales for injection site and systemic solicited reactions, vital signs, and clinical laboratory parameters are provided in [Table 13](#), [Table 14](#), [Table 15](#), and [Table 16](#).

4.5.2. Immunogenicity Variables

Individual HAI and Neut results will be reported by the central immunology laboratory for the homologous 2017 A/H7N9 vaccine strain, A/Hong Kong/125/2017, the homologous strains in the seasonal 2017/2018 IIV4 Fluzone vaccine (A/Michigan/45/2015 X-275 (H1N1), A/Hong Kong/4801/2014 X263B(H3N2), B/Phuket/3073/2013 (B Yamagata lineage), and B/Brisbane/60/2008 (B Victoria lineage)). Assay results are reported as a reciprocal titer with values of $10 \cdot 2^k$, where $k=0, 1, 2$, etc. The lower limit of detection for the HAI and Neut assays is 1:10; values below the limit of detection are reported as '<10', and for analysis are imputed as one-half the limit of detection ($10/2 = 5$). For analysis, the geometric mean (calculated on natural log scale) of repeated results for each sample will be computed and used as the response for all subsequent calculations. The variation arising from multiple measurements for an individual at a single time point is not used in subsequent calculations. See Section 3.3 for definitions of derived variables for the analysis of HAI and Neut data.

The assay to determine the influenza virus N9 Neuraminidase (NA) antibody response to vaccination is under development. An addendum to the SAP will be generated to outline the planned analyses when assay development is complete.

For subjects in Group 1 (Simultaneous Administration) there is potential for an overlap between the windows for Visit 9 (Day 181 post vaccination 1 ± 14 days) and Visit 10 (Day 181 post vaccination 2 ± 14 days). Should a subject complete both visits on the same date, HAI and Neut results against the seasonal IIV4 vaccine strains on that date will be associated with Visit 9, while HAI and Neut results against the 2017 H7N9 vaccine strain on that date will be associated with Visit 10.

All immunogenicity data will be uploaded into the SDCC's electronic data capture system.

5. SAMPLE SIZE CONSIDERATIONS

This study is planned to enroll a minimum of 60 subjects in Groups 1-2, and 30 subjects in Group 3. This study is not designed to test a formal null hypothesis. Rather, it is intended to obtain sufficient data to obtain meaningful estimates of the immune response and to uncover any safety issues that occur at a sufficiently high rate that they might be observed in a study of this size. As such, the type one error rate, $\alpha = 0.05$, is not adjusted for multiple comparisons. While this study is not designed to test any specific null hypothesis, the following tables illustrate the precision and power that is available for select estimates and comparisons of interest.

[Table 8](#) indicates the probability of observing one or more safety events, such as solicited injection site or systemic reactogenicity events or an unsolicited non-serious AE of a particular type for a single treatment group ($N = 30$ or 60), and for all subjects receiving AS03-adjuvanted 2017 H7N9 IIV ($N = 120$).

Binomial confidence intervals (CI) are widest (have the least precision) when the response rate is 50%. [Table 9](#) is presented to indicate the worst case scenario for precision of observed exact (Clopper-Pearson) binomial confidence intervals.

For each of the primary immunogenicity objective, a power analysis is provided below for testing the following hypotheses with the planned sample size, where p_c = proportion responders in comparator arm; p_e = proportion responders in experimental arm.

Test for difference in proportion responders:

$H_0: p_c - p_e = 0$ – No difference in proportion responders

$H_1: p_c - p_e \neq 0$ – difference in response rates

Primary Immunogenicity Objective 1: Evaluate antibody responses against A/H7N9 at approximately 21 days following receipt of two doses of AS03-adjuvanted 2017 H7N9 IIV. Comparison between simultaneous and sequential receipt to IIV4, i.e., Group 1 at Day 43 vs. Group 2 at Day 64.

Primary Immunogenicity Objective 2: Evaluate antibody responses against the seasonal influenza strains at approximately 21 days following receipt of IIV4.

Comparator Group ($N = 75$):

- Group 2 (IIV4/H7N9/H7N9) + Group 3 (IIV4) at Day 22

Experimental Groups ($N = 50$ /group):

- Group 1 (IIV4+H7N9 /H7N9) at Day 64

[Table 10](#) and [Table 11](#) illustrate the minimum detectable differences in the proportion of subjects responding (e.g., attaining seroconversion or a titer $\geq 1:40$) between two treatment groups using a two-sided Likelihood Ratio Test and $\alpha = 0.05$.

6. GENERAL STATISTICAL CONSIDERATIONS

6.1. General Principles

All continuous variables will be summarized using the following descriptive statistics: n (non-missing sample size), mean, standard deviation, median, maximum and minimum. The frequency and percentages (based on the non-missing sample size) of observed levels will be reported for all categorical measures. In general, all data will be listed, sorted by site, treatment and subject, and when appropriate by visit number within subject. All summary tables will be structured with a column for each treatment group in the following order:

- Group 1: Simultaneous Administration (D1: IIV4/H7N9; D22: H7N9)
- Group 2: Sequential Administration (D1: IIV4; D22: H7N9; D43: H7N9)
- Group 3: IIV4 Only (D1: IIV4)

All tables will be annotated with the total population size relevant to that table/treatment, including any missing observations.

6.2. Timing of Analyses

6.2.1. Preliminary Report and CSR

Clinical, safety, and reactogenicity data through Day 64 will represent the primary clinical database for this trial. Once the last subject completes the Day 64 visit, the primary clinical database will be cleaned, monitored, and locked. Analyses of safety, reactogenicity, and available immunogenicity (HAI and Neut antibody assays through day 64) data by treatment arm are planned. A preliminary report will be prepared by the SDCC after the primary clinical database is locked and all HAI and Neut data through 21 days after the last study vaccination are received. Immunogenicity and safety analyses will be presented in aggregate by treatment group; individual subject data will not be presented in the preliminary report. These analyses may be made available to the sponsor for planning subsequent trials and to the lead principal investigator for publication. These analyses will not be used to make any decisions concerning the conduct of this trial. All analyses of data included in the preliminary report for early release will be considered the final analysis of these data, and also included in the final CSR.

Tables and figures to be included in the Preliminary Report are indicated with the symbol * in the title.

Analysis of exploratory immunogenicity endpoints may be performed and released as the data are available from the research laboratory. Any such analyses would be considered the final analysis for the endpoint, and included in the CSR.

The final CSR will be completed after the last subject's last visit is completed, and the final clinical database including all long-term safety follow-up data is cleaned, monitored and

locked. Additional exploratory immunogenicity endpoint data not available at the time of CSR preparation may be included in an addendum to the CSR.

6.3. Analysis Populations

6.3.1. Safety Population

The Safety Analysis population includes all subjects who received at least one study vaccination.

6.3.2. Modified Intention-to-Treat (mITT) Population

The modified intent-to-treat (mITT) population includes all subjects who received at least one dose of study vaccine and contributed both pre- and at least one post-study vaccination venous blood samples for immunogenicity testing (HAI or Neut antibody assays) for which valid results were reported. For analyses using the mITT population, subjects in Group 2 who discontinue treatment after receiving the single IIV4 dose (Dose 1) but prior to receiving the first dose of the H7N9 vaccine (Dose 2) will be analyzed with group 3. All other subjects will be grouped based on randomized treatment group.

6.3.3. Per Protocol Population

The per protocol (PP) population includes all subjects in the mITT subset with the following exclusions:

- Data from all available visits for subjects found to be ineligible at baseline.
- Data from all visits subsequent to major protocol deviations, such as:
 - Second or third dose not received,
 - Second or third dose received out of window,
 - Receipt of non-study licensed live vaccine within 30 days before or after each study vaccination,
 - Receipt of non-study licensed inactivated vaccine within 14 days before or after each study vaccination,
 - Receipt of immunosuppressive therapy (e.g., corticosteroids) within 30 days before or after each study vaccination.
- Data from any visit that occurs out of window by more than one day.

For analyses using the PP population, subjects will be grouped based on study vaccinations received - subjects in Group 2 who discontinue treatment after receiving the single IIV4 dose (Dose 1) but prior to receiving the first dose of the H7N9 vaccine (Dose 2) will be analyzed with Group 3.

6.4. Covariates and Subgroups

As a protocol defined exploratory analysis, HAI antibody response following the second vaccination will be summarized stratified by the following covariates: age (categorical: 19-34, 35-49, 50-64), sex (male, female), body mass index (<30 , ≥ 30), and prior receipt of seasonal influenza vaccine(s) (received 2016-2017, did not receive 2016-2017, unknown), and these covariates will be considered in statistical modeling as described in Section 8.3. As these analyses are exploratory the study was not powered for any subgroup analyses.

6.5. Missing Data

All attempts will be made to collect all data per protocol. As missing data are expected to be minimal, no imputation will be performed for missing values. Any data point that appears to be erroneous or inexplicable based on clinical judgment will be investigated as a possible outlier. If data points are identified as outliers, sensitivity analyses will be performed to examine the impact of including or excluding the outliers. Any substantive differences in these analyses will be reported.

6.6. Interim Analyses and Data Monitoring

Interim analyses will only be used to terminate this trial in the event that unanticipated safety events deemed to be of sufficient concern require such action by the sponsor. These assessments will not be made on the basis of testing a formal statistical hypothesis; therefore, p-value adjustment will not be made to any analyses. A DSMB will be convened by DMID to review study progress and participant, clinical, safety, and reactogenicity and immunogenicity data.

A preliminary report of safety and immunogenicity data through Day 64 will be prepared as described in section protocol section 11.6; though this report will be released while subjects remain in the trial for long-term safety and immunogenicity follow-up, it will be considered the final analysis of these data.

Emergent public health needs may dictate additional interim safety, reactogenicity, and/or immunogenicity analyses be performed on available information at any time during the trial. If this occurs, immunogenicity data will be analyzed as results are available from the central immunogenicity laboratory.

6.6.1. Interim Safety Review

An interim safety review may include enrollment and demographic information, medical history, concomitant medications, physical assessments, clinical laboratory values, dosing compliance, and solicited and unsolicited AE/SAEs. Additional data may be requested by the DSMB, and interim statistical reports may be generated as deemed necessary and appropriate by DMID. The DSMB may receive data in aggregate and presented by treatment group. The DSMB may also be provided with expected and observed rates of the expected AEs by

treatment group, and may request the treatment assignment for an individual subject if required for safety assessment. The DSMB will review data grouped by treatment group in the closed session only. The DSMB will meet and review this data at scheduled time points or ad hoc as needed during this trial as defined in the DSMB charter. As an outcome of each review/meeting, the DSMB will make a recommendation as to the advisability of proceeding with study vaccinations (as applicable), and to continue, modify, or terminate this trial. Summaries prepared for the DSMB are defined separately in a report shell reviewed and approved by the DSMB.

Additionally, this trial will be monitored to determine if any of the halting rules described in Protocol Section 9.5 are met.

6.6.2. Interim Immunogenicity Review

No interim immunogenicity analysis is planned. Should emergent public health needs dictate immunogenicity review, immune responses will be summarized in terms of strain-specific 2017 A/H7N9 and IIV4 HAI and Neut antibody titers for subjects that receive sequential or simultaneous administration of AS03-adjuvanted 2017 H7N9 IIV and seasonal IIV4. It is anticipated that all analyses will be carried out in parallel for both assays, but reports may be prepared separately for HAI and Neuts if results are available on different timelines. Interim analyses will focus on rates of titers $\geq 1:40$, seroconversion (see definition in Section 3.3) and GMTs, along with corresponding 95% CIs. No formal hypothesis testing will be included in the interim analysis, and interim results will not have impact on conduct of this trial.

Any immunogenicity reports would be provided by the SDCC to the DMID Scientific Lead and Clinical Project manager, and the DSMB. Reports will include data summarized by treatment group.

6.7. Multicenter Studies

Randomization was stratified by site, but data will be pooled across all clinical sites for all analyses. Center effects are not anticipated because the sites use standardized procedures for vaccination and assessment of solicited and unsolicited AEs, and the study relies on a central laboratory for immunogenicity assessments.

6.8. Multiple Comparisons/Multiplicity

This study was designed to obtain preliminary estimates of safety and immune response to A/H7N9 and IIV4 vaccination in healthy adults. The study was not designed to test any specific null hypothesis, and as such no adjustment for multiple comparisons will be performed.

7. STUDY SUBJECTS

7.1. Subject Disposition

[Table 17](#) will present a summary of the reasons that subjects were screened but not enrolled.

The number and percentage of enrolled subjects excluded from each analysis population by treatment group are presented in [Table 18](#). A listing of subjects excluded from each analysis population will be presented in [Listing 5](#).

The disposition of subjects in the study will be tabulated by treatment group ([Table 19](#)). The table will show the total number of subjects screened, randomized, receiving the first dose, receiving the second dose, receiving the third dose, completing the blood draw for the primary immunogenicity endpoints, and completing the last visit.

A flowchart showing the disposition of study subjects, adapted from the CONSORT Statement [4] will be included and will present the number of subjects screened, randomized, lost to follow up, and analyzed, by treatment group ([Figure 1](#)).

A listing of subjects who were discontinued from treatment or terminated from study follow-up and the reason will be included in [Listing 2](#).

7.2. Protocol Deviations

A summary of subject-specific protocol deviations will be presented by the deviation category and deviation type, and treatment group for all enrolled subjects ([Table 12](#)). Major deviations that will be reviewed for possible exclusion of immunogenicity results from the PP population include deviations related to eligibility/enrollment, treatment administration schedule, follow-up visit schedule, and receipt of exclusionary vaccines or medications. All subject-specific protocol deviations and non subject-specific protocol deviations will be included in [Listing 3](#) and [Listing 4](#).

8. IMMUNOGENICITY EVALUATION

Immunogenicity data summaries and analysis for primary and secondary endpoints will be presented for the mITT and PP populations. Immune responses in terms of strain-specific A/H7N9 HAI and Neut antibody titers will be summarized by treatment group at each time point. Descriptive summary statistics will be provided for all assays and time points including number of subjects with non-missing results, percentage of subjects with titers $\geq 1:40$, percentage of subjects achieving seroconversion, and GMTs along with corresponding 95% CI. Exact confidence intervals will be presented for proportional endpoints. Summaries of primary and secondary immunogenicity endpoints are presented for the 2017 H7N9 strain in [Table 28](#), [Table 29](#), [Table 30](#), and [Table 31](#) and for the seasonal IIV4 strain in [Table 32](#), [Table 33](#), [Table 34](#), and [Table 35](#).

Reverse cumulative distribution (RCD) curves will be presented for post-vaccination HAI and Neut antibody titers. Plots for each assay will be generated with 5 panels (21 days post dose 1, 21 days post dose 2, 21 days post dose 3, 180 days post dose 1, and 180 days post final dose), and separate curves within each panel for each treatment group, as shown in [Figure 2](#), [Figure 3](#), [Figure 4](#), and [Figure 5](#) for the 2017 A/H7N9 strain. RCD curves for the IIV4 strains will be presented as in [Figure 6](#), [Figure 7](#), [Figure 8](#), [Figure 9](#), [Figure 10](#), [Figure 11](#), [Figure 12](#), [Figure 13](#), [Figure 14](#), [Figure 15](#), [Figure 16](#), [Figure 17](#), [Figure 18](#), [Figure 19](#), [Figure 20](#), and [Figure 21](#).

Figures depicting the HAI and Neut GMT over time will also be presented as shown in [Figure 22](#), [Figure 23](#), [Figure 24](#), and [Figure 25](#) for the 2017 A/H7N9 strain, and for the IIV4 strains in [Figure 26](#), [Figure 27](#), [Figure 28](#), [Figure 29](#), [Figure 30](#), [Figure 31](#), [Figure 32](#), [Figure 33](#), [Figure 34](#), [Figure 35](#), [Figure 36](#), [Figure 37](#), [Figure 38](#), [Figure 39](#), [Figure 40](#), and [Figure 41](#).

The spearman correlation between HAI and Neut antibody titers will be calculated at each time point over all groups. The correlation will be depicted in scatter plots as [Figure 42](#) and [Figure 43](#) for the 2017 A/H7N9 strain. Scatterplots depicting correlation for the IIV4 strains will be created as [Figure 44](#), [Figure 45](#), [Figure 46](#), [Figure 47](#), [Figure 48](#), [Figure 49](#), [Figure 50](#), and [Figure 51](#).

Individual HAI and Neut assay results will be provided in [Listing 8](#).

8.1. Primary Immunogenicity Analysis

The primary immunogenicity endpoints HAI and Neut seroconversion, percentage of subjects with titer $\geq 1:40$, and GMTs against the seasonal IIV4 strains 21 days after the IIV4 vaccination for all groups and against the homologous A/H7N9 vaccine strain 21 days after the second dose of AS03 adjuvanted 2017 H7N9 IIV will be summarized as described above. The primary analysis population will be mITT, with results from the PP population providing a comparison of the sensitivity of immunogenicity results to subject adherence to protocol-

defined conditions. Regression modelling of seroconversion and log titers is planned as described in Section 8.3.2.

8.2. Secondary Immunogenicity Analyses

The secondary immunogenicity endpoints HAI and Neut seroconversion, percentage of subjects with titer >40 , and GMTs against the homologous A/H7N9 vaccine strain 21 days after the first AS03-adjuvanted 2017 H7N9 vaccination will be summarized as described above. No formal hypothesis testing is planned.

8.3. Exploratory Immunogenicity Analyses

Immunogenicity data summaries and analysis for exploratory endpoints will be presented for the PP populations.

8.3.1. Covariate Analysis

As an exploratory analysis, seroconversion and GMT of serum antibody for both HAI and Neut against the homologous 2017 A/H7N9 strain at 21 and 180 days after the second dose of AS03 adjuvanted 2017 H7N9 IIV (treatment groups 1 and 2 only) will be summarized by treatment group stratified by age (categorized as those subjects ages 19-34, 34-49, and 50-64 years) (Table 36, Table 37, Table 38, and Table 39), by sex (Table 44, Table 45, Table 46, and Table 47), by BMI (Table 52, Table 53, Table 54, and Table 55), and by prior receipt of the 2016-2017 seasonal influenza vaccine (Table 60, Table 61, Table 62, and Table 63). These analyses will be repeated for HAI and Neut titer results against each of the four IIV4 vaccine strains at 21 and 180 days post receipt of seasonal IIV4 vaccine (by age category: Table 40, Table 41, Table 42, and Table 43; by sex: Table 48, Table 49, Table 50, and Table 51; by BMI: Table 56, Table 57, Table 58, and Table 59; by prior receipt of seasonal influenza vaccine: Table 64, Table 65, Table 66, and Table 67).

The stratified summaries will be presented for the PP population. For the 2017 A/H7N9 strain it is anticipated that subjects will have little to no pre-existing antibody at baseline, so seroconversion and titer ≥ 40 endpoints will be similar.

8.3.2. Regression Modeling

Logistic and multivariate linear regression will be utilized to test for the effects of the treatment schedule on seroconversion and GMT against the homologous 2017 A/H7N9 strain and against each of the seasonal IIV4 strains for the PP population. Similar models will be fit for HAI and Neut Antibodies for each strain and time point. Separate models will be fit for data from Day 21 post dose and Day 180 post dose.

Logistic regression will be fit to examine the relationship of HAI or Neut seroconversion at 21 or 180 days after the dose of interest (second dose of AS03 adjuvanted A/H7N9 IIV for the 2017 A/H7N9 strain; IIV4 vaccination for the seasonal IIV4 strains) with treatment schedule. If the available titer data meets the required assumptions of normality after log

transformation, multiple linear regression will be fit to examine the relationship of log transformed titers at 21 or 180 days after the dose of interest (second dose of AS03 adjuvanted A/H7N9 IIV for the 2017 A/H7N9 strain; IIV4 vaccination for the seasonal IIV4 strains) with treatment schedule. All models will be fit with and without adjustment for the following covariates (Z): age in years, sex (female, male), BMI (<30 , ≥ 30), and prior receipt of seasonal influenza vaccine (did not receive 2016-2017 seasonal vaccine, received 2016-2017 seasonal vaccine). The models as shown below assume a linear relationship between age in years and both probability of seroconversion and GMT. The validity of this assumption will be assessed prior to analysis and, if the relationship between age and response is found to be non-linear, adjustments will be made to model parameters to satisfy regression assumptions. Modeling assumptions will be checked using standard diagnostic methods (e.g., using the INFLUENCE option in PROC LOGISTIC or PROC GLM). Parameter estimates and odds ratio estimates for the logistic models will be presented for the 2017 A/H7N9 strain without covariate adjustment in [Table 68](#), [Table 69](#), [Table 70](#), [Table 71](#), and with covariate adjustment in [Table 76](#), [Table 77](#), [Table 78](#), [Table 79](#); and for the seasonal IIV4 strains without covariate adjustment in [Table 72](#), [Table 73](#), [Table 74](#), [Table 75](#), and with covariate adjustment in [Table 80](#), [Table 81](#), [Table 82](#), [Table 83](#). Parameter estimates for the multiple linear regression models will be presented for the 2017 A/H7N9 strain without covariate adjustment in [Table 84](#), [Table 85](#), [Table 86](#), [Table 87](#), and with covariate adjustment in [Table 92](#), [Table 93](#), [Table 94](#), [Table 95](#); and for the seasonal IIV4 strains without covariate adjustment in [Table 88](#), [Table 89](#), [Table 90](#), [Table 91](#), and with covariate adjustment in [Table 96](#), [Table 97](#), [Table 98](#), [Table 99](#).

Model 1A: Logistic Regression (without covariate adjustment):

$$\text{logit}[P(\text{seroconversion})] = \beta_0 + \beta_1 \times \text{Treatment} + \varepsilon$$

Model 1B: Logistic Regression (with covariate adjustment):

$$\text{logit}[P(\text{seroconversion})] = \beta_0 + \beta_1 \times \text{Treatment} + \beta_2 \times Z + \varepsilon$$

Model 2A: Multiple Linear Regression (without covariate adjustment):

$$\log(\text{titer}) = \beta_0 + \beta_1 \times \text{Treatment} + \varepsilon$$

Model 2B: Multiple Linear Regression (with covariate adjustment):

$$\log(\text{titer}) = \beta_0 + \beta_1 \times \text{Treatment} + \beta_2 \times Z + \varepsilon$$

Subjects missing data for one or more covariates will be excluded from Models 1B and 2B.

8.3.3. NA Serum Antibody Responses

Descriptions of the NA assays and planned analyses will be described in an addendum to this SAP.

9. SAFETY EVALUATION

All summaries and analysis of safety data will be presented for the Safety Analysis Population. Safety summaries will be presented overall and grouped by treatment group.

Continuous variables will be summarized using the following descriptive statistics: n (non-missing sample size), mean, standard deviation, median, maximum and minimum. All categorical measures will be summarized by the frequency and percentages of observed levels will be reported for all categorical measures. The denominator for the percentages may be based on the number of non-missing observations for an assessment or based on the number of subjects in a population. This will be described for each table.

9.1. Demographic and Other Baseline Characteristics

Summaries of age, sex, ethnicity, race, BMI, and prior receipt of seasonal influenza vaccine will be presented by site ([Table 23](#), [Table 24](#)) and by treatment group ([Table 25](#), [Table 26](#)). Age will be summarized as a continuous variable. Ethnicity is categorized as Hispanic or Latino, or not Hispanic and not Latino. In accordance with NIH reporting policy, subjects may self-designate as belonging to more than one race or may refuse to identify a race, the latter reflected in the electronic case report form (eCRF) as “No” to each racial option. BMI will be summarized as a continuous as well as categorical variable, categorized as (<30, ≥30). Self-reported history of prior receipt of seasonal influenza vaccine will be categorized as follows: received 2016-2017 seasonal vaccine, did not receive 2016-2017 seasonal vaccine, or unknown. Demographic information for individual subjects will be provided in [Listing 6](#). Subjects’ prior influenza vaccination history (seasonal and non-seasonal) will be provided in [Listing 8](#).

9.1.1. Prior and Concurrent Illnesses and Medical Conditions

All current illnesses and past or pre-existing medical conditions will be coded using Medical Dictionary for Regulatory Activities (MedDRA) version 20.0 or higher.

Summaries of subjects’ prior and concurrent medical conditions will be presented by treatment group ([Table 27](#)).

Individual subject listings will be presented for all reported medical history including prior and concurrent medical conditions ([Listing 7](#)).

9.1.2. Prior and Concurrent Medications

Concomitant medications will be coded to the Anatomical Therapeutic Classification using the WHO Drug Dictionary. The use of concomitant medications during the study will be summarized by ATC1 and ATC2 code and treatment group for the Safety population ([Table 149](#)). A listing of concomitant medications will be presented ([Listing 17](#)).

9.2. Measurements of Treatment Compliance

All subjects are to receive IIV4 vaccine on Study Day 1. Subjects in Group 1 (Simultaneous Administration) will also receive the first dose of 2017 A/H7N9 in the contralateral arm on Study Day 1, with the second dose of 2017 A/H7N9 administered 21 days later (Study Day 22). Subjects in Group 2 will have two doses of 2017 A/H7N9 vaccine administered 21 days apart (Study Days 22 and 43). Subjects in Group 3 will receive no further doses. The number of study vaccinations administered to subjects will be presented by treatment group and by site in [Table 20](#), [Table 21](#), [Table 22](#), and as part of the subject disposition table ([Table 19](#)). [Listing 1](#) presents subjects who received investigational product with randomized treatment group and study product received for each vaccination.

9.3. Adverse Events

A summary of all adverse events is provided in [Table 100](#). A summary of those events that occurred in $\geq 5\%$ of subjects in any treatment group is provided in [Table 101](#).

9.3.1. Solicited Events and Symptoms

Systemic solicited adverse events were collected pre-vaccination, and systemic and local solicited adverse events were collected at-least 20 minutes post-vaccination and then daily for 7 days after each vaccination and graded on a scale of 0 (absent), 1 (mild), 2 (moderate) and 3 (severe). Systemic events include fever, feverishness, fatigue, malaise, myalgia, arthralgia, headache, and nausea. Local events include pruritus, ecchymosis, erythema, induration/swelling, pain, and tenderness. Ecchymosis, erythema, and induration are measured by both functional and measurement grading scales as defined in [Table 13](#). The grading scale for systemic events, including quantitative grading for fever, is included in [Table 14](#).

When calculating the incidence of solicited events, each subject will be counted once at the highest severity following the applicable vaccination, and any repetitions will be ignored. For summaries presented separately for each vaccination, the denominator for percentages will be the number of subjects who received the respective vaccination with non-missing data for the event summarized. For summaries over all vaccinations the denominator will be the number of subjects who received at least one vaccination with non-missing data for the event summarized.

The number and percentage of subjects reporting at least one solicited adverse event of each severity will be summarized for each solicited symptom, any systemic symptom, any local symptom, and any symptoms. For each event the denominator is the number of subjects who received the applicable vaccination with non-missing data for the specific event. The 95% CI calculated using Clopper-Pearson methodology from a binomial distribution (SAS Proc Freq with a binomial option) will be presented ([Table 102](#), [Table 103](#), [Table 104](#), [Table 105](#)). Logistic regression models will be fit to estimate the effect of treatment schedule on the

probability of reporting any local event or reporting any systemic event ([Table 106](#), [Table 107](#)). If statistically significant effects are observed in the model for local events or systemic events, then additional models will be fit for each individual event of that type.

$$\text{logit}[P(\text{Event})] = \beta_0 + \beta_1 \times \text{Treatment} + \varepsilon,$$

For each systemic and local event, any systemic event, any local event, and any solicited event, the maximum severity over 7 days after each vaccination will be summarized for the Safety population. The number and percentage of subjects reporting each event will be summarized by the maximum severity and treatment group, separately for each dose and over all doses. For each event the denominator is the number of subjects who received the applicable vaccination with non-missing data for the specific event. The 95% CI calculated using Clopper-Pearson methodology from a binomial distribution (SAS Proc Freq with a binomial option) will be presented ([Table 108](#), [Table 109](#), [Table 110](#), [Table 111](#)).

The number of subjects reporting a solicited adverse event will be summarized for each day post vaccination for each vaccination and for all vaccinations combined both in a summary table ([Table 112](#), [Table 113](#), [Table 114](#), [Table 115](#)) and graphically in a bar chart ([Figure 52](#) and [Figure 53](#)). A comparison of the event rate for each treatment group between study vaccinations will be presented for those groups receiving multiple vaccinations, including p-values from McNemar's test performed ([Table 116](#), [Table 117](#)).

Systemic and Local solicited events reported by subject will be presented in [Listing 10](#) and [Listing 11](#), sorted by subject ID, vaccination number, parameter, and study day.

9.3.2. Unsolicited Adverse Events

When calculating the incidence of unsolicited AEs (i.e., on a per subject basis), each subject will only be counted once at the highest severity and/or relationship, and any repetitions of AEs within a subject will be ignored; the denominator will be the total number of subjects in the safety population. All AEs reported will be included in the summaries and analyses.

The number and percentage of subjects reporting at least one unsolicited AE will be summarized by MedDRA system organ class and preferred term. A 95% CI will be presented for the percentage of subjects reporting any unsolicited AE (serious or non-serious) for each MedDRA system organ class and preferred term over all study vaccinations ([Table 118](#)).

The following summaries for unsolicited AEs will be presented by MedDRA system organ class, preferred term, and treatment group:

- Incidence of AEs by severity and relationship to study product ([Table 119](#));
- Incidence of non-serious, related AEs by severity ([Table 120](#));
- Incidence of AEs over time (Days 1-8, Days 9-22 post each study vaccination) ([Table 121](#));

- Incidence of non-serious, related AEs over time (Days 1-8, Days 9-22 post each study vaccination) ([Table 122](#)) – note this table presents results for secondary safety endpoint, “Occurrence of study vaccine-related unsolicited non-serious AEs from the time of each study vaccination through approximately 21 days after each study vaccination”;
- Total frequency of AEs over time (Days 1-8, Days 9-22 post each study vaccination) ([Table 123](#));
- Subject listing of non-serious AEs of moderate or greater severity ([Table 128](#));
- Bar chart displaying total frequency of AEs by severity and MedDRA system organ class and treatment group ([Figure 54](#));
- Bar chart displaying incidence of AEs by severity and MedDRA system organ class, and treatment group ([Figure 55](#));
- Bar chart displaying total frequency and incidence of AEs by relationship to study product, MedDRA system organ class, and treatment group ([Figure 56](#) and [Figure 57](#)).

9.4. Deaths, Serious Adverse Events and other Significant Adverse Events

The following listings will be presented including Subject ID, Age (years) AE Description, AE Onset Date/End Date, Last Vaccination Received/Days Post Vaccination, Reason Reported as an SAE, Relationship to Treatment, Alternate Etiology if not Related, Outcome, and Duration of Event (days):

- Deaths and Serious Adverse Events ([Table 124](#));
- Medically Attended Adverse Events ([Table 125](#));
- Potentially Immune Mediated Medical Conditions ([Table 126](#));
- New Onset Chronic Medical Conditions ([Table 127](#)).

A listing of all reported AEs by subject will be presented in [Listing 12](#), sorted by Treatment group, Subject ID, and AE Number.

9.5. Pregnancies

For any subjects in the Safety population who became pregnant during the study, every attempt will be made to follow these subjects to completion of pregnancy to document the outcome, including information regarding any complications with pregnancy and/or delivery.

[Listing 18](#), [Listing 19](#), [Listing 20](#), [Listing 21](#), and [Listing 22](#) will present any study pregnancies and their outcomes.

9.6. Clinical Laboratory Evaluations

Clinical safety laboratory parameters (WBC, Hgb, PLT, ALT, T. Bili, Cr) will be collected from each subject prior to each study vaccination and approximately 7 days after each study vaccination. These evaluations will be performed by the central clinical laboratory. The grading scale for clinical laboratory evaluations is presented in [Table 16](#). Clinical laboratory evaluations assessed on Day 1 prior to the first study vaccination will be considered as baseline.

The distribution of laboratory results by severity, study day and treatment group will be presented in [Table 129](#), [Table 130](#), [Table 131](#), [Table 132](#), [Table 133](#), [Table 134](#), and [Table 135](#). Descriptive statistics including mean, standard deviation, median, minimum and maximum values and change from baseline by study day will be summarized for each parameter in [Table 136](#), [Table 137](#), [Table 138](#), [Table 139](#), [Table 140](#), [Table 141](#). Box plots illustrating the change from baseline for each laboratory parameter will be presented in [Figure 58](#), [Figure 59](#), [Figure 60](#), [Figure 61](#), [Figure 62](#), and [Figure 63](#). Subject visits with abnormal laboratory results, Grade 1 severity or higher, will be presented in [Table 142](#) and [Table 143](#) for Chemistry and Hematology parameters, respectively.

A complete listing of individual clinical laboratory results will be presented in [Listing 13](#) and [Listing 14](#) for chemistry and hematology, respectively, sorted by subject ID, parameter, and visit number.

9.7. Vital Signs and Physical Evaluations

Vital signs oral temperature, systolic blood pressure, diastolic blood pressure, and pulse will be assessed prior to study vaccination on Day 1 and Day 22. The grading scale for vital sign evaluations is presented in [Table 15](#). Summaries of vital signs by maximum severity will be tabulated by visit, and treatment group ([Table 144](#), [Table 145](#), [Table 146](#), [Table 147](#), [Table 148](#)). A listing of vital signs will be presented ([Listing 15](#)).

Targeted physical examinations will be performed, if indicated, based a subject's medical history. A listing of physical exam findings will be presented ([Listing 16](#)).

9.8. Concomitant Medications

Concomitant medications will be collected for the 30 days prior to the first study vaccination through 21 days after the second study vaccination. Concomitant medications will be coded to the Anatomical Therapeutic Classification using the WHO Drug Dictionary. The use of prior and concomitant medications taken during the study will be recorded on the CRFs. A by-subject listing of concomitant medication use will be presented ([Listing 17](#)). The use of concomitant medications during the study will be summarized by ATC1, ATC2 code, treatment group for the Safety population ([Table 149](#)).

10. REPORTING CONVENTIONS

P-values ≥ 0.001 and ≤ 0.999 will be reported to 3 decimal places; p-values less than 0.001 will be reported as “<0.001”; p-values greater than 0.999 will be reported as “> 0.999”. The mean, standard deviation, and any other statistics other than quantiles, will be reported to one decimal place greater than the original data. Quantiles, such as median, or minimum and maximum will use the same number of decimal places as the original data. Proportions will be presented as two decimal places; values <0.01 will be presented as “<0.01”. Percentages will be reported to the nearest whole number; non-zero values < 1% will be presented as “<1”; values greater than 99% but less than 100% will be presented as >99. Estimated parameters, not on the same scale as raw observations (e.g. regression coefficients) will be reported to 3 significant figures.

11. TECHNICAL DETAILS

SAS version 9.4 or above will be used to generate all tables, figures and listings.

12. SUMMARY OF CHANGES IN THE CONDUCT OF THE STUDY OR PLANNED ANALYSES

This SAP does not include any changes from the analyses described in the protocol.

13. REFERENCES

1. Gao, R., et al., *Human infection with a novel avian-origin influenza A (H7N9) virus*. N Engl J Med, 2013. **368**(20): p. 1888-97.
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14. APPENDICES

Table, figure, and listing shells are presented in Appendices 1, 2, and 3. Tables and figures included in the Preliminary Report are indicated by *.

Appendix 1. TABLE MOCK-UPS**LIST OF TABLES**

Table 1:	Study Design.....	39
Table 2:	Schedule of Study Procedures – Group 1: Vaccination Period.....	40
Table 3:	Schedule of Study Procedures – Group 1: Follow-Up Period (Including early termination and unscheduled visits).....	43
Table 4:	Schedule of Study Procedures – Group 2: Vaccination Period.....	45
Table 5:	Schedule of Study Procedures – Group 2: Follow-Up Period (Including early termination and unscheduled visits).....	48
Table 6:	Schedule of Study Procedures – Group 3: Vaccination and Follow-up Periods.....	50
Table 7:	Schedule of Study Procedures – Group 3: Early Termination and Unscheduled Visits	52
Table 8:	Power (%) to Detect Safety Events.....	53
Table 9:	Precisions of Binomial Confidence Intervals.....	53
Table 10:	Objective 1 -Minimum Detectable Difference in Proportion Responders with 80% Power (N = 50 per group)	53
Table 11:	Objective 2 - Minimum Detectable Difference in Proportion Responders with 80% Power	54
Table 12:	Distribution of Protocol Deviations by Category, Type and Treatment Group, All Enrolled Subjects	55
Table 13:	Solicited Adverse Event Grading Scale – Local.....	57
Table 14:	Solicited Adverse Event Grading Scale - Systemic	58
Table 15:	Vital Signs Adverse Event Grading Scale	59
Table 16:	Laboratory Adverse Event Grading Scale	60
Table 17:	Ineligibility Summary of Screen Failures*	61
Table 18:	Analysis Populations by Treatment Group – All Enrolled Subjects*	62
Table 19:	Subject Disposition by Treatment Group, All Enrolled Subjects*	66
Table 20:	Dates of First Dose by Site and Treatment Group for the Safety Analysis Population.....	67
Table 21:	Dates of Second Dose by Site and Treatment Group for the Safety Analysis Population	67
Table 22:	Dates of Third Dose by Site and Treatment Group for the Safety Analysis Population	67
Table 23:	Summary of Categorical Demographic and Baseline Characteristics by Site, All Enrolled Subjects	68
Table 24:	Summary of Continuous Demographic and Baseline Characteristics by Site, All Enrolled Subjects	69
Table 25:	Summary of Categorical Demographic and Baseline Characteristics by Treatment Group, All Enrolled Subjects*	70
Table 26:	Summary of Continuous Baseline Characteristics by Treatment Group, All Enrolled Subjects*	71
Table 27:	Summary of Subjects with Prior or Concurrent Medical Conditions by MedDRA® System Organ Class and Treatment Group, Safety Analysis Population	72
Table 28:	Summaries of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*	73
Table 29:	Summaries of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	74

Table 30:	Summaries of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*	74
Table 31:	Summaries of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	74
Table 32:	Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population*	75
Table 33:	Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Per Protocol Population*	77
Table 34:	Summaries of Neutralizing Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population*	77
Table 35:	Summaries of Neutralizing Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Per Protocol Population*	77
Table 36:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	78
Table 37:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	78
Table 38:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	78
Table 39:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population.....	78
Table 40:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 21 Days Post IIV4, Per-Protocol Population* ...	79
Table 41:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	80
Table 42:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	80
Table 43:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population.....	80
Table 44:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	81
Table 45:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	81
Table 46:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	81
Table 47:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population.....	81
Table 48:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	82

Table 49:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 180 Days Post IIV4 Vaccination, Per-Protocol Population	83
Table 50:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	83
Table 51:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 180 Days Post IIV4 Vaccination, Per-Protocol Population	83
Table 52:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	84
Table 53:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	84
Table 54:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	84
Table 55:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	84
Table 56:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	85
Table 57:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 180 Days Post IIV4 Vaccination, Per-Protocol Population	86
Table 58:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	86
Table 59:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 180 Days Post IIV4 Vaccination, Per-Protocol Population	86
Table 60:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	87
Table 61:	Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	87
Table 62:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*	87
Table 63:	Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population	87
Table 64:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	88
Table 65:	Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post IIV4 Vaccination, Per-Protocol Population	89
Table 66:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post IIV4 Vaccination, Per-Protocol Population*	89

Table 67:	Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post IIV4 Vaccination, Per-Protocol Population.....	89
Table 68:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 21 Days post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population*.....	90
Table 69:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population *.....	90
Table 70:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population.....	90
Table 71:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population.....	90
Table 72:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 21 Days post IIV4 Vaccination, Per Protocol Population*.....	91
Table 73:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population.....	91
Table 74:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*.....	91
Table 75:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population.....	91
Table 76:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*.....	92
Table 77:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population.....	93
Table 78:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*.....	93
Table 79:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population.....	93
Table 80:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population*.....	94
Table 81:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population.....	95

Table 82:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population* 95
Table 83:	Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population 95
Table 84:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population* 96
Table 85:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population..... 96
Table 86:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population* 96
Table 87:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population..... 96
Table 88:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*..... 97
Table 89:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population..... 97
Table 90:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*..... 97
Table 91:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population..... 97
Table 92:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*..... 98
Table 93:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population 98
Table 94:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population* 98
Table 95:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population 98
Table 96:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population*..... 99

Table 97:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population .	100
Table 98:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population.....	100
Table 99:	Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population .	100
Table 100:	Overall Summary of Adverse Events, Safety Population*	101
Table 101:	Number of Adverse Events Occurring in 5% of Subjects in Any Treatment Group by MedDRA® System Organ Class and Preferred Term, and Treatment Group - Safety Population	103
Table 102:	Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Any Study Dose*	104
Table 103:	Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 1*	105
Table 104:	Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 2*	105
Table 105:	Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 3*	105
Table 106:	Logistic Regression Model to Evaluate the Relationship of Treatment with Reporting Any Local Event Post Any Study Dose (Safety Population) *	106
Table 107:	Logistic Regression Model to Evaluate the Relationship of Treatment with Reporting Any Systemic Event Post Any Study Dose (Safety Population) *	106
Table 108:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Any Study Dose*	107
Table 109:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 1*	111
Table 110:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 2*	111
Table 111:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 3*	111
Table 112:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Any Study Dose	112
Table 113:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 1	115
Table 114:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 2	115

Table 115:	Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 3	115
Table 116:	Comparison of the Number and Percentage of Subjects Experiencing Solicited Systemic Events by Dose and Treatment Group – Safety Population	116
Table 117:	Comparison of the Number and Percentage of Subjects Experiencing Solicited Local Events by Dose and Treatment Group – Safety Population.....	117
Table 118:	Number and Percentage of Subjects Experiencing Unsolicited Adverse Events with 95% Confidence Intervals by MedDRA® System Organ Class and Preferred Term, and Treatment Group – Safety Population*	118
Table 119:	Number and Percentage of Subjects Experiencing Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, Relationship, and Treatment Group – Safety Population*	119
Table 120:	Number and Percentage of Subjects Experiencing Non-Serious Related Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, and Treatment Group – Safety Population	121
Table 121:	Number and Percentage of Subjects Experiencing Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population	123
Table 122:	Number and Percentage of Subjects Experiencing Non-Serious, Related Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Dose and Treatment Group – Safety Population	125
Table 123:	Number of Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population.....	127
Table 124:	Listing of Serious Adverse Events *	129
Table 125:	Listing of Medically Attended Adverse Events*	130
Table 126:	Listing of Potentially Immune Mediated Medical Conditions*	130
Table 127:	Listing of New Onset Chronic Medical Conditions*	130
Table 128:	Listing of Non-Serious, Unsolicited, Moderate or Severe Adverse Events*	130
Table 129:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – All Laboratory Parameters *	132
Table 130:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – White Blood Cells*	134
Table 131:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Hemoglobin*	135
Table 132:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Platelets*	135
Table 133:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Alanine aminotransferase (ALT) *	135
Table 134:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Total Bilirubin *	135
Table 135:	Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Creatinine*	135
Table 136:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – White Blood Cells*	136
Table 137:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Hemoglobin*	137
Table 138:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Platelets*	137
Table 139:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Alanine Aminotransferase* (ALT)	137

Table 140:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Total Bilirubin*	137
Table 141:	Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Creatinine*	137
Table 142:	Listing of Abnormal Laboratory Results - Chemistry	139
Table 143:	Listing of Abnormal Laboratory Results - Hematology	140
Table 144:	Vital Signs by Assessment, Maximum Severity, Study Day, Treatment Group (Safety Population) – Any Assessment*	141
Table 145:	Vital Signs by Assessment, Maximum Severity, Study Day, Treatment Group (Safety Population) – Oral Temperature	141
Table 146:	Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Systolic Blood Pressure	142
Table 147:	Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Diastolic Blood Pressure.....	143
Table 148:	Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Pulse.....	143
Table 149:	Number and Percentage of Subjects with Prior and Concurrent Medications by WHO Drug Classification and Treatment Group – Safety Population.....	144

9.1 Overall Study Design and Plan Description

Table 1: Study Design

	Day 1 Dose 1	Approximately Day 22 Dose 2	Approximately Day 43 Dose 3
Group 1 (Age 19-64) n=60	Dose 1 (First and Second Study Vaccinations): Sanofi Pasteur H7N9 vaccine 3.75 mcg/ PBS diluent, plus GSK AS03 adjuvant + IIV4	Dose 2 (Third Study Vaccination): Sanofi Pasteur H7N9 vaccine 3.75 mcg/ PBS diluent, plus GSK AS03 adjuvant	N/A
Group 2 (Age 19-64) n=60	Dose 1 (First Study Vaccination): IIV4	Dose 2 (Second Study Vaccination): Sanofi Pasteur H7N9 vaccine 3.75 mcg/ PBS diluent, plus GSK AS03 adjuvant	Dose 3 (Third Study Vaccination): Sanofi Pasteur H7N9 vaccine 3.75 mcg/ PBS diluent, plus GSK AS03 adjuvant
Group 3 (Age 19-64) n=30	Dose 1 (First Study Vaccination): IIV4	N/A	N/A
Total Enrollment, n = ~150	<p>Blood for HAI/Neut assays for 2017 H7N9 IIV will be collected:</p> <ul style="list-style-type: none"> for Group 1 on Days 1 (baseline), 22, and 43, and at approximately 180 days post second adjuvanted 2017 H7N9 IIV dose (Day 202) for Group 2, on Days 22 (baseline), 43, and 64, and at approximately 180 days post second 2017 H7N9 IIV dose (Day 223). <p>Serological antibody assays will also be performed against the vaccine viruses in IIV4 from serum samples collected at baseline (Day 1) and approximately 21 and 180 days following receipt of IIV4 (Groups 1, 2 and 3).</p> <p>Safety Lab Timepoints:</p> <p>Group 1: 4 timepoints per subject (Days 1, 8, 22, and 29) Group 2: 6 timepoints per subject (Days 1, 8, 22, 29, 43, and 50) Group 3: 2 timepoints per subject (Days 1 and 8) and Early Termination and /or Unscheduled Visits</p>		

9.5.1 Efficacy/Immunogenicity and Safety Measurements Assessed and Flow Chart

Table 2: Schedule of Study Procedures – Group 1: Vaccination Period

Study Visit Number	V00	V01	V02	V03	V04 ^v	V05	V06
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]
Obtain Informed Consent [∞]	X	X [∞]					
Collect Demographic Information	X	X ^{†*}					
Review Eligibility Criteria	X	X ^{†-1}			X [†]		
Medical History [@]	X	X ^{†-*}		X	X		X
Concomitant Medications	X [∫]	X ^{†-∫}	X [∫]	X [∫]	X [∫]	X [∫]	X [∫]
Vital Signs\$ (Oral Temperature%, Pulse, and BP)	X	X [†]			X ^{†2}		
Height and Weight	X	X ^{†*}					
Physical Examination ³	X	{X} ^{†*}		{X}	{X}		{X}
Urine or Serum Pregnancy Test	X [^]	X ^{†^}			X ^{†^}		
Venous Blood Collection for ESR	X	X ^{≠*}					
Venous Blood Collection for Clinical Safety Laboratory Evaluations [~]		X [†]		X	X [†]		X
Venous Blood Collection for Immunogenicity Assays		X [†]			X [†]		
Serum Sample Collected for Future Research [†]		X [†]		X	X [†]		X
Safety Follow-up Phone Call			X			X	

Table 2: Schedule of Study Procedures – Group 1: Vaccination Period (continued)

Study Visit Number	V00	V01	V02	V03	V04 ^v	V05	V06
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]
Enrollment in AdvantageEDC SM and Randomization		X [†]					
Pre-Administration Reactogenicity Assessments		X [†]			X		
Vaccination		X			X		
20-minute Evaluation After Study Vaccination		X			X		
Examine Study Vaccination Site		X		X	X		X
Post-Administration Reactogenicity Assessments		X			X		
Distribute Memory Aid and Study-Related Materials		X			X		
Review Memory Aid			X	X		X	X
AE/SAE Assessment		X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}

[∞] Prior to study procedures.

[†] Prior to study vaccination.

¹ Review results of clinical screening (ESR) or safety laboratory evaluations.

[–] Review/confirm information or activity in subjects previously consented and screened.

[@] Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to the first study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after Dose 1

⁵ Receipt of non-study influenza vaccines will be solicited through approximately 180 days after the last study vaccination, and reported in the eCRF.

^{*} Not required if done at the optional screening visit.

[√] All current medications and medications taken within 60 days prior to signing the ICF.

⁵ Vital signs assessed on Day 1 prior to the first study vaccination will be considered as baseline.

[%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature.

² Vital signs are not required for subjects who are discontinued from receipt of the third study vaccination and are being followed for safety.

³ At the screening (or baseline if not done at screening) visit, a physical examination will be performed on all subjects to include the following organs and organ systems: skin, head and neck, lungs, heart, liver, spleen, extremities, lymph nodes, and nervous system.

^{} Targeted physical examination if indicated based on review of interim medical history.

[^] May be performed on all women of childbearing potential at screening (optional) and will be performed within 24 hours prior to each vaccination and results must be negative and known prior to each study vaccination.

Table 2: Schedule of Study Procedures – Group 1: Vaccination Period *(continued)*

Study Visit Number	V00	V01	V02	V03	V04 ^v	V05	V06
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]
[#] To be performed locally by the site. The ESR value must be confirmed as <30 mm/hr prior to randomization and first study vaccination. [~] Includes WBC, Hgb, PLT, ALT, T. Bili, Cr. ^v Subjects who do not receive the third study vaccination will continue with follow-up safety assessments (may be conducted by phone call) rather than in person continuing through approximately 12 months after their first study vaccination. These subjects will also be encouraged to provide a venous blood sample for immunogenicity assays at approximately 21 and 180 days after their first study vaccination. ⁴ For subjects who have consented to collected of serum for future research. ^{&} Inclusive of reactogenicity assessments performed on the day of each vaccination through 7 days after each study vaccination.							

Table 3: Schedule of Study Procedures – Group 1: Follow-Up Period (Including early termination and unscheduled visits)

Study Visit Number	V07	V08	V09 ^w	V10	V11	Early Termination (if needed)	Unscheduled (if needed)
Study Day post Dose 1	D43	D64	D181 [±14]	D202	D387		
Study Day post Dose 2	D22[+7]	D43[+7]		D181[±14]	D366[±14]		
Medical History [@]	X	X	X	X		X	X (if indicated)
Concomitant Medications	X ^ç	X ^ç	X ^ç	X ^ç		X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study vaccination)	X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study vaccination)
Vital Signs ^{\$} (Oral Temperature [%] , Pulse, and BP)						X (may be obtained if indicated)	X (may be obtained if indicated)
Physical Examination ³	{X}	{X}	{X}	{X}		{X}	{X}
Venous Blood Collection for Clinical Safety Laboratory Evaluations [~]						X (if within 7 days after the last study vaccination)	X (if indicated)
Venous Blood Collection for Immunogenicity Assays	X		X	X		X(if within 21 days after last study vaccination)	X(if within 21 days after last study vaccination)
Serum Sample Collected for Future Research ⁴	X	X	X	X		X(if within 21 days after last study vaccination)	X(if within 21 days after last study vaccination)

Table 3: Schedule of Study Procedures – Group 1: Follow-Up Period (Including early termination and unscheduled visits) (continued)

Study Visit Number	V07	V08	V09 ^w	V10	V11	Early Termination (if needed)	Unscheduled (if needed)
Study Day post Dose 1	D43	D64	D181 [±14]	D202	D387		
Study Day post Dose 2	D22[+7]	D43[+7]		D181[±14]	D366[±14]		
Safety Follow-up Phone Call					X		
Examine Study Vaccination Site						X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
Post-Administration Reactogenicity Assessments						X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
Review Memory Aid						X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
AE/SAE Assessment	X	X	X	X	X	X ^{&}	X ^{&}

[@] Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to the first study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after Dose 1

[^] Receipt of non-study influenza vaccines will be solicited through approximately 180 days after the last study vaccination, and reported in the eCRF.

⁵ Vital signs assessed on Day 1 prior to the first study vaccination will be considered as baseline.

[%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature.

^{} Targeted physical examination if indicated based on review of interim medical history

[~] Includes WBC, Hgb, PLT, ALT, T. Bili, Cr.

[¶] Subjects who do not receive the third study vaccination will continue with follow-up safety assessments (may be conducted by phone call) rather than in person continuing through approximately 12 months after their first study vaccination. These subjects will also be encouraged to provide a venous blood sample for immunogenicity assays at approximately 21 and 180 days after their first study vaccination.

⁴ For subjects who have consented to collected of serum for future use.

Table 4: Schedule of Study Procedures – Group 2: Vaccination Period

Study Visit Number	V00	V01	V02	V03	V04 ^w	V05	V06	V07 ^w	V08
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29	D43	D46
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]	D22[+7]	D25
Study Day post Dose 3								Dose 3 D1	D4[±1]
Study Procedure/Evaluation									
Obtain Informed Consent [∞]	X	X ⁻							
Collect Demographic Information	X	X ^{†*}							
Review Eligibility Criteria	X	X ^{†-1}			X [†]			X [†]	
Medical History [@]	X	X ^{†-*}		X	X		X	X	
Concomitant Medications	X [∫]	X ^{†-∫}	X [∫]	X [∫]	X [∫]	X [∫]	X [∫]	X [∫]	X [∫]
Vital Signs [§] (Oral Temperature [%] , Pulse, and BP)	X	X [†]			X ^{†2}			X ^{†2}	
Height and Weight	X	X ^{†*}							
Physical Examination ³	X	X ^{†*}		{X}	{X}		{X}	{X}	
Urine or Serum Pregnancy Test	X [^]	X ^{†^}			X ^{†^}			X ^{†^}	
Venous Blood Collection for ESR	X	X ^{≠*}							
Venous Blood Collection for Clinical Safety Laboratory Evaluations [~]		X [†]		X	X [†]		X	X [†]	
Venous Blood Collection for Immunogenicity Assays		X [†]			X [†]			X [†]	
Serum Sample Collected for Future Research ⁴		X [†]		X	X [†]		X	X [†]	
Safety Follow-up Phone Call			X			X			X
Enrollment in AdvantageEDC SM and Randomization		X [†]							

Table 4: Schedule of Study Procedures – Group 2: Vaccination Period (continued)

Study Visit Number	V00	V01	V02	V03	V04 ^w	V05	V06	V07 ^w	V08
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29	D43	D46
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]	D22[+7]	D25
Study Day post Dose 3								Dose 3 D1	D4[±1]
Study Procedure/Evaluation									
Pre-Administration Reactogenicity Assessments		X [†]			X			X	
Vaccination		X			X			X	
20-minute Evaluation After Vaccination		X			X			X	
Examine Vaccination Site		X		X	X		X	X	
Post-Administration Reactogenicity Assessments		X			X			X	
Distribute Memory Aid and Study-Related Materials		X			X			X	
Review Memory Aid			X	X		X	X		X
AE/SAE Assessment		X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}	X ^{&}
<p>∞ Prior to study procedures. † Prior to study vaccination. ¹ Review results of clinical screening (ESR) or safety laboratory evaluations. – Review/confirm information or activity in subjects previously consented and screened. @ Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to the first study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after the first study vaccination. ⁵ Receipt of non-study influenza vaccines will be solicited through approximately 180 days after the last study vaccination, and reported in the eCRF. * Not required if done at the optional screening visit. ^v All current medications and medications taken within 60 days prior to signing the ICF. ^s Vital signs assessed on Day 1 prior to the first study vaccination will be considered as baseline. [%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature. ² Vital signs are not required for subjects who are discontinued from receipt of the third study vaccination and are being followed for safety. ³ At the screening (or baseline if not done at screening) visit, a physical examination will be performed on all subjects to include the following organs and organ systems: skin, head and neck, lungs, heart, liver, spleen, extremities, lymph nodes, and nervous system. {} Targeted physical examination if indicated based on review of interim medical history. ^ May be performed on all women of childbearing potential at screening (optional) and will be performed within 24 hours prior to each vaccination and results must be negative and known prior to each study vaccination.</p>									

Table 4: Schedule of Study Procedures – Group 2: Vaccination Period (continued)

Study Visit Number	V00	V01	V02	V03	V04 ^w	V05	V06	V07 ^w	V08
Study Day post Dose 1	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D25	D29	D43	D46
Study Day post Dose 2					Dose 2 D1	D4[±1]	D8[+2]	D22[+7]	D25
Study Day post Dose 3								Dose 3 D1	D4[±1]
Study Procedure/Evaluation									
[#] To be performed locally by the site. The ESR value must be confirmed as <30 mm/hr prior to randomization and first study vaccination. [~] Includes WBC, Hgb, PLT, ALT, T. Bili, Cr. ^w Subjects who do not receive the third study vaccination will continue with follow-up safety assessments (may be conducted by phone call) rather than in person continuing through approximately 12 months after their first study vaccination. These subjects will also be encouraged to provide a venous blood sample for immunogenicity assays at approximately 21 and 180 days after their first study vaccination. ⁴ For subjects who have consented to collected of serum for future use. ^{&} Inclusive of reactogenicity assessments performed on the day of each vaccination through 7 days after each study vaccination.									

Table 5: Schedule of Study Procedures – Group 2: Follow-Up Period (Including early termination and unscheduled visits)

Study Visit Number	V09	V10	V11 ^w	V12	V13	Early Termination (if needed)	Unscheduled (if needed)
Study Day post Dose 1	D50	D64	D181 [±14]	D223	D408		
Study Day post Dose 2	D29	D43		D202	D387		
Study Day post Dose 3	D8[+2]	D22[+7]		D181[±14]	D366[±14]		
Medical History [@]	X	X	X	X		X	X (if indicated)
Concomitant Medications	X ^ç	X ^ç	X ^ç	X ^ç		X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study vaccination)	X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study vaccination)
Vital Signs ^{\$} (Oral Temperature [%] , Pulse, and BP)						X (may be obtained if indicated)	X (may be obtained if indicated)
Physical Examination ³	{X}	{X}	{X}	{X}		{X}	{X}
Venous Blood Collection for Clinical Safety Laboratory Evaluations [~]	X					X (if within 7 days after the last study vaccination)	X (if indicated)
Venous Blood Collection for Immunogenicity Assays		X	X	X		X (if within 21 days after last study vaccination)	X (if within 21 days after last study vaccination)
Serum Sample Collected for Future Research ⁴	X	X	X	X		X (if within 21 days after last study vaccination)	X (if within 21 days after last study vaccination)
Safety Follow-up Phone Call					X		
Examine Vaccination Site	X					X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
Post-Administration Reactogenicity Assessments						X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)

Table 5: Schedule of Study Procedures – Group 2: Follow-Up Period (Including early termination and unscheduled visits) (continued)

Study Visit Number	V09	V10	V11 ^v	V12	V13	Early Termination (if needed)	Unscheduled (if needed)
Study Day post Dose 1	D50	D64	D181 [±14]	D223	D408		
Study Day post Dose 2	D29	D43		D202	D387		
Study Day post Dose 3	D8[+2]	D22[+7]		D181[±14]	D366[±14]		
Review Memory Aid	X					X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
AE/SAE Assessment	X ^{&}	X	X	X	X	X ^{&}	X ^{&}
<p>[@] Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to the first study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after the first study vaccination.</p> <p>⁵ Receipt of non-study influenza vaccines will be solicited through approximately 180 days after the last study vaccination, and reported in the eCRF.</p> <p>⁵ Vital signs assessed on Day 1 prior to the first study vaccination will be considered as baseline.</p> <p>[%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature.</p> <p>{ } Targeted physical examination if indicated based on review of interim medical history.</p> <p>~ Includes WBC, Hgb, PLT, ALT, T. Bili, Cr.</p> <p>^u Subjects who do not receive the second or third study vaccination will continue with follow-up safety assessments (may be conducted by phone call) rather than in person continuing through approximately 12 months after their first study vaccination. These subjects will also be encouraged to provide a venous blood sample for immunogenicity assays at approximately 21 and 180 days after their first study vaccination.</p> <p>⁴ For subjects who have consented to collected of serum for future use</p> <p>^{&} Inclusive of reactogenicity assessments performed on the day of each study vaccination through 7 days after each study vaccination.</p>							

Table 6: Schedule of Study Procedures – Group 3: Vaccination and Follow-up Periods

Study Visit Number	V00	V01	V02	V03	V04 ^w	V05	V06	V07 ^w	V08
Study Day post dose	Screening (Optional) D -28 to -1	Enrollment Dose 1 D1	D4[±1]	D8[+2]	D22[+7]	D43[+7]	D64[+7]	D181[±14]	D366[±14]
Obtain Informed Consent	X	X [~]							
Collect Demographic Information	X	X ^{†*}							
Review Eligibility Criteria	X	X ^{†-1}							
Medical History [@]	X	X ^{†-*}		X	X	X	X	X	
Concomitant Medications	X [§]	X ^{†-§}	X [§]	X [§]	X [§]	X [§]	X [§]	X [§]	
Vital Signs ^{\$} (Oral Temperature [%] , Pulse, and BP)	X	X [†]							
Height and Weight	X	X ^{†*}							
Physical Examination ³	X	{X} ^{†*}		{X}	{X}	{X}	{X}	{X}	
Urine or Serum Pregnancy Test	X [^]	X ^{†^}							
Venous Blood Collection for ESR	X	X ^{#*}							
Venous Blood Collection for Clinical Safety Laboratory Evaluations [~]		X		X					
Venous Blood Collection for Immunogenicity Assays		X [†]			X			X	
Serum Sample Collected for Future Research ⁴		X [†]		X	X	X	X	X	
Safety Follow-up Phone Call			X						X
Enrollment in AdvantageEDC SM and Randomization		X [†]							
Pre-Administration Reactogenicity Assessments		X [†]							
Vaccination		X							

Table 6: Schedule of Study Procedures – Group 3: Vaccination and Follow-up Periods
(continued)

Study Visit Number	V00	V01	V02	V03	V04 ^v	V05	V06	V07 ^v	V08
Study Day post dose	Screening (Optional) D -28 to -1	Enrollment Dose 1 DI	D4[±1]	D8[+2]	D22[+7]	D43[+7]	D64[+7]	D181[±14]	D366[±14]
20-minute Evaluation After Vaccination		X							
Examine Vaccination Site		X		X					
Post-Administration Reactogenicity Assessments		X							
Distribute Memory Aid and Study-Related Materials		X							
Review Memory Aid			X	X					
AE/SAE Assessment		X ^{&}	X ^{&}	X ^{&}	X	X	X	X	X

∞ Prior to study procedures.
† Prior to study vaccination.
¹ Review results of clinical screening (ESR) or safety laboratory evaluations.
[~] Review/confirm information or activity in subjects previously consented and screened
[@] Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after the study vaccination.
⁵ Receipt of non-study influenza vaccines will be solicited through approximately 180 days after the study vaccination, and reported in the eCRF.
^{*} Not required if done at the optional screening visit.
[√] All current medications and medications taken within 60 days prior to signing the ICF.
⁵ Vital signs assessed on Day 1 prior to the study vaccination will be considered as baseline.
[%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature.
³ At the screening (or baseline if not done at screening) visit, a physical examination will be performed on all subjects to include the following organs and organ systems: skin, head and neck, lungs, heart, liver, spleen, extremities, lymph nodes, and nervous system.
^{} Targeted physical examination if indicated based on review of interim medical history.
[^] May be performed on all women of childbearing potential at screening (optional) and will be performed within 24 hours prior to study vaccination and results must be negative.
[#] To be performed locally by the site. The ESR value must be confirmed as <30 mm/hr prior to randomization and study vaccination.
[~] Includes WBC, Hgb, PLT, ALT, T. Bili, Cr.
⁴ For subjects who have consented to collected of serum for future use.
[&] Inclusive of reactogenicity assessments performed on the day of vaccination through 7 days after study vaccination.

Table 7: Schedule of Study Procedures – Group 3: Early Termination and Unscheduled Visits

Study Visit Number	Early Termination (if needed)	Unscheduled (if needed)
Study Day post dose		
Medical History [@]	X	X (if indicated)
Concomitant Medications	X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study vaccination)	X (if within 21 days after last study vaccination and receipt of any non-study influenza vaccine will be recorded if within 180 days after the 2nd study)
Vital Signs (Oral Temperature [%] , Pulse, and BP)	X (may be obtained if indicated)	X (may be obtained if indicated)
Physical Examination ³	{X}	{X}
Venous Blood Collection for Clinical Safety Laboratory Evaluations~	X (if within 7 days after the last study vaccination)	X (if indicated)
Venous Blood Collection for Immunogenicity Assays	X (if within 21 days after last study vaccination)	X (if within 21 days after last study vaccination)
Serum Sample Collected for Future Research ⁴	X(if within 21 days after last study vaccination)	X(if within 21 days after last study vaccination)
Examine Vaccination Site	X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
Post-Administration Reactogenicity Assessments	X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
Review Memory Aid	X (if within 7 days after last study vaccination)	X (if within 7 days after last study vaccination)
AE/SAE Assessment	X ^{&}	X ^{&}
<p>[@] Complete medical history will be obtained by interview of subjects at screening (optional) or on Day 1 prior to study vaccination and interim medical history will be obtained by interview of subjects at follow-up visits after the study vaccination.</p> <p>⁵ Vital signs assessed on Day 1 prior to the study vaccination will be considered as baseline.</p> <p>[%] Subjects must not eat or drink anything hot or cold, or smoke within 10 minutes prior to taking oral temperature.</p> <p>{ } Targeted physical examination if indicated based on review of interim medical history.</p> <p>~ Includes WBC, Hgb, PLT, ALT, T. Bili, Cr.</p> <p>⁴ For subjects who have consented to collected of serum for future use.</p> <p>^{&} Inclusive of reactogenicity assessments performed on the day of vaccination through 7 days after study vaccination.</p>		

9.7.1 Sample Size

Table 8: Power (%) to Detect Safety Events

Event Frequency	N = 30	N = 60	N = 120
$\geq 10\%$ Very Common	96	>99	>99
$\geq 1\%$ Common	26	45	70
$\geq 0.1\%$ Uncommon	3	6	11
$\geq 0.01\%$ Rare	<1	<1	1

Table 9: Precisions of Binomial Confidence Intervals

N	95% CI
30	31-69
60	37-63
120	40-60

Table 10: Objective 1 -Minimum Detectable Difference in Proportion Responders with 80% Power (N = 50 per group)

Assumed Proportion Responders comparator arm (p_c)	Minimum detectable difference in response rate ($p_c - p_e$)
0.50	0.27
0.60	0.27
0.70	0.27
0.80	0.26
0.90	0.22

Table 11: Objective 2 - Minimum Detectable Difference in Proportion Responders with 80% Power

Assumed Proportion Responders comparator arm (p_c)	Minimum detectable decrease in response rate ($p_c - p_e$)
0.40	0.23
0.50	0.24
0.60	0.25
0.70	0.25
0.80	0.23
0.90	0.20

10.2 Protocol Deviations

Table 12: Distribution of Protocol Deviations by Category, Type and Treatment Group, All Enrolled Subjects

Category	Deviation Type	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)		All Subjects (N=X)	
		# of Subj.	# of Dev.	# of Subj.	# of Dev.	# of Subj.	# of Dev.	# of Subj.	# of Dev.
Eligibility/enrollment	Any type								
	Did not meet inclusion criterion	x	x	x	x	x	x	x	x
	Met exclusion criterion								
	ICF not signed prior to study procedures								
	Other								
Treatment administration schedule	Any type								
	Out of window visit								
	Missed visit/visit not conducted								
	Missed treatment administration								
	Delayed treatment administration								
	Other								
Follow-up visit schedule	Any type								
	Out of window visit								
	Missed visit/visit not conducted								
	Other								
Protocol procedure/assessment	Any type								
	Incorrect version of ICF signed								
	Blood not collected								
	Too few aliquots obtained								
	Specimen result not obtained								
	Required procedure not conducted								
	Required procedure done incorrectly								

Table 12: Distribution of Protocol Deviations by Category, Type and Treatment group, All Enrolled Subjects (continued)

Category	Deviation Type	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)		All Subjects (N=X)	
		# of Subj.	# of Dev.	# of Subj.	# of Dev.	# of Subj.	# of Dev.	# of Subj.	# of Dev.
	Study product temperature excursion								
	Specimen temperature excursion								
	Other								
Treatment administration	Any type								
	Required procedure done incorrectly								
	Study product temperature excursion								
	Other								

N = Number of subjects enrolled

12.2.2 Displays of Adverse Events

Table 13: Solicited Adverse Event Grading Scale – Local

<u>Local (Injection Site) Reactogenicity Grading</u>			
Local (Injection Site) Reaction	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
Pain – experienced without touching the injection site (spontaneous discomfort)	Subject is aware of pain, but it does not interfere with daily activity, and no pain medication is taken	Subject is aware of pain; there is interference with daily activity or it requires repeated use of a non-narcotic pain reliever for >24 hours	Subject is aware of pain, and it prevents daily activity or requires any use of a narcotic pain reliever
Tenderness – hurts only when injection site is touched or the arm is moved	The area immediately surrounding the injection site hurts only when touched or with arm motion, and it does not interfere with daily activity	The area immediately surrounding the injection site hurts when touched or with arm motion, and it interferes with daily activity	The area immediately surrounding the injection site hurts when touched or with arm motion, and it prevents daily activity
Pruritus (Itching)	Does not interfere with daily activity	Interferes with daily activity	Prevents daily activity
Ecchymosis (Bruising)*	Does not interfere with daily activity	Interferes with daily activity	Prevents daily activity
Erythema (Redness)*	Does not interfere with daily activity	Interferes with daily activity	Prevents daily activity
Induration (Hardness)/Swelling*	Does not interfere with daily activity	Interferes with daily activity	Prevents daily activity
<u>Local (Injection Site) Reactogenicity Measurements</u>			
Local (Injection Site) Reaction	Small (Grade 1)	Medium (Grade 2)	Large (Grade 3)
Ecchymosis (Bruising)*	<20 mm	20 mm – 50 mm	>50 mm
Erythema (Redness)*	<20 mm	20 mm – 50 mm	>50 mm
Induration (Hardness)/Swelling*	<20 mm	20 mm – 50 mm	>50 mm

Table 14: Solicited Adverse Event Grading Scale - Systemic

<u>Subjective Systemic Reactogenicity Grading</u>			
Systemic (Subjective)	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
Feverishness (Chills/Shivering/Sweating)	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Fatigue (Tiredness)	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Malaise (General Unwell Feeling)	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Myalgia (Body Aches/Muscular Pain)*	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Arthralgia (Joint Pain)*	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Headache	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
Nausea	No interference with daily activity	Some interference with daily activity	Significant interference, prevents daily activity
<u>Quantitative Systemic Reactogenicity Grading</u>			
Systemic (Quantitative)	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
Fever# - oral [†]	38.0°C – 38.4°C 100.4°F – 101.1°F	38.5°C – 38.9°C 101.2°F – 102.0°F	>38.9°C >102.0°F
* Not at injection site.			
# Oral temperature assessed on Day 1 prior to the first study vaccination will be considered as baseline. A fever can be considered not related to the study product if an alternative etiology can be documented.			

Table 15: Vital Signs Adverse Event Grading Scale

For Individuals 19-64 years of age, pulse and blood pressure [#] will be graded as follows:			
Physiologic Parameter	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
Bradycardia - beats per minute	45 – 46	40 – 44	<40
Tachycardia - beats per minute	101 – 130	131 – 155	>155
Hypotension (systolic) mmHg	80 – 84	75 – 79	<75
Hypotension (diastolic) mmHg	50 – 54	45 – 49	<45
Hypertension (systolic) mmHg	151 – 155	156 – 160	>160
Hypertension (diastolic) mmHg	96 – 100	101 – 105	>105
[#] Pulse and blood pressure assessed on Day 1 prior to the first study vaccination will be considered as baseline.			

12.4.1 Individual Laboratory Measurements and Abnormal Laboratory Values

Table 16: Laboratory Adverse Event Grading Scale

Hematology	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
WBC 10 ³ /μL (Decrease)	2.5 – 3.9	1.5 – 2.4	<1.5
WBC 10 ³ /μL (Increase)	10.6 – 15.0	15.1 – 20.0	>20.0
Hgb g/dL (Decrease) (Female)	10.1 – 11.4	8.5 – 10	<8.5
Hgb g/dL (Decrease) (Male)	11.0 – 12.4	9.5 – 10.9	<9.5
Platelets 10 ³ /μL (Decrease)	125 – 139	100 – 124	<100
Platelets 10 ³ /μL (Increase)	416 – 550	551– 750	>750
Chemistry	Mild (Grade 1)	Moderate (Grade 2)	Severe (Grade 3)
ALT IU/L (Increase) (Female)	44 – 100	101 – 200	>200
ALT IU/L (Increase) (Male)	61 – 138	139 – 275	>275
Total Bilirubin mg/dL (Increase) – when accompanied by any increase in ALT	1.30 – 1.59	1.60 – 1.80	>1.80
Total Bilirubin mg/dL (Increase) – when ALT is normal	1.30 – 1.89	1.90 – 2.40	>2.40
Creatinine mg/dL (Increase) (Female)	1.1 – 1.7	1.8 – 2.0	>2.0
Creatinine mg/dL (Increase) (Male)	1.4 – 1.7	1.8 – 2.0	>2.0

14.1 Description of Study Subjects

14.1.1 Disposition of Subjects

Table 17: Ineligibility Summary of Screen Failures*

Inclusion/Exclusion Category	Inclusion/Exclusion Criterion	n ^a	% ^b
Inclusion and Exclusion	Number of subjects failing any eligibility criterion	x	x
Inclusion	Any inclusion criterion	x	x
	[inclusion criterion 1]	x	x
	[inclusion criterion 2]	x	x
	[inclusion criterion 3]	x	x
Exclusion	Any exclusion criterion	x	x
	[exclusion criterion 1]	x	x
	[exclusion criterion 2]	x	x
	[exclusion criterion 3]	x	x
^a More than one criterion may be marked per subject.			
^b Denominator for percentages is the total number of screen failures.			

Table 18: Analysis Populations by Treatment Group – All Enrolled Subjects*

Analysis Populations	Reason Subjects Excluded	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)		All Subjects (N=X)	
		n	%	n	%	n	%	n	%
Safety Population	Any Reason	x	xx	x	xx	x	xx	x	xx
	Study Dose 1 Not Received								
Modified Intent to Treat	Any Reason								
	Study Dose 1 Not Received								
	No Baseline Results Available								
	No Post-Vaccination Results Available								
Per-Protocol, All Visits	Found to be Ineligible After Baseline								
Per-Protocol, Day 22	Any Reason								
	Study Dose 1 Not Received								
	No Baseline Results Available								
	No Day 22 Result Reported by Lab								
	Lost to Follow-up Before Day 22								
	Receipt of Non-Study Vaccination								
	Receipt of Immunosuppressive medication								
	Day 22 Visit Out of Window								
Per-Protocol, Day 29	Any Reason					NA	NA		
	Study Dose 1 Not Received					NA	NA		
	Study Dose 2 Not Received					NA	NA		
	Study Dose 2 Out of Window					NA	NA		
	No Baseline Results Available					NA	NA		

Table 18: Analysis Populations by Treatment Arm – All Enrolled Subjects * (continued)

	No Day 29 Result Reported by Lab					NA	NA		
	Lost to Follow-up Before Day 29					NA	NA		
	Receipt of Non-Study Vaccination					NA	NA		
	Receipt of Immunosuppressive medication					NA	NA		
	Day 29 Visit Out of Window					NA	NA		
Per-Protocol, Day 43	Any Reason					NA	NA		
	Study Dose 1 Not Received					NA	NA		
	Study Dose 2 Not Received					NA	NA		
	Study Dose 2 Out of Window					NA	NA		
	Study Dose 3 Not Received	NA	NA			NA	NA		
	Study Dose 3 Out of Window	NA	NA			NA	NA		
	No Baseline Results Available					NA	NA		
	No Day 43 Result Reported by Lab					NA	NA		
	Lost to Follow-up Before Day 43					NA	NA		
	Receipt of Non-Study Vaccination					NA	NA		
	Receipt of Immunosuppressive medication					NA	NA		
	Day 43 Visit Out of Window					NA	NA		
Per-Protocol, Day 64	Any Reason	NA	NA			NA	NA		
	Study Dose 1 Not Received	NA	NA			NA	NA		
	Study Dose 2 Not Received	NA	NA			NA	NA		
	Study Dose 2 Out of Window	NA	NA			NA	NA		
	Study Dose 3 Not Received	NA	NA			NA	NA		
	Study Dose 3 Out of Window	NA	NA			NA	NA		

Table 18: Analysis Populations by Treatment Arm – All Enrolled Subjects * (continued)

	No Baseline Results Available	NA	NA			NA	NA		
	No Day 64 Result Reported by Lab	NA	NA			NA	NA		
	Lost to Follow-up Before Day 64	NA	NA			NA	NA		
	Receipt of Non-Study Vaccination	NA	NA			NA	NA		
	Receipt of Immunosuppressive medication	NA	NA			NA	NA		
Per-Protocol, Day 181	Any Reason								
	Study Dose 1 Not Received								
	No Baseline Results Available								
	No Day 181 Result Reported by Lab								
	Lost to Follow-up Before Day 181								
	Receipt of Non-Study Vaccination								
	Receipt of Immunosuppressive medication								
Per Protocol, Day 202	Any Reason			NA	NA	NA	NA		
	Study Dose 2 Not Received			NA	NA	NA	NA		
	Study Dose 2 Out of Window								
	No Baseline Results Available			NA	NA	NA	NA		
	No Day 202 Result Reported by Lab			NA	NA	NA	NA		
	Lost to Follow-up Before Day 202			NA	NA	NA	NA		
	Receipt of Non-Study Vaccination			NA	NA	NA	NA		
	Receipt of Immunosuppressive medication			NA	NA	NA	NA		
Per Protocol, Day 223	Any Reason	NA	NA			NA	NA		
	Study Dose 3 Not Received	NA	NA			NA	NA		
	Study Dose 3 Out of Window	NA	NA			NA	NA		

Table 18: Analysis Populations by Treatment Arm – All Enrolled Subjects * (continued)

	No Baseline Results Available	NA	NA			NA	NA		
	No Day 223 Result Reported by Lab	NA	NA			NA	NA		
	Lost to Follow-up Before Day 223	NA	NA			NA	NA		
	Receipt of Non-Study Vaccination	NA	NA			NA	NA		
	Receipt of Immunosuppressive medication	NA	NA			NA	NA		
N=Number of subjects enrolled									

Table 19: Subject Disposition by Treatment Group, All Enrolled Subjects*

Subject Disposition	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)		All Subjects (N=X)	
	n	%	n	%	n	%	n	%
Screened	x	--	x	--	x	--	x	--
Enrolled/Randomized	x	100	x	100	x	100	x	100
Received Dose 1	x	xx	x	xx	x	xx	x	xx
Received Dose 2	x	xx	x	xx	NA	NA	x	xx
Received Dose 3	NA	NA	x	xx	NA	NA	x	xx
Received All Scheduled Doses ^a	x	xx	x	xx	x	xx	x	xx
Completed Blood Draw for Primary Immunogenicity Analysis ^b	x	xx	x	xx	x	xx	x	xx
Included in Per Protocol Primary Immunogenicity Analysis ^{b c}	x	xx	x	xx	x	xx	x	xx
Completed Primary Follow-up ^{a b c}	x	xx	x	xx	x	xx	x	xx
Completed Final Study Visit	x	xx	x	xx	x	xx	x	xx

N=Number of subjects enrolled
^aRefer to Listing 2 for reasons subjects discontinued from study vaccinations or terminated early.
^bThe final blood draw for Primary Immunogenicity Analysis occurs at 21 days post final dose (Day 43 for Group 1; Day 64 for Group 2; Day 21 for Group 3).
^cRefer to Listing 5 for reasons subjects are excluded from the per protocol population.

Table 20: Dates of First Dose by Site and Treatment Group for the Safety Analysis Population

Dates of Dosing	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)	Group 3: HIV4 Only (N=X)	All Subjects (N=X)
[Site 1]				
Total (Entire period of enrollment)	x	x	x	x
DDMMYYYY-DDMMYYYY	x	x	x	x
....				
[Site 2]				
Total (Entire period of enrollment)	x	x	x	x
DDMMYYYY-DDMMYYYY	x	x	x	x
....				
[Repeat for all sites]				
N= Number of subjects in the Safety population.				

Table with similar format:

Table 21: Dates of Second Dose by Site and Treatment Group for the Safety Analysis Population

Table 22: Dates of Third Dose by Site and Treatment Group for the Safety Analysis Population

14.1.2 Demographic Data

Table 23: Summary of Categorical Demographic and Baseline Characteristics by Site, All Enrolled Subjects

Variable	Characteristic	[Site 1] (N=X)		[Site 2] (N=X)		[Site 3] (N=X)		[Site 4] (N=X)		All Subjects (N=X)	
		n	%	n	%	n	%	n	%	n	%
Sex	Male	x	xx	x	xx	x	xx	x	xx	x	xx
	Female										
BMI	< 30										
	≥ 30										
Ethnicity	Not Hispanic or Latino	x	xx	x	xx	x	xx	x	xx	x	xx
	Hispanic or Latino										
	Not Reported										
Race	Unknown										
	American Indian or Alaska Native	x	xx	x	xx	x	xx	x	xx	x	xx
	Asian										
	Native Hawaiian or Other Pacific Islander										
	Black or African American										
	White										
	Multi-Racial										
Prior Seasonal Influenza Vaccination	Unknown										
	Received 2016-2017										
	Did not receive 2016-2017										
	Unknown										

N= Number of subjects enrolled

Table 24: Summary of Continuous Demographic and Baseline Characteristics by Site, All Enrolled Subjects

Variable	Statistic	[Site 1] (N=X)	[Site 2] (N=X)	[Site 3] (N=X)	[Site 4] (N=X)	All Subjects (N=X)
Age	Mean	x.x	x.x	x.x	x.x	x.x
	Standard Deviation	x.x	x.x	x.x	x.x	x.x
	Median	x.x	x.x	x.x	x.x	x.x
	Minimum	x	x	x	x	x
	Maximum	x	x	x	x	x
BMI	Mean	x.x	x.x	x.x	x.x	x.x
	Standard Deviation	x.x	x.x	x.x	x.x	x.x
	Median	x.x	x.x	x.x	x.x	x.x
	Minimum	x	x	x	x	x
	Maximum	x	x	x	x	x
N= Number of subjects enrolled						

Table 25: Summary of Categorical Demographic and Baseline Characteristics by Treatment Group, All Enrolled Subjects*

Variable	Characteristic	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)		All Subjects (N=X)	
		n	%	n	%	n	%	n	%
Sex	Male								
	Female								
BMI	< 30								
	≥ 30								
Ethnicity	Not Hispanic or Latino								
	Hispanic or Latino								
	Not Reported								
	Unknown								
Race	American Indian or Alaska Native								
	Asian								
	Native Hawaiian or Other Pacific Islander								
	Black or African American								
	White								
	Multi-Racial								
Prior Seasonal Influenza Vaccination	Received 2016-2017								
	Did not receive 2016-2017								
	Unknown								
N=Number of subjects enrolled									

Table 26: Summary of Continuous Baseline Characteristics by Treatment Group, All Enrolled Subjects*

Variable	Statistic	Group 1 Simultaneous Administration (N=X)	Group 2 Sequential Administration (N=X)	Group 3 IIV4 Only (N=X)	All Subjects (N=X)
Age	Mean	x.x	x.x	x.x	x.x
	Standard Deviation	x.x	x.x	x.x	x.x
	Median	x	x	x	x
	Minimum	x	x	x	x
	Maximum	x	x	x	x
BMI	Mean	x.x	x.x	x.x	x.x
	Standard Deviation	x.x	x.x	x.x	x.x
	Median	x	x	x	x
	Minimum	x	x	x	x
	Maximum	x	x	x	x
N=Number of subjects enrolled.					

14.1.3 Summary of Prior or Concurrent Medical Conditions

Table 27: Summary of Subjects with Prior or Concurrent Medical Conditions by MedDRA® System Organ Class and Treatment Group, Safety Analysis Population

MedDRA® System Organ Class	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)	
	n	%	n	%	n	%
Any SOC	x	xx	x	xx	x	xx
[SOC 1]	x	xx	x	xx	x	xx
[SOC 2]	x	xx	x	xx	x	xx
...						
Note: N=Number of subjects in the Safety population; n = Number of subjects reporting medical history within the specified SOC. A subject is only counted once per SOC.						

14.2 Immunogenicity Tables

14.2.1 Immune Response Against Vaccine Strains

Table 28: Summaries of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*

Time Point	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)	Group 3: IV4 Only (N=X)
Baseline (Pre-Dose 1)			
n	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
21 Days Post Dose 1			
n	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
21 Days Post Dose 2			
n	x	x	NA
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA
Seroconversion - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA
21 Days Post Dose 3			
n	NA	x	NA
GMT (95% CI)	NA	xxx.x (xxx.x,xxx.x)	NA
Seroconversion - % (95% CI)	NA	xx (xx, xx)	NA
Titer ≥ 1:40 - % (95% CI)	NA	xx (xx, xx)	NA
180 Days Post Dose 1			

Table 28: Summaries of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population (continued)

Time Point	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)	Group 3: IIV4 Only (N=X)
n	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
180 Days Post Second Dose of AS03-adjuvanted H7N9 Vaccine ^a			
n	x	x	NA
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA
Seroconversion - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA
N=number of subjects in the Modified Intent to Treat population; n = number of subjects with available results; GMT = Geometric Mean Titer			
^a 180 days post second AS03-adjuvanted H7N9 vaccine administration varies by group (Day 202 for Group 1, Day 223 for Group 2, NA for Group 3).			

Tables with similar format

Table 29: Summaries of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*

Table 30: Summaries of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*

Table 31: Summaries of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*

Table 32: Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population*

Time Point	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
Baseline (Pre-Dose 1)												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
21 Days Post Dose 1												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
21 Days Post Dose 2												
n	x	x	NA	x	x	NA	x	x	NA	x	x	NA
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA
Seroconversion % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA

Table 32: Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population (continued)

Time Point	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA
21 Days Post Dose 3												
n	NA	x	NA	NA	x	NA	NA	x	NA	NA	x	NA
GMT (95% CI)	NA	xxx.x (xxx.x,xxx.x)	NA	NA	xxx.x (xxx.x,xxx.x)	NA	NA	xxx.x (xxx.x,xxx.x)	NA	NA	xxx.x (xxx.x,xxx.x)	NA
Seroconversion % (95% CI)	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA
Titer ≥ 1:40 - % (95% CI)	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA	NA	xx (xx, xx)	NA
180 Days Post Dose 1 (IIV4)												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)

Table 32: Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population (continued)

Time Point	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
180 Days Post Second Dose of AS03- adjuvanted H7N9 Vaccine ^a												
n	x	x	NA	x	x	NA	x	x	NA	x	x	NA
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)	NA
Seroconversion % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA
Titer ≥ 1:40 - % (95% CI)	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA	xx (xx, xx)	xx (xx, xx)	NA
N=number of subjects in the Modified Intent to Treat population; n = number of subjects with available results; GMT = Geometric Mean Titer												
^a 180 days post second AS03- adjuvanted H7N9 vaccine administration varies by group (Day 202 for Group 1, Day 223 for Group 2, NA for Group 3).												

Tables with similar format

Table 33: Summaries of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Per Protocol Population*

Table 34: Summaries of Neutralizing Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Modified Intent-to-Treat Population*

Table 35: Summaries of Neutralizing Antibody Against Seasonal IIV4 Strains by Study Day and Treatment Group, Per Protocol Population*

Table 36: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)
Age 19-34 years		
n	x	x
GMT (95% CI)	xxx.x(xxx.x,xxx.x)	xxx.x(xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Age 35-49 years		
n	x	x
GMT (95% CI)	xxx.x(xxx.x,xxx.x)	xxx.x(xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Age 50-64 years		
n	x	x
GMT (95% CI)	xxx.x(xxx.x,xxx.x)	xxx.x(xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported		

Tables with similar format:

Table 37: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 38: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

Table 39: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 40: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 21 Days Post IIV4, Per-Protocol Population*

	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Florida/04/2006 (Yamagata lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
Age 19-34 years												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Age 35-49 years												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Age 50-64 years												

Table 40: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 21 Days Post IIV4, Per-Protocol Population (continued)

	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Florida/04/2006 (Yamagata lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported												

Tables with similar format:

Table 41: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 42: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

Table 43: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Age Group at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 44: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)
Female		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Male		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported		

Tables with similar format:

Table 45: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 46: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

Table 47: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Sex at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 48: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
Female												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Male												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported												

Tables with similar format:

Table 49: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 50: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

Table 51: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Sex at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 52: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)
BMI < 30		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
BMI ≥ 30		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported		

Tables with similar format:

Table 53: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 54: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

Table 55: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and BMI at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 56: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
BMI < 30												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
BMI ≥ 30												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported												

Tables with similar format:

Table 57: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 58: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

Table 59: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and BMI at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 60: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

	Group 1: Simultaneous Administration (N=X)	Group 2: Sequential Administration (N=X)
Did Not Receive 2016-2017 Seasonal Influenza Vaccination		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Received 2016-2017 Seasonal Influenza Vaccination		
n	x	x
GMT (95% CI)	xxx.x (xxx.x,xxx.x)	xxx.x (xxx.x,xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported		

Tables with similar format:

Table 61: Summary of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 62: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population*

Table 63: Summary of Neutralizing Antibody Against 2017 A/H7N9 by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per-Protocol Population

Table 64: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

	A/Michigan/45/2015 X-275 (H1N1)			A/Hong Kong/4801/2014 X263B (H3N2)			B/Phuket/3073/2013 (B Yamagata lineage)			B/Brisbane/60/2008 (B Victoria lineage)		
	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)	Group 1 Sim. Admin. (N=X)	Group 2 Seq. Admin. (N=X)	Group 3 IIV4 Only (N=X)
Did Not Receive 2016-2017 Seasonal Influenza Vaccination												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Received 2016-2017 Seasonal Influenza Vaccination												
n	x	x	x	x	x	x	x	x	x	x	x	x
GMT (95% CI)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)	xxx.x (xxx.x, xxx.x)
Seroconversion - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
Titer ≥ 1:40 - %(95% CI)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)	xx (xx, xx)
N = Number of subjects in the Per Protocol Population; n = number of subjects with results reported												

Tables with similar format:

Table 65: Summary of Hemagglutination Inhibition Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 66: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 21 Days Post IIV4 Vaccination, Per-Protocol Population*

Table 67: Summary of Neutralizing Antibody Against Seasonal IIV4 Strains by Treatment Group and Prior Seasonal Influenza Vaccination at 180 Days Post IIV4 Vaccination, Per-Protocol Population

Table 68: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 21 Days post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	p-value	Odds Ratio	95%CI
Intercept	N/A	xxx.x	xxx.x	x.xxx	-	-
Treatment	Simultaneous Administration (reference)	-	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x

N = XX (Number of subjects in the Per Protocol Population)
n = XX (number of subjects with results available at 21 days post second dose of AS03 adjuvanted A/H7N9 IIV in the per protocol population).
XX subjects missing covariate data were excluded from this analysis.

Tables with similar format:

Table 69: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population *

Table 70: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population

Table 71: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population

Table 72: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 21 Days post IIV4 Vaccination, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	p-value	Odds Ratio	95%CI
A/Michigan/45/2015 X-275 (H1N1)						
Intercept	N/A	xxx.x	xxx.x	x.xxx	-	-
Treatment	Simultaneous Administration (reference)	-	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
	IIV4 Only	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
[Repeat for all IIV4 Strains]						
N = XX (Number of subjects in the Per Protocol Population) n = XX (number of subjects with results available at 21 days post IIV4 vaccination in the per protocol population). XX subjects missing covariate data were excluded from this analysis.						

Tables with similar format:

Table 73: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population

Table 74: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*

Table 75: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population

Table 76: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	p-value	Odds Ratio	95%CI
Intercept	N/A	xxx.x	xxx.x	x.xxx	-	-
Treatment	Simultaneous Administration (reference)	-	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Age (years)	N/A	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Sex	Female (reference)	-	-	-	-	-
	Male	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
BMI	<30 (reference)	-	-	-	-	-
	≥30	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Prior Receipt of Influenza Vaccine	Did Not Receive 2016-2017 Seasonal Influenza Vaccine (reference)	-	-	-	-	-
	Received 2016-2017 Seasonal Influenza Vaccine	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x

N = XX (number of subjects in the Per Protocol Population)
n= XX (number of subjects with results available at 21 days post second dose of AS03 adjuvanted A/H7N9 IIV in the per protocol population.)
XX subjects missing covariate data were excluded from this analysis.

Tables with similar format:

- Table 77: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population**
- Table 78: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population***
- Table 79: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population**

Table 80: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	p-value	Odds Ratio	95%CI
A/Michigan/45/2015 X-275 (H1N1)						
Intercept	N/A	xxx.x	xxx.x	x.xxx	-	-
Treatment	Simultaneous Administration (reference)	-	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
	IIV4 Only	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Age (years)	N/A	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Sex	Female (reference)	-	-	-	-	-
	Male	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
BMI	<30 (reference)	-	-	-	-	-
	≥30	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
Prior Receipt of Influenza Vaccine	Did Not Receive 2016-2017 Seasonal Influenza Vaccine (reference)	-	-	-	-	-
	Received 2016-2017 Seasonal Influenza Vaccine	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
[Repeat for all IIV4 Strains]						
N = XX (Number of subjects in the Per Protocol Population) n = XX (number of subjects with results available at 21 days post IIV4 vaccination in the per protocol population). XX subjects missing covariate data were excluded from this analysis.						

Tables with similar format:

Table 81: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with HAI Seroconversion Against Seasonal HIV4 Strains at 180 Days Post HIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population

Table 82: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal HIV4 Strains at 21 Days Post HIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population*

Table 83: Logistic Regression Model to Evaluate the Relationship of Administration Schedule with Neut Seroconversion Against Seasonal HIV4 Strains at 180 Days Post HIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population

Table 84: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	95%CI	p-value
Intercept	N/A	xxx.x	xxx.x	-	x.xxx
Treatment	Simultaneous Administration (reference)	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	xx.x-xx.x	x.xxx

N = XX (Number of subjects in the Per Protocol Population)
n = XX (number of subjects with results available at 21 days post second dose of AS03 adjuvanted A/H7N9 IIV in the per protocol population.)
XX subjects missing covariate data were excluded from this analysis.

Tables with similar format:

Table 85: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population

Table 86: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population*

Table 87: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against 2017 A/H7N9 at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Per Protocol Population

Table 88: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	95%CI	p-value
A/Michigan/45/2015 X-275 (H1N1)					
Intercept	N/A	xxx.x	xxx.x	-	x.xxx
Treatment	Simultaneous Administration (reference)	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	xx.x-xx.x	x.xxx
	IIV4 Only	xxx.x	xxx.x	xx.x-xx.x	x.xxx
[Repeat for all IIV4 Strains]					
N = XX (Number of subjects in the Per Protocol Population) n = XX (number of subjects with results available at 21 days post IIV4 vaccination in the per protocol population). XX subjects missing covariate data were excluded from this analysis.					

Tables with similar format:

Table 89: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population

Table 90: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Per Protocol Population*

Table 91: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Per Protocol Population

Table 92: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against 2017 A/H7N9 at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*

Model Parameter	Parameter Category	Parameter Estimate	SE	95%CI	p-value
Intercept	N/A	xxx.x	xxx.x	-	x.xxx
Treatment	Simultaneous Administration (reference)	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Age (years)	N/A	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Sex	Female (reference)	-	-	-	-
	Male	xxx.x	xxx.x	xx.x-xx.x	x.xxx
BMI	<30 (reference)	-	-	-	-
	≥30	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Prior Receipt of Influenza Vaccine	Did Not Receive 2016-2017 Seasonal Influenza Vaccine (reference)	-	-	-	-
	Received 2016-2017 Seasonal Influenza Vaccine	xxx.x	xxx.x	xx.x-xx.x	x.xxx

N = XX (Number of subjects in the Per Protocol Population)
n = XX (number of subjects with results available at 21 days post IIV4 vaccination in the per protocol population).
XX subjects missing covariate data were excluded from this analysis.

Tables with similar format:

Table 93: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population

Table 94: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer at 21 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population*

Table 95: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer at 180 Days Post Second Dose of AS03 adjuvanted A/H7N9 Vaccine, Adjusted for Baseline Covariates, Per Protocol Population

Table 96: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population*

Model Parameter		Parameter Estimate	SE	95%CI	p-value
A/Michigan/45/2015 X-275 (H1N1)					
Intercept	N/A	xxx.x	xxx.x	-	x.xxx
Treatment	Simultaneous Administration (reference)	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	xx.x-xx.x	x.xxx
	IIV4 Only	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Age (years)	N/A	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Sex	Female (reference)	-	-	-	-
	Male	xxx.x	xxx.x	xx.x-xx.x	x.xxx
BMI	<30 (reference)	-	-	-	-
	≥30	xxx.x	xxx.x	xx.x-xx.x	x.xxx
Prior Receipt of Influenza Vaccine	Did Not Receive 2016-2017 Seasonal Influenza Vaccine (reference)	-	-	-	-
	Received 2016-2017 Seasonal Influenza Vaccine	xxx.x	xxx.x	xx.x-xx.x	x.xxx
[Repeat for all IIV4 Strains]					
N = XX (Number of subjects in the Per Protocol Population) n = XX (number of subjects with results available at 21 days post IIV4 vaccination in the per protocol population). XX subjects missing covariate data were excluded from this analysis.					

Tables with similar format:

- Table 97: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted HAI Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population**
- Table 98: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 21 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population**
- Table 99: Multiple Linear Regression Model to Evaluate the Relationship of Administration Schedule with Log-Adjusted Neut Titer against Seasonal IIV4 Strains at 180 Days Post IIV4 Vaccination, Adjusted for Baseline Covariates, Per Protocol Population**

14.3 Safety Data

14.3.1 Displays of Adverse Events

Table 100: Overall Summary of Adverse Events, Safety Population*

	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)	
	n	%	n	%	n	%
Subjects ^a with						
At least one local solicited adverse event	x	x	x	x	x	x
At least one systemic solicited adverse event	x	x	x	x	x	x
At least one unsolicited adverse event	x	x	x	x	x	x
At least one related unsolicited adverse event	x	x	x	x	x	x
Mild (Grade 1)	x	x	x	x	x	x
Moderate (Grade 2)	x	x	x	x	x	x
Severe (Grade 3)	x	x	x	x	x	x
Not yet assessed						
At least one severe (Grade 3) unsolicited adverse event	x	x	x	x	x	x
Related	x	x	x	x	x	x
Unrelated	x	x	x	x	x	x
Not yet assessed						
At least one serious adverse event ^b	x	x	x	x	x	x
At least one related, serious adverse event	x	x	x	x	x	x

Table 100: Overall Summary of Adverse Events, Safety Population *(continued)*

	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
	n	%	n	%	n	%
Subjects ^a with						
At least one adverse event leading to early termination ^c	x	x	x	x	x	x
At least one medically attended adverse event	x	x	x	x	x	x
At least one new onset chronic medical condition	x	x	x	x	x	x
At least one potentially immune mediated medical condition	x	x	x	x	x	x
N = Number of subjects in the safety population. ^a Subjects are counted once for each category regardless of the number of events. ^b A listing of Serious Adverse Events is included in Table 126 ^c As reported on the Adverse Event eCRF						

Table 101: Number of Adverse Events Occurring in 5% of Subjects in Any Treatment Group by MedDRA® System Organ Class and Preferred Term, and Treatment Group - Safety Population

MedDRA System Organ Class	Preferred Term	Group 1: Simultaneous Administration (N=X)			Group 2: Sequential Administration (N=X)			Group 3: IIIV4 Only (N=X)			
		n	%	Events	n	%	Events	n	%	Events	
Serious Adverse Events											
All	All	x	x	x	x	x	x	x	x	x	x
SOC1	PT1	x	x	x	x	x	x	x	x	x	x
Etc.	Etc.	x	x	x	x	x	x	x	x	x	x
Other (Non-serious) Adverse Events											
All	All	x	x	x	x	x	x	x	x	x	x
SOC1	PT1	x	x	x	x	x	x	x	x	x	x
Etc	Etc	x	x	x	x	x	x	x	x	x	x
N = number of subjects in the safety population. n= number of subjects reporting event. Events = total frequency of events reported. MedDRA Version X.X.											

14.3.1.1 Solicited Adverse Events

Table 102: Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Any Study Dose*

Symptom	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
	n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Any Symptom	x	xx (xx-xx)	x	xx (xx-xx)	x	xx (xx-xx)
Any Systemic Symptom						
Fever						
Feverishness						
Fatigue						
Malaise						
Myalgia						
Arthralgia						
Headache						
Nausea						
Any Local Symptom						
Pain						
Tenderness						
Pruritus						
Ecchymosis						
Ecchymosis (measurement)						
Erythema						
Erythema (measurement)						
Induration/Swelling						

Table 102: Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Any Study Dose (continued)

Symptom	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HV4 Only (N=X)	
	n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Induration/Swelling (measurement)						
N = Number of subjects in the Safety Population who received any dose. 95% CI estimated using Clopper-Pearson exact method						

Tables with similar format:

Table 103: Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 1*

[Footnote update] N = Number of subjects in the Safety Population who received the first dose.

Table 104: Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 2*

[Footnote update] N = Number of subjects in the Safety Population who received the second dose.

Table 105: Number and Percentage of Subjects Experiencing Solicited Events with 95% Confidence Intervals by Symptom and Treatment Group (Safety Population) – Post Study Dose 3*

[Footnote update] N = Number of subjects in the Safety Population who received the third dose.

Table 106: Logistic Regression Model to Evaluate the Relationship of Treatment with Reporting Any Local Event Post Any Study Dose (Safety Population) *

Model Parameter	Parameter Category	Parameter Estimate	SE	p-value	Odds Ratio	95%CI
Intercept	N/A	xxx.x	xxx.x	x.xxx	-	-
Treatment	Simultaneous Administration (reference)	-	-	-	-	-
	Sequential Administration	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x
	IIV4 Only	xxx.x	xxx.x	x.xxx	xx.x	xx.x-xx.x

N = number of subjects in the safety population.

Table with similar format:

Table 107: Logistic Regression Model to Evaluate the Relationship of Treatment with Reporting Any Systemic Event Post Any Study Dose (Safety Population) *

Table 108: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Any Study Dose*

Symptom	Severity	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Any Symptom	None	x	xx (xx-xx)	x	xx (xx-xx)	x	xx (xx-xx)
	Mild						
	Moderate						
	Severe						
Any Systemic Symptom	None	x	xx (xx-xx)	x	xx (xx-xx)	x	xx (xx-xx)
	Mild						
	Moderate						
	Severe						
Fever	None						
	Mild						
	Moderate						
	Severe						
Feverishness	None						
	Mild						
	Moderate						
	Severe						
Fatigue	None						
	Mild						
	Moderate						
	Severe						
Malaise	None						
	Mild						

Table 108: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
	Moderate						
	Severe						
Myalgia	None						
	Mild						
	Moderate						
	Severe						
Arthralgia	None						
	Mild						
	Moderate						
	Severe						
Headache	None						
	Mild						
	Moderate						
	Severe						
Nausea	None						
	Mild						
	Moderate						
	Severe						
Any Local Symptom	None						
	Mild						
	Moderate						
	Severe						

Table 108: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Pain	None						
	Mild						
	Moderate						
	Severe						
Tenderness	None						
	Mild						
	Moderate						
	Severe						
Pruritus	None						
	Mild						
	Moderate						
	Severe						
Ecchymosis	None						
	Mild						
	Moderate						
	Severe						
Ecchymosis (measurement)	None						
	Mild						
	Moderate						
	Severe						
Erythema	None						
	Mild						

Table 108: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
	Moderate						
	Severe						
Erythema (measurement)	None						
	Mild						
	Moderate						
	Severe						
Induration/Swelling	None						
	Mild						
	Moderate						
	Severe						
Induration/Swelling (measurement)	None						
	Mild						
	Moderate						
	Severe						

N = Number of subjects in the Safety Population who received any study dose.
 95% CI estimated using Clopper-Pearson exact method
 Severity is the maximum severity reported over all solicited symptoms post dosing for each subject.

Tables with similar format:

Table 109: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 1*

[Footnote Update] N = Number of subjects in the Safety Analysis Population who received the first study dose.

Table 110: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 2*

[Footnote Update] N = Number of subjects in the Safety Analysis Population who received the second study dose.

Table 111: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Maximum Severity and Treatment Group (Safety Population) – Post Study Dose 3*

[Footnote Update] N = Number of subjects in the Safety Analysis Population who received the third study dose.

Table 112: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Any Study Dose

Symptom	Severity	Pre-Dose		Post-Dose		Day 1		Day 2		Day 3		Day 4		Day 5		Day 6		Day 7		Day 8+	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)																					
Any Symptom	None																				
	Mild																				
	Moderate																				
	Severe																				
Any Systemic Symptom	None																				
	Mild																				
	Moderate																				
	Severe																				
Fever	None																				
	Mild																				
	Moderate																				
	Severe																				
Feverishness	None																				
	Mild																				
	Moderate																				
	Severe																				
Fatigue	None																				
	Mild																				
	Moderate																				
	Severe																				
Malaise	None																				
	Mild																				

Table 112: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Study Vaccination, and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Pre-Dose		Post-Dose		Day 1		Day 2		Day 3		Day 4		Day 5		Day 6		Day 7		Day 8+		
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
	Moderate																					
	Severe																					
Myalgia	None																					
	Mild																					
	Moderate																					
	Severe																					
Arthralgia	None																					
	Mild																					
	Moderate																					
	Severe																					
Headache	None																					
	Mild																					
	Moderate																					
	Severe																					
Nausea	None																					
	Mild																					
	Moderate																					
	Severe																					
Any Local Symptom	None																					
	Mild																					
	Moderate																					
	Severe																					
Pain	None																					

Table 112: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Study Vaccination, and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Pre-Dose		Post-Dose		Day 1		Day 2		Day 3		Day 4		Day 5		Day 6		Day 7		Day 8+		
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
	Mild																					
	Moderate																					
	Severe																					
Tenderness	None																					
	Mild																					
	Moderate																					
	Severe																					
Pruritus	None																					
	Mild																					
	Moderate																					
	Severe																					
Ecchymosis	None																					
	Mild																					
	Moderate																					
	Severe																					
Ecchymosis (measurement)	None																					
	Mild																					
	Moderate																					
	Severe																					
Erythema	None																					
	Mild																					
	Moderate																					
	Severe																					

Table 112: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Study Vaccination, and Treatment Arm (Safety Population) – Post Any Study Dose (continued)

Symptom	Severity	Pre-Dose		Post-Dose		Day 1		Day 2		Day 3		Day 4		Day 5		Day 6		Day 7		Day 8+		
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
Erythema (measurement)	None																					
	Mild																					
	Moderate																					
	Severe																					
Induration/Swelling	None																					
	Mild																					
	Moderate																					
	Severe																					
Induration/Swelling (measurement)	None																					
	Mild																					
	Moderate																					
	Severe																					
[repeat for all treatment groups]																						
N = Number of subjects in the Safety Analysis Population who received the specified dose. Severity is the maximum severity reported post dosing for each subject for each day.																						

Tables with similar format:

Table 113: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 1

Table 114: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 2

Table 115: Number and Percentage of Subjects Experiencing Solicited Events by Symptom, Severity, Day Post Dose, and Treatment Group (Safety Population) – Post Study Dose 3

Table 116: Comparison of the Number and Percentage of Subjects Experiencing Solicited Systemic Events by Dose and Treatment Group – Safety Population

		Subjects with No Symptoms	Subjects with Mild or Greater Symptoms	Total Number of Subjects n (Percent) [p-value*]
Study Dose 1 vs Study Dose 2				
Group 1: Simultaneous Administration (N=X)	Subjects with No Symptoms	x (%)	x (%)	x (%)
	Subjects with Mild or Greater Symptoms	x (%)	x (%)	x (%)
	Total Number of Subjects	x (%)	x (%)	x (100%) [0.xxx]
Group 2: Sequential Administration (N=X)	Subjects with No Symptoms	x (%)	x (%)	x (%)
	Subjects with Mild or Greater Symptoms	x (%)	x (%)	x (%)
	Total Number of Subjects	x (%)	x (%)	x (100%) [0.xxx]
Study Dose 1 vs Study Dose 3				
Group 2: Sequential Administration (N=X)	Subjects with No Symptoms	x (%)	x (%)	x (%)
	Subjects with Mild or Greater Symptoms	x (%)	x (%)	x (%)
	Total Number of Subjects	x (%)	x (%)	x (100%) [0.xxx]
Study Dose 2 vs Study Dose 3				
Group 2: Sequential Administration (N=X)	Subjects with No Symptoms	x (%)	x (%)	x (%)
	Subjects with Mild or Greater Symptoms	x (%)	x (%)	x (%)
	Total Number of Subjects	x (%)	x (%)	x (100%) [0.xxx]

Note: Denominators for percentages are the number of subjects in the safety population who received both the first and second study dose. Subjects who did not receive both indicated doses are not included in this table. [x] subjects did not receive the second dose and [x] subjects did not receive the third dose.
* P-value is calculated from McNemar's test for each treatment group.

Table with similar format:

Table 117: Comparison of the Number and Percentage of Subjects Experiencing Solicited Local Events by Dose and Treatment Group – Safety Population

14.3.1.2 Unsolicited Adverse Events

Table 118: Number and Percentage of Subjects Experiencing Unsolicited Adverse Events with 95% Confidence Intervals by MedDRA® System Organ Class and Preferred Term, and Treatment Group – Safety Population*

MedDRA® System Organ Class	MedDRA® Preferred Term	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: HIV4 Only (N=X)	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Any SOC	Any PT	x	xx (xx-xx)	x	xx (xx-xx)	x	xx (xx-xx)
[SOC 1]	Any PT						
	[PT 1]						
	[PT 2]						
[SOC 2]	Any PT						
	[PT 1]						
	[PT 2]						

Note: N = number of subjects in the Safety Analysis Population who received any dose. This table presents number and percentage of subjects. A subject is only counted once per PT.

Table 119: Number and Percentage of Subjects Experiencing Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, Relationship, and Treatment Group – Safety Population*

Treatment Group	MedDRA® System Organ Class	MedDRA® Preferred Term	Any Incidence		Severity [1]						Relationship to Treatment [2]			
					Mild		Moderate		Severe		Not Related		Related	
			n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT												
		[PT 1]												
		[PT 2]												
	[SOC 2]	Any PT												
		[PT 1]												
		[PT 2]												
Group 2: Sequential Administration (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT												
		[PT 1]												
		[PT 2]												
	[SOC 2]	Any PT												
		[PT 1]												
		[PT 2]												
Group 3: IIV4 Only (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT												
		[PT 1]												
		[PT 2]												

Table 119: Number and Percentage of Subjects Experiencing Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, Relationship, and Treatment Group – Safety Population *(continued)*

Treatment Group	MedDRA® System Organ Class	MedDRA® Preferred Term	Any Incidence		Severity [1]						Relationship to Treatment [2]			
					Mild		Moderate		Severe		Not Related		Related	
			n	%	n	%	n	%	n	%	n	%	n	%
	[SOC 2]	Any PT												
		[PT 1]												
		[PT 2]												

Note: N = Number of subjects in the Safety Analysis Population.
 [1] For severity, a subject is counted once per preferred term and is summarized according to their highest severity.
 [2] For relationship, a subject is only counted once per preferred term and is summarized according to their closest relationship.

Table 120: Number and Percentage of Subjects Experiencing Non-Serious Related Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, and Treatment Group – Safety Population

Treatment Group	MedDRA® System Organ Class	MedDRA® Preferred Term	Any Incidence		Severity [1]					
			n	%	Mild		Moderate		Severe	
					n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT	x	xx	x	xx	x	xx	x	xx
		[PT 1]								
		[PT 2]								
	[SOC 2]	Any PT								
		[PT 1]								
		[PT 2]								
Group 2: Sequential Administration (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT	x	xx	x	xx	x	xx	x	xx
		[PT 1]								
		[PT 2]								
	[SOC 2]	Any PT								
		[PT 1]								
		[PT 2]								
Group 3: IIV4 Only (N=X)	Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx
	[SOC 1]	Any PT	x	xx	x	xx	x	xx	x	xx
		[PT 1]								
		[PT 2]								
	[SOC 2]	Any PT								

Table 120: Number and Percentage of Subjects Experiencing Non-Serious Related Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Maximum Severity, and Treatment Group – Safety Population *(continued)*

Treatment Group	MedDRA® System Organ Class	MedDRA® Preferred Term	Any Incidence		Severity [1]					
					Mild		Moderate		Severe	
			n	%	n	%	n	%	n	%
		[PT 1]								
		[PT 2]								

Note: N = Number of subjects in the Safety Analysis Population. This table presents number and percentage of subjects.
[1] For severity, a subject is counted once per preferred term and is summarized according to their highest severity.

Table 121: Number and Percentage of Subjects Experiencing Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population

MedDRA® System Organ Class	MedDRA® Preferred Term	Day 1-8 Post Dose 1		Day 9-22 Post Dose 1		Day 1-22 Post Dose 1		Day 1-8 Post Dose 2		Day 9-22 Post Dose 2		Day 1-22 Post Dose 2		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-22 Post Any Dose	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
[SOC 1]	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
	[PT 1]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
	[PT 2]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
[SOC 2]	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
	[PT 1]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
	[PT 2]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
Group 2: Sequential Administration (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
[SOC 1]	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[PT 1]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[PT 2]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
[SOC 2]	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[PT 1]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	[PT 2]	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
Group 3: IIV4 Only (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
[SOC 1]	Any PT	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
	[PT 1]	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
	[PT 2]	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx

Table 121: Number and Percentage of Subjects Experiencing Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population (continued)

MedDRA® System Organ Class	MedDRA® Preferred Term	Day 1-8 Post Dose 1		Day 9-22 Post Dose 1		Day 1-22 Post Dose 1		Day 1-8 Post Dose 2		Day 9-22 Post Dose 2		Day 1-22 Post Dose 2		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-22 Post Any Dose		
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n
[SOC 2]	Any PT	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
	[PT 1]	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
	[PT 2]	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx

Note: N = Number of subjects in the Safety Analysis Population. This table presents number and percentage of subjects. For each time period, a subject is only counted once per PT.

Table 122: Number and Percentage of Subjects Experiencing Non-Serious, Related Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Dose and Treatment Group – Safety Population

MedDRA® System Organ Class	MedDRA® Preferred Term	Day 1-8 Post Dose 1		Day 9-22 Post Dose 1		Day 1-22 Post Dose 1		Day 1-8 Post Dose 2		Day 9-22 Post Dose 2		Day 1-22 Post Dose 2		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-22 Post Any Dose	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	x	xx
[SOC 1]	Any PT																				
	[PT 1]																				
	[PT 2]																				
[SOC 2]	Any PT																				
	[PT 1]																				
	[PT 2]																				
Group 2: Sequential Administration (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
[SOC 1]	Any PT																				
	[PT 1]																				
	[PT 2]																				
[SOC 2]	Any PT																				
	[PT 1]																				
	[PT 2]																				
Group 3: IIV4 Only (N=X)																					
Any SOC	Any PT	x	xx	x	xx	x	xx	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	x	xx
[SOC 1]	Any PT																				
	[PT 1]																				

Table 122: Number and Percentage of Subjects Experiencing Non-Serious, Related Unsolicited Adverse Events Within 21 Days Post Dose by MedDRA® System Organ Class and Preferred Term, Dose and Treatment Group – Safety Population *(continued)*

		Day 1-8 Post Dose 1		Day 9-22 Post Dose 1		Day 1-22 Post Dose 1		Day 1-8 Post Dose 2		Day 9-22 Post Dose 2		Day 1-22 Post Dose 2		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-8 Post Dose 3		Day 1-22 Post Any Dose		
	[PT 2]																					
[SOC 2]	Any PT																					
	[PT 1]																					
	[PT 2]																					
Note: N = Number of subjects in the Safety Analysis Population. This table presents number and percentage of subjects. For each time period, a subject is only counted once per PT.																						

Table 123: Number of Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population

			Day 1-8 Post Dose 1	Day 9-22 Post Dose 1	Day 1-22 Post Dose 1	Day 1-8 Post Dose 2	Day 9-22 Post Dose 2	Day 1-22 Post Dose 2	Day 1-8 Post Dose 3	Day 9-22 Post Dose 3	Day 1-22 Post Dose 3	Day 1-22 Post Any Dose
Treatment Group	MedDRA® System Organ Class	MedDRA® Preferred Term	# of Events	# of Events	# of Events	# of Events	# of Events	# of Events	# of Events	# of Events	# of Events	# of Events
Group 1: Simultaneous Administration (N=X)	Any SOC	Any PT	x	x	x	x	x	x	NA	NA	NA	x
	[SOC 1]	Any PT										
		[PT 1]										
		[PT 2]										
	[SOC 2]	Any PT										
		[PT 1]										
		[PT 2]										
Group 2: Sequential Administration (N=X)	Any SOC	Any PT	x	x	x	x	x	x	x	x	x	x
	[SOC 1]	Any PT										
		[PT 1]										
		[PT 2]										
	[SOC 2]	Any PT										
		[PT 1]										
		[PT 2]										
Group 3: IIV4 Only (N=X)	Any SOC	Any PT	x	x	x	NA	NA	NA	NA	NA	NA	x

Table 123: Number of Unsolicited Adverse Events by MedDRA® System Organ Class and Preferred Term, Day Post Dose, and Treatment Group – Safety Population *(continued)*

			Day 1-8 Post Dose 1	Day 9-22 Post Dose 1	Day 1-22 Post Dose 1	Day 1-8 Post Dose 2	Day 9-22 Post Dose 2	Day 1-22 Post Dose 2	Day 1-8 Post Dose 3	Day 9-22 Post Dose 3	Day 1-22 Post Dose 3	Day 1-22 Post Any Dose
	[SOC 1]	Any PT										
		[PT 1]										
		[PT 2]										
	[SOC 2]	Any PT										
		[PT 1]										
		[PT 2]										

Note: N = Number of subjects in the Safety Analysis Population. This table presents number of events; a subject may be counted multiple times.

14.3.2 Listings of Serious and Significant Adverse Events

Table 124: Listing of Serious Adverse Events *

[Implementation Note: If the event is ongoing (no stop date), indicate “ongoing” in the “Duration” column. If more than one reason is selected for the reason reported as an SAE, list all reasons in the column, separated by a comma. In the “If Not Related, Alternate Etiology” column, merge the 2 data fields for collecting alternate etiology, separate by a colon. In the CSR, Subject ID should be USUBJID (not PATID) for purposes of de-identification.]

Adverse Event	Associated with Dose #	# of Days Post Associated Dose (Duration)	# of Days Post Dose the Event Became Serious	Reason Reported as an SAE	Severity	Relationship to Study Treatment	In Not Related, Alternative Etiology	Action Taken with Study Treatment	Subject Discontinued Due to AE	Outcome	MedDRA® Sytem Organ Class	MedDRA® Preferred Term
Subject ID: , Treatment Group: , AE Number:												
Comments:												
Subject ID: , Treatment Group: , AE Number:												
Comments:												

Table 125: Listing of Medically Attended Adverse Events*

[Implementation Note: If the event is ongoing (no stop date), indicate “ongoing” in the “Duration” column. In the “If Not Related, Alternate Etiology” column, merge the 2 data fields for collecting alternate etiology, separate by a colon.]

Adverse Event	Associated with Dose #	# of Days Post Associated Dose (Duration)	SAE? Severity	Relationship to Study Treatment	In Not Related, Alternative Etiology	Action Taken with Study Treatment	Subject Discontinued Due to AE	Outcome	MAAE	MedDRA® System Organ Class	MedDRA® Preferred Term
Subject ID: , Treatment Group: , AE Number:											
			SAE: No Mild								
Comments:											
Subject ID: , Treatment Group: , AE Number:											
Comments:											

Tables with similar format:

Table 126: Listing of Potentially Immune Mediated Medical Conditions*

Table 127: Listing of New Onset Chronic Medical Conditions*

Table 128: Listing of Non-Serious, Unsolicited, Moderate or Severe Adverse Events*

14.3.3 Narratives of Deaths, Other Serious and Significant Adverse Events

(not included in SAP, placeholder for the CSR)

14.3.4 Displays of Laboratory Results

Table 129: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – All Laboratory Parameters *

Treatment Group	Study Day	N	None		Mild/ Grade 1		Moderate/ Grade 2		Severe/ Grade 3		Missing	
			n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 29	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
Group 2: Sequential Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 29	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 50	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
Group 3: IIV4 Only (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 29	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

Table 129: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – All
Laboratory Parameters *(continued)*

	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
<p>Note: The “Max Post Baseline” rows indicate the maximum severity experienced by each subject at any time point post baseline, including unscheduled assessments. N=Number of subjects in the Safety population.</p>												

Table 130: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – White Blood Cells*

Treatment Group	Study Day	N	None		Mild/ Grade 1 (Low)		Mild/ Grade 1 (High)		Moderate/ Grade 2 (Low)		Moderate/ Grade 2 (High)		Severe/ Grade 3 (Low)		Severe/ Grade 3 (High)		Missing	
			n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 29	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
Group 2: Sequential Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 29	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 50	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
Group 3: IIV4 Only (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 8	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Day 29	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	

Table 130: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – White Blood Cells (continued)

	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
<p>Note: The “Max Post Baseline” rows indicate the maximum severity experienced by each subject at any time point post baseline, including unscheduled assessments. N=Number of subjects in the Safety population.</p>																		

Tables with similar format:

Table 131: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Hemoglobin*

Table 132: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Platelets*

Table 133: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Alanine aminotransferase (ALT) *

Table 134: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Total Bilirubin *

Table 135: Laboratory Results by Parameter, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Creatinine*

Table 136: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – White Blood Cells*

Treatment Group	Study Day	Value					Change from Baseline				
		N	Mean	Standard Deviation	Median	Min, Max	N	Mean	Standard Deviation	Median	Min, Max
Group 1: Simultaneous Administration (N=X)	Baseline	x	xx.x	xx.x	xx	xx, xx	NA	NA	NA	NA	NA
	Day 8	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 22	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 29	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Group 2: Sequential Administration (N=X)	Baseline	x	xx.x	xx.x	xx	xx, xx	NA	NA	NA	NA	NA
	Day 8	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 22	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 29	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 43	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 50	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
Group 3: IIV4 Only (N=X)	Baseline	x	xx.x	xx.x	xx	xx, xx	NA	NA	NA	NA	NA
	Day 8	x	xx.x	xx.x	xx	xx, xx	x	xx.x	xx.x	xx	xx, xx
	Day 22	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 29	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 50	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

N= Number of subjects in the Safety population

Tables with similar format:

Table 137: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Hemoglobin*

Table 138: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Platelets*

Table 139: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Alanine Aminotransferase* (ALT)

Table 140: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Total Bilirubin*

Table 141: Laboratory Summary Statistics of Value and Change from Baseline by Study Day, and Treatment Group (Safety Population) – Creatinine*

14.3.4.5 Clinical Laboratory Reference Ranges

(Placeholder for the CSR)

14.3.5 Abnormal Laboratory Value Listings (by Subject)

Table 142: Listing of Abnormal Laboratory Results - Chemistry

Subject ID	Treatment Group	Sex	Age (years)	Planned Time Point	Actual Study Day	Laboratory Parameter (Units)	Result (Severity)	Relationship to Treatment	If Not Related, Alternate Etiology	Action Taken with Study Treatment	Subject Discontinued Due to Result?

Table 143: Listing of Abnormal Laboratory Results - Hematology

Subject ID	Treatment Group	Sex	Age (years)	Planned Time Point	Actual Study Day	Laboratory Parameter (Units)	Result (Severity)	Relationship to Treatment	If Not Related, Alternate Etiology	Action Taken with Study Treatment	Subject Discontinued Due to Result?

14.3.6 Displays of Vital Signs

Table 144: Vital Signs by Assessment, Maximum Severity, Study Day, Treatment Group (Safety Population) – Any Assessment*

Treatment Group	Study Day	N	None		Mild		Moderate		Severe		Missing	
			n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22											
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline											
Group 2: Sequential Administration (N=X)	Baseline											
	Day 22											
	Day 43											
	Max Severity Post Baseline											
Group 3: IIV4 Only (N=X)	Baseline											
	Day 22	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline											

Note: The “Max Post Baseline” rows indicate the maximum severity experienced by each subject at any time point post baseline, including unscheduled assessments. N= Number of subjects in the Safety population.

Table with similar format:

Table 145: Vital Signs by Assessment, Maximum Severity, Study Day, Treatment Group (Safety Population) – Oral Temperature

Table 146: Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Systolic Blood Pressure

Treatment Group	Study Day	N	None		Mild (Low)		Mild (High)		Moderate (Low)		Moderate (High)		Severe (Low)		Severe (High)		Missing	
			n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Group 1: Simultaneous Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
Group 2: Sequential Administration (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 43	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
Group 3: IIV4 Only (N=X)	Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx
	Day 22	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Day 43	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Max Severity Post Baseline	x	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx	x	xx

Note: The “Max Post Baseline” rows indicate the maximum severity experienced by each subject at any time point post baseline, including unscheduled assessments. N=Number of subjects in the Safety population.

Tables with similar format:

Table 147: Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Diastolic Blood Pressure

Table 148: Vital Signs by Assessment, Maximum Severity, Study Day, and Treatment Group (Safety Population) – Pulse

14.4 Summary of Concomitant Medications

Table 149: Number and Percentage of Subjects with Prior and Concurrent Medications by WHO Drug Classification and Treatment Group – Safety Population

WHO Drug Code Level 1, Anatomic Group	WHO Drug Code Level 2, Therapeutic Subgroup	Group 1: Simultaneous Administration (N=X)		Group 2: Sequential Administration (N=X)		Group 3: IIV4 Only (N=X)	
		n	%	n	%	n	%
Any Level 1 Codes	Any Level 2 Codes	x	xx	x	xx	x	xx
[ATC Level 1 - 1]	Any [ATC 1 - 1]						
	[ATC 2 - 1]						
	[ATC 2 - 2]						
	[ATC 2 - 3]						
[ATC Level 1 - 2]	[ATC 2 - 1]						
	[ATC 2 - 2]						
	[ATC 2 - 3]						

N= Number of subjects in the Safety population. n=Number of subjects reporting taking at least one medication in the specific WHO Drug Class.

Appendix 2. FIGURE MOCK-UPS**LIST OF FIGURES**

Figure 1:	CONSORT Flow Diagram*	149
Figure 2:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*	150
Figure 3:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	150
Figure 4:	Reverse Cumulative Distribution of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*	150
Figure 5:	Reverse Cumulative Distribution of Neutralizing Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	150
Figure 6:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*	150
Figure 7:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*	150
Figure 8:	Reverse Cumulative Distribution of Neutralizing Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 9:	Reverse Cumulative Distribution of Neutralizing Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 10:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 11:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 12:	Reverse Cumulative Distribution of Neutralizing Antibody Against A/ Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 13:	Reverse Cumulative Distribution of Neutralizing Antibody against A/ Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 14:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 15:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 16:	Reverse Cumulative Distribution of Neutralizing Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	151

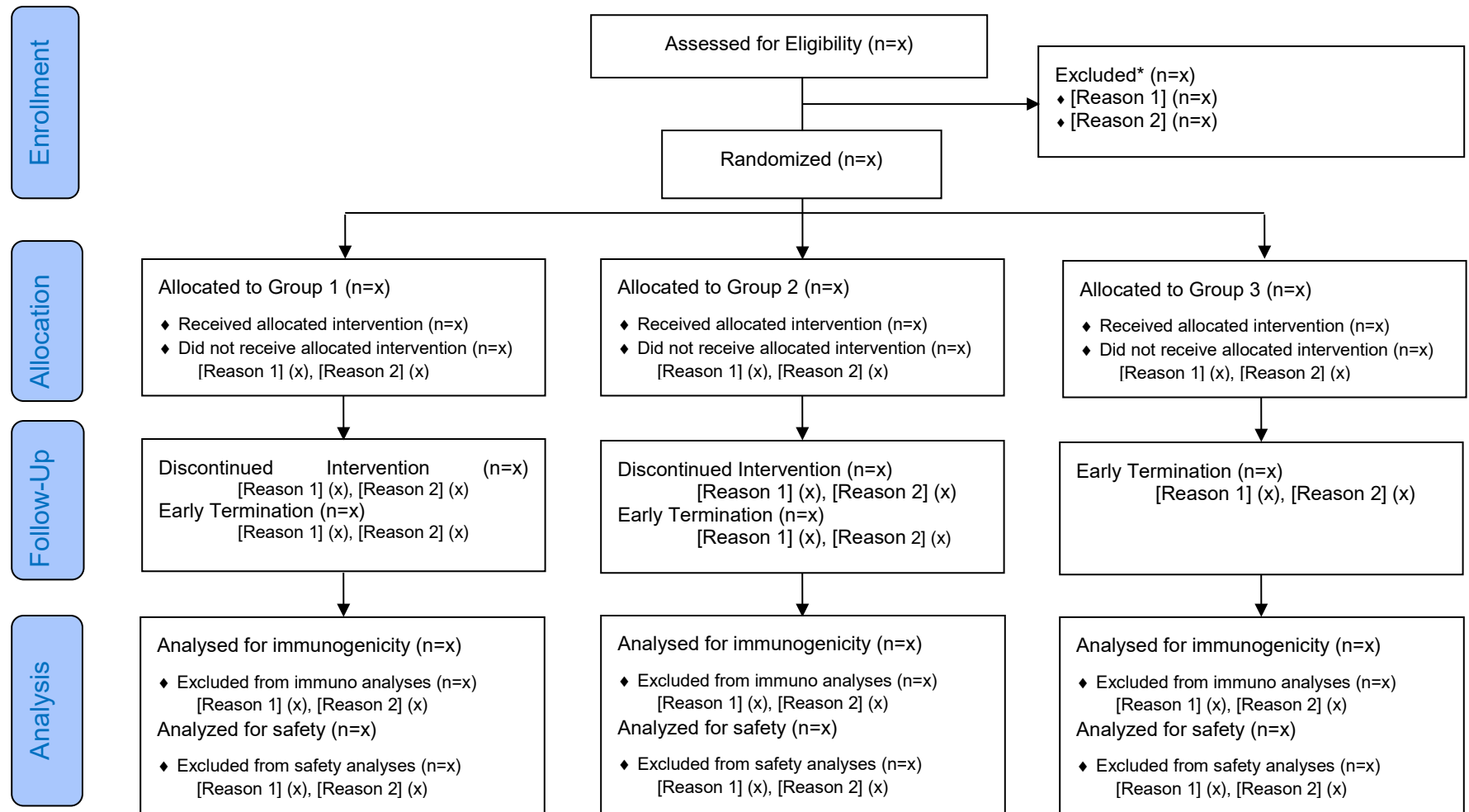
Figure 17:	Reverse Cumulative Distribution of Neutralizing Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 18:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 19:	Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 20:	Reverse Cumulative Distribution of Neutralizing Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	151
Figure 21:	Reverse Cumulative Distribution of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*	151
Figure 22:	Geometric Mean Titers of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*	152
Figure 23:	Geometric Mean Titers of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	152
Figure 24:	Geometric Mean Titers of Neutralizing Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*	152
Figure 25:	Geometric Mean Titers of Neutralizing Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	152
Figure 26:	Geometric Mean Titers of Hemagglutination Inhibition Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*	152
Figure 27:	Geometric Mean Titers of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*	152
Figure 28:	Geometric Mean Titers of Neutralizing Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*	152
Figure 29:	Geometric Mean Titers of Neutralizing Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*	152
Figure 30:	Geometric Mean Titers of Hemagglutination Inhibition Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*	152
Figure 31:	Geometric Mean Titers of Hemagglutination Inhibition Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*	152
Figure 32:	Geometric Mean Titers of Neutralizing Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*	153
Figure 33:	Geometric Mean Titers of Neutralizing Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*	153
Figure 34:	Geometric Mean Titers of Hemagglutination Inhibition Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	153
Figure 35:	Geometric Mean Titers of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*	153

Figure 36:	Geometric Mean Titers of Neutralizing Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	153
Figure 37:	Geometric Mean Titers of Neutralizing Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population* ..	153
Figure 38:	Geometric Mean Titers of Hemagglutination Inhibition Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	153
Figure 39:	Geometric Mean Titers of Hemagglutination Inhibition Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*	153
Figure 40:	Geometric Mean Titers of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*	153
Figure 41:	Geometric Mean Titers of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*	153
Figure 42:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*	154
Figure 43:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*	154
Figure 44:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent-to-Treat Population*	154
Figure 45:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*	155
Figure 46:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent-to-Treat Population*	155
Figure 47:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*	155
Figure 48:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent-to-Treat Population*	155
Figure 49:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*	155
Figure 50:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent-to-Treat Population*	155
Figure 51:	Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*	155
Figure 52:	Maximum Severity of Solicited Systemic Symptoms per Subject by Day Post Dose	156
Figure 53:	Maximum Severity of Solicited Local Symptoms per Subject by Day Post Dose.....	156
Figure 54:	Frequency of Unsolicited Adverse Events by MedDRA® System Organ Class and Severity	157

Figure 55:	Incidence of Unsolicited Adverse Events by MedDRA® System Organ Class and Severity	158
Figure 56:	Frequency of Adverse Events by MedDRA® System Organ Class and Relationship to Treatment	159
Figure 57:	Incidence of Adverse Events by MedDRA® System Organ Class and Relationship to Treatment	160
Figure 58:	Laboratory Results by Scheduled Visits: Mean Changes from Baseline by Laboratory Parameter and Treatment Group – White Blood Cells.....	161
Figure 59:	Laboratory Summary Statistics of Change from Baseline by Parameter, Maximum Severity, Study Day, and Treatment Group – Hemoglobin	161
Figure 60:	Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Platelets	161
Figure 61:	Laboratory Summary Statistics of Change from Baseline by Parameter, Maximum Severity, Study Day, and Treatment Group – Alanine Aminotransferase (ALT).....	161
Figure 62:	Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Total Bilirubin.....	162
Figure 63:	Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Creatinine.....	162

10.1 Disposition of Subjects Figures

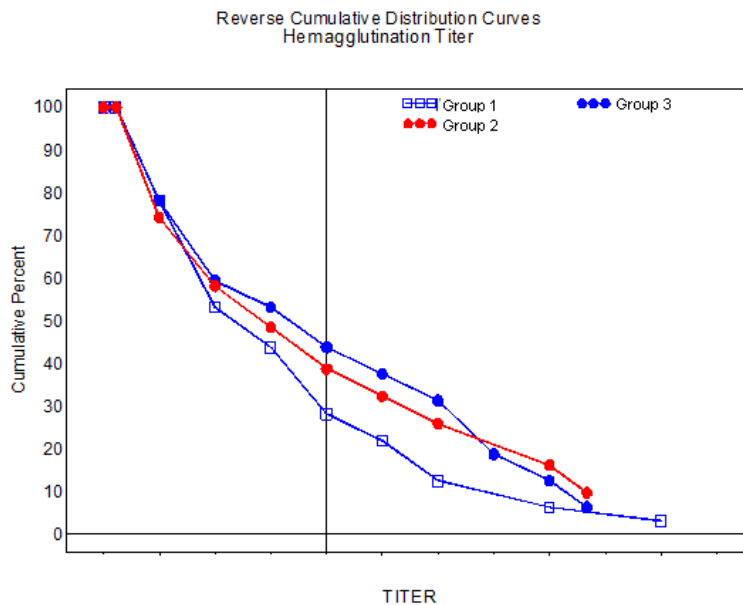
Figure 1: CONSORT Flow Diagram*



14.2.2 Immunogenicity Figures

Figure 2: Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*

[Implementation Note: A generic sample figure is shown below. The RCD curves should be presented in a single figure with separate panels for each outcome day (21, 42, 63, and 180 days post dose 1 and 180 days post final dose). Figures generated for the preliminary report will include data from all visits through study Day 64. Visit labels should be included in the panel headers. Within each panel individual curves should be used for each Treatment Group (three curves). Each treatment group should have a separate color and marker shape.]



Figures with similar format:

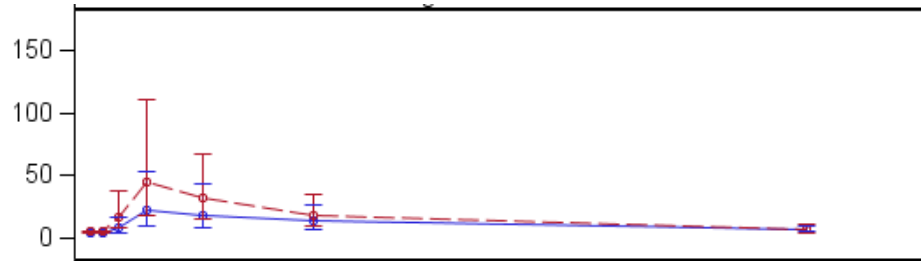
- Figure 3: Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population***
- Figure 4: Reverse Cumulative Distribution of Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population***
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- Figure 6: Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population***
- Figure 7: Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population***

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- Figure 11:** Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*
- Figure 12:** Reverse Cumulative Distribution of Neutralizing Antibody Against A/ Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*
- Figure 13:** Reverse Cumulative Distribution of Neutralizing Antibody against A/ Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*
- Figure 14:** Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*
- Figure 15:** Reverse Cumulative Distribution of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*
- Figure 16:** Reverse Cumulative Distribution of Neutralizing Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population*
- Figure 17:** Reverse Cumulative Distribution of Neutralizing Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population*
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- Figure 21:** Reverse Cumulative Distribution of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population*

Figure 22: Geometric Mean Titers of Hemagglutination Inhibition Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*

[Implementation Note: A generic sample figure is shown below. Planned Study Day is plotted along the x-axis, with visit labels as tick labels. Titer is plotted on the y-axis on the log-10 scale. GMT should be plotted at each visit with upper and lower error bars for the 95% CI for each treatment group with different marker shapes/colors for each treatment group. Each treatment group should have a separate color and marker shape]

Note: this figure will be generated for the preliminary report including data through Day 43, then re-generated for the final CSR to include all data through Day 202.



Figures with similar format:

Figure 23: Geometric Mean Titers of Hemagglutination Inhibition Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*

Figure 24: Geometric Mean Titers of Neutralizing Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent to Treat Population*

Figure 25: Geometric Mean Titers of Neutralizing Antibody against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*

Figure 26: Geometric Mean Titers of Hemagglutination Inhibition Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*

Figure 27: Geometric Mean Titers of Hemagglutination Inhibition Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*

Figure 28: Geometric Mean Titers of Neutralizing Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent to Treat Population*

Figure 29: Geometric Mean Titers of Neutralizing Antibody against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population*

Figure 30: Geometric Mean Titers of Hemagglutination Inhibition Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population*

Figure 31: Geometric Mean Titers of Hemagglutination Inhibition Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population*

- Figure 32: Geometric Mean Titers of Neutralizing Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent to Treat Population***
- Figure 33: Geometric Mean Titers of Neutralizing Antibody against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population***
- Figure 34: Geometric Mean Titers of Hemagglutination Inhibition Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population***
- Figure 35: Geometric Mean Titers of Hemagglutination Inhibition Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population***
- Figure 36: Geometric Mean Titers of Neutralizing Antibody against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Modified Intent to Treat Population***
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- Figure 40: Geometric Mean Titers of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent to Treat Population***
- Figure 41: Geometric Mean Titers of Neutralizing Antibody against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population***

Figure 42: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Modified Intent-to-Treat Population*

[Implementation Note: A generic sample figure is shown below. The scatter plots should be presented in a single figure with separate panels for each visit (baseline and 21, 42, 63, and 180 days post dose 1 and 180 days post final dose). Visit labels should be included in the panel header. Within each panel distinct marker types and colors should be used to indicate the treatment groups, with treatment group labels included in a legend. Each treatment group should have a unique color and marker shape. The spearman correlation should be calculated over all subjects and annotated within each panel as “Spearman Correlation (r=0.xx, p=0.xx)”]

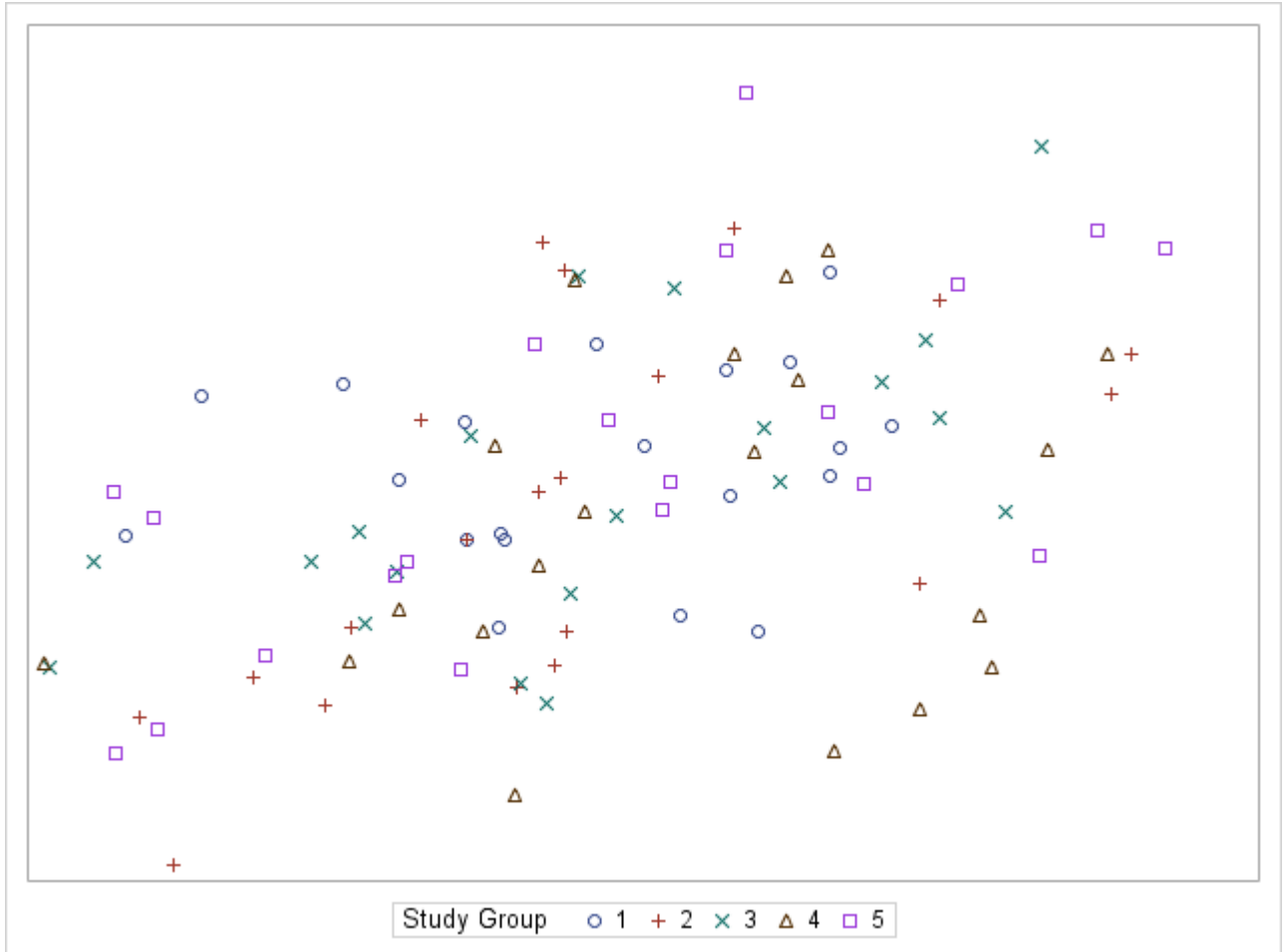


Figure with Similar format:

Figure 43: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against 2017 A/H7N9 by Study Day and Treatment Group, Per Protocol Population*

Figure 44: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Modified Intent-to-Treat Population*

- Figure 45: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Michigan/45/2015 X-275(H1N1) by Study Day and Treatment Group, Per Protocol Population***
- Figure 46: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Modified Intent-to-Treat Population***
- Figure 47: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against A/Hong Kong/4801/2014 X263B (H3N2) by Study Day and Treatment Group, Per Protocol Population***
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- Figure 49: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Phuket/3073/2013 (B Yamagata lineage) by Study Day and Treatment Group, Per Protocol Population***
- Figure 50: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Modified Intent-to-Treat Population***
- Figure 51: Correlation of Hemagglutination Inhibition Antibody and Neutralizing Antibody Against B/Brisbane/60/2008 (B Victoria lineage) by Study Day and Treatment Group, Per Protocol Population***

14.3.1 Safety Figures

14.3.1.1 Solicited Adverse Events

Figure 52: Maximum Severity of Solicited Systemic Symptoms per Subject by Day Post Dose

[Implementation Note: A Generic figure is shown below. A *vertical* bar chart should be presented in 1 image file with separate panels for each treatment group and dose (3 rows (treatment groups) x 3 columns (dose #)). Axes should be labeled as follows: x-axis label: Study Day, y-axis label: Percentage of Subjects (%). The treatment groups should be indicated in the panel headers including “(N=X)”, where N = the number of subjects in the in the Safety Population who received the relevant dose. Subjects are counted at most once at the maximum severity across all systemic events reported for the specified time point]

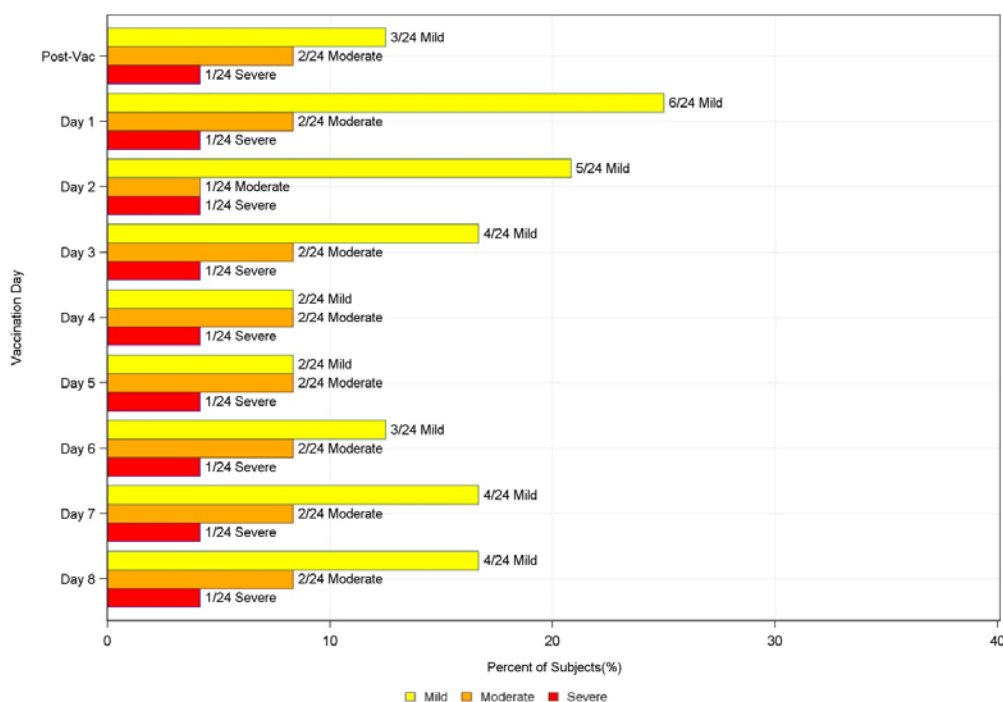


Figure with similar format:

Figure 53: Maximum Severity of Solicited Local Symptoms per Subject by Day Post Dose

14.3.1.2 Unsolicited Adverse Events

Figure 54: Frequency of Unsolicited Adverse Events by MedDRA® System Organ Class and Severity

[Implementation Note: A Generic figure is shown below. This figure includes all unsolicited events across all doses. A horizontal bar chart should be presented in 1 image file separate panels for each treatment group (3 columns (treatment groups)). Axes should be labeled as follows: y-axis label: System Organ Class, y-axis label: Number of Events. The treatment groups should be indicated in the panel headers including “(N=X)”, where N = the number of subjects in the in the Safety Population. The y-axis should present all SOCs reported by at least 1 subject and an “All Events” category. Y-axis should be sorted with “All Events first, then in decreasing order of total incidence]

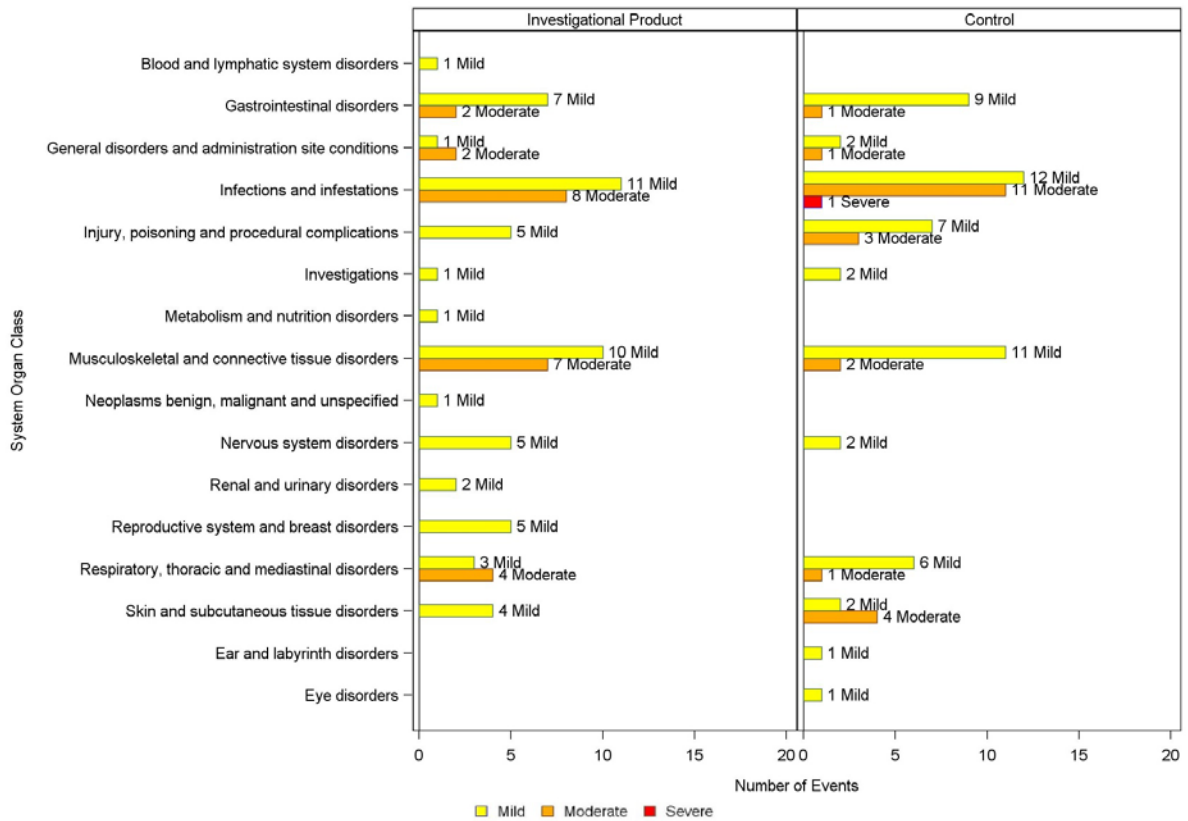


Figure 55: Incidence of Unsolicited Adverse Events by MedDRA® System Organ Class and Severity

[Implementation Note: A Generic figure is shown below. This figure includes all unsolicited events across all doses. A horizontal bar chart should be presented in 1 image file with separate panels for each treatment group (3 columns). Axes should be labeled as follows: y-axis label: System Organ Class, x-axis label: Percentage of Subjects (%). The treatment groups should be indicated in the panel headers including “(N=X)”, where N = the number of subjects in the in the Safety Population. Subjects are counted at most once at the maximum severity across for any events in the applicable SOC. The y-axis should present all SOCs reported by at least 1 subject and an “All Events” category. Y-axis should be sorted with “All Events” first then in decreasing order of total incidence]

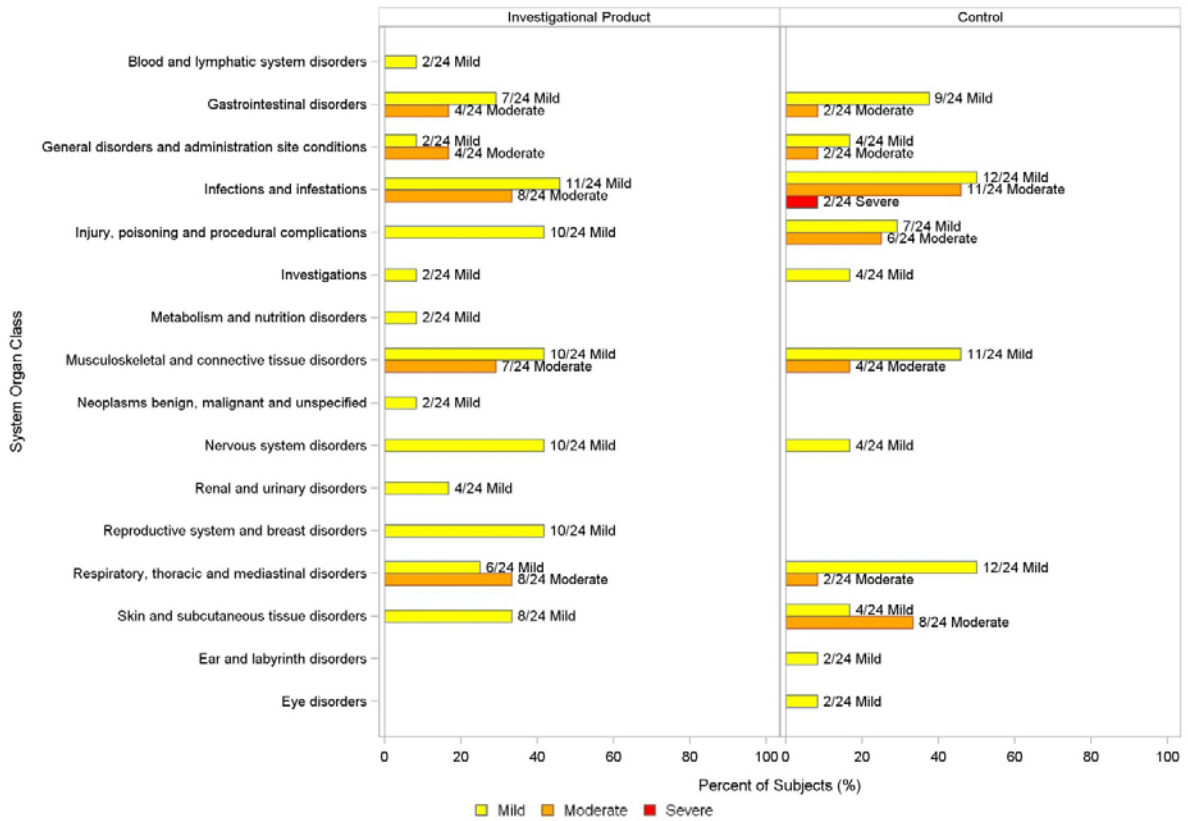


Figure 56: Frequency of Adverse Events by MedDRA® System Organ Class and Relationship to Treatment

[Implementation Note: A generic sample figure is shown below. This figure includes all unsolicited events across all doses. A horizontal bar chart should be presented in 1 image file with separate panels for each treatment group and study dose (3 columns (treatment groups)). Axes should be labeled as follows: y-axis label: System Organ Class, y-axis label: Number of Events. The treatment groups should be indicated in the panel headers including “(N=X)”, where N = the number of subjects in the in the Safety Population. The y-axis should present all SOCs reported by at least 1 subject and an “All Events” category. Y-axis should be sorted with “All Events first then in decreasing order of total frequency]

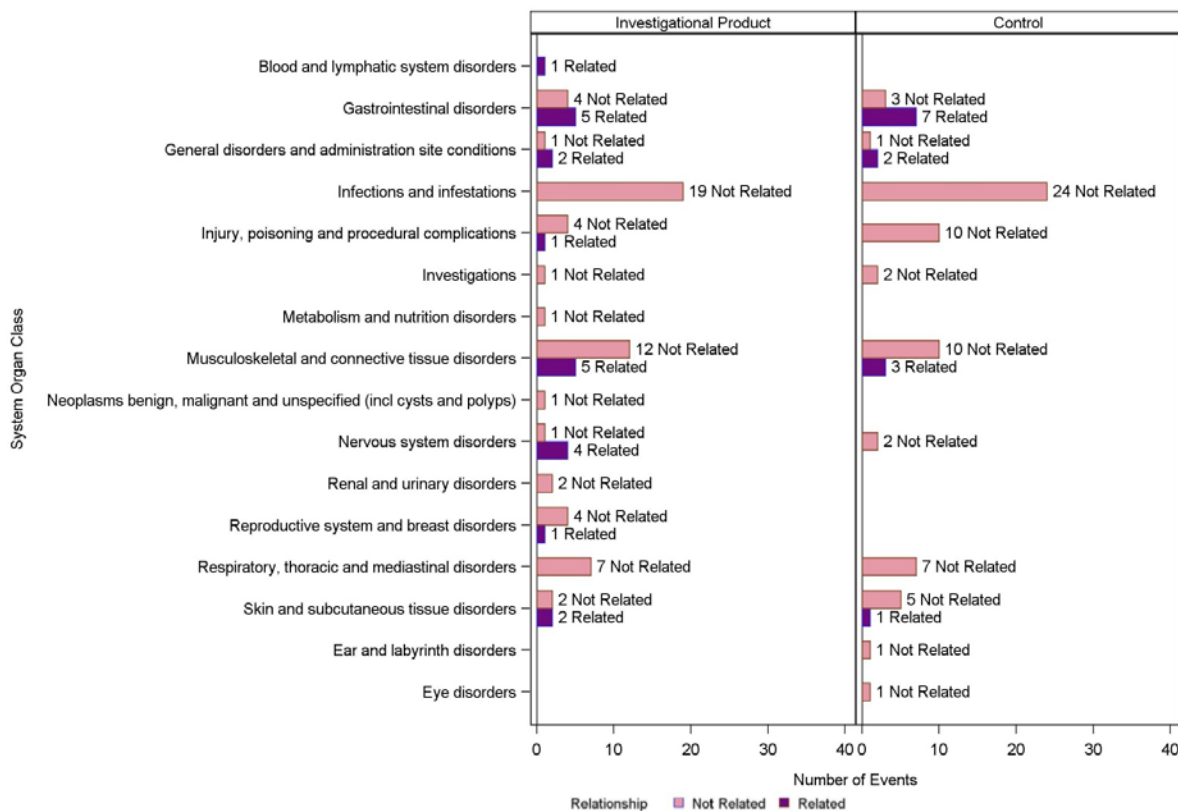
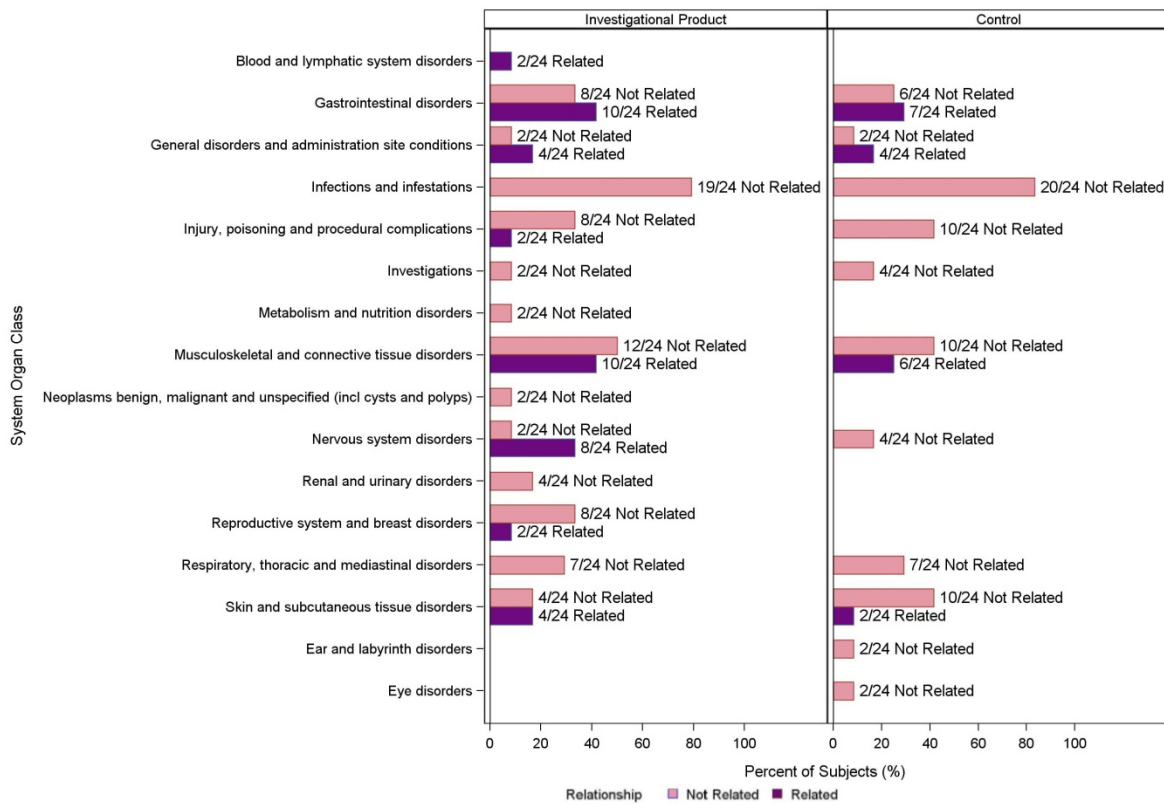


Figure 57: Incidence of Adverse Events by MedDRA® System Organ Class and Relationship to Treatment

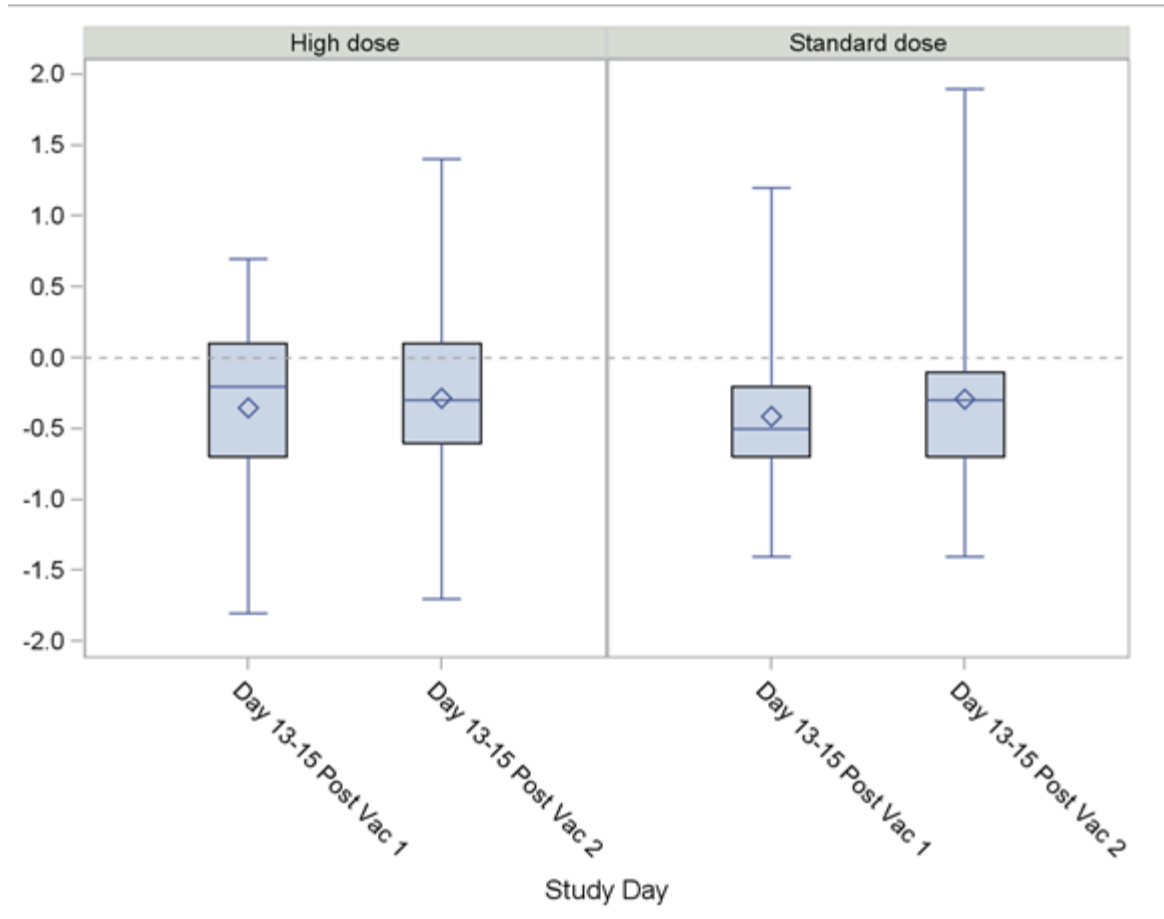
[Implementation Note: A Generic figure is shown below. This figure includes all unsolicited events across all doses. A horizontal bar chart should be presented in a 1 image file with separate panels for each treatment group (3 columns (treatment groups)). Axes should be labeled as follows: y-axis label: System Organ Class, x-axis label: Percentage of Subjects (%). The treatment groups should be indicated in the panel headers including “(N=X)”, where N = the number of subjects in the in the Safety Population. Subjects are counted at most once at the maximum relationship (related >not-related) across for any events in the applicable SOC. The y-axis should present all SOCs reported by at least 1 subject and an “All Events” category. Y-axis should be sorted with “All Events” first, then in decreasing order of total incidence across groups]



14.3.5 Displays of Laboratory Results

Figure 58: Laboratory Results by Scheduled Visits: Mean Changes from Baseline by Laboratory Parameter and Treatment Group – White Blood Cells

[Implementation note: A generic figure is shown below. Plot should be generated with all treatment groups in a single image file with panels for each treatment group (3 columns (treatment groups)) with a box plot shown for each post-baseline study day that labs are drawn (D8, D22, D29, D43, D50). Y-axis should be labeled “[Parameter] Change from baseline ([units]). Repeat for all clinical laboratory parameters: WBC, Hgb, PLT, ALT, T. Bili, Cr]



Figures with similar format:

Figure 59: Laboratory Summary Statistics of Change from Baseline by Parameter, Maximum Severity, Study Day, and Treatment Group – Hemoglobin

Figure 60: Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Platelets

Figure 61: Laboratory Summary Statistics of Change from Baseline by Parameter, Maximum Severity, Study Day, and Treatment Group – Alanine Aminotransferase (ALT)

Figure 62: Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Total Bilirubin

Figure 63: Laboratory Summary Statistics of Change from Baseline Results by Parameter, Maximum Severity, Study Day, and Treatment Group – Creatinine

Appendix 3. LISTINGS MOCK-UPS**LIST OF LISTINGS**

Listing 1:	16.1.6 - Listing of Subjects Receiving Investigational Product	164
Listing 2:	16.2.1 - Early Terminations or Discontinued Subjects	165
Listing 3:	16.2.2.1 - Subject-Specific Protocol Deviations	166
Listing 4:	16.2.2.2 - Non-Subject-Specific Protocol Deviations	167
Listing 5:	16.2.3 - Subjects Excluded from Analysis Populations	168
Listing 6:	16.2.4.1 - Demographics Data.....	169
Listing 7:	16.2.4.2 – Pre-Existing and Concurrent Medical Conditions.....	170
Listing 8:	16.2.4.3 – Influenza Vaccination History.....	171
Listing 9:	16.2.6 - Individual Immunogenicity Response Data.....	173
Listing 10:	16.2.7.1 - Solicited Events – Systemic Symptoms.....	174
Listing 11:	16.2.7.2 - Solicited Events – Local Symptoms	175
Listing 12:	16.2.7.3 - Unsolicited Adverse Events	176
Listing 13:	16.2.8.1 - Clinical Laboratory Results – Hematology.....	177
Listing 14:	16.2.8.2 - Clinical Laboratory Results – Chemistry.....	178
Listing 15:	16.2.9.1 - Vital Signs.....	179
Listing 16:	16.2.9.2 - Physical Exam Findings.....	180
Listing 17:	16.2.10 - Concomitant Medications	181
Listing 18:	16.2.11.1 - Pregnancy Reports – Maternal Information.....	182
Listing 19:	16.2.11.2 - Pregnancy Reports – Gravida and Para.....	182
Listing 20:	16.2.11.3 - Pregnancy Reports – Live Birth Outcomes.....	183
Listing 21:	16.2.11.4 - Pregnancy Reports – Still Birth Outcomes	183
Listing 22:	16.2.11.5 - Pregnancy Reports – Spontaneous, Elective, or Therapeutic Abortion Outcomes.....	183

16.1.6 Listing of Subjects Receiving Investigational Product

Listing 1: 16.1.6 - Listing of Subjects Receiving Investigational Product

Subject ID	Randomized Treatment Group	Product Received Study Dose 1	Product Received Study Dose 2	Product Received Study Dose 3
XXXXXXXXXX	Group 1	IIV4 / A/H7N9	A/H7N9	N/A
XXXXXXXXXX	Group 2	IIV4	A/H7N9	A/H7N9
XXXXXXXXXX	Group 3	IIV4	N/A	N/A

16.2 Database Listings by Subject

16.2.1 Discontinued Subjects

Listing 2: 16.2.1 - Early Terminations or Discontinued Subjects

[Implementation Note: Category will be either “Early Termination” or “Treatment Discontinuation.” In the “Reason” column, concatenate any “specify” fields, including AE number and DV number.]

Subject ID	Treatment Group	Category	Reason for Early Termination or Treatment Discontinuation	Study Day

16.2.2 Protocol Deviations

Listing 3: 16.2.2.1 - Subject-Specific Protocol Deviations

[Implementation Note: In the “Deviation” column, concatenate any and all “specify” fields (including visit number, etc.). If “Reason for Deviation” is “Other,” concatenate “specify” field, separate by a colon, e.g., “Other: Subject refusal.”]

Subject ID	Treatment Group	DV Number	Deviation	Deviation Category	Study Day	Reason for Deviation	Deviation Resulted in AE?	Deviation Resulted in Subject Termination?	Deviation Affected Product Stability?	Deviation Resolution	Comments

Listing 4: 16.2.2.2 - Non-Subject-Specific Protocol Deviations

[Implementation Note: In the “Deviation” column, concatenate any and all “specify” fields (including visit number, etc.). If “Reason for Deviation” is “Other,” concatenate “specify” field, separate by a colon, e.g., “Other: Subject refusal.”]

Site	Deviation	Start Date	End Date	Reason for Deviation	Deviation Resulted in Subject Termination ?	Deviation Affected Product Stability?	Deviation Category	Deviation Resolution	Comments

16.2.3 Subjects Excluded from the Analysis

Listing 5: 16.2.3 - Subjects Excluded from Analysis Populations

[Implementation Note: This data in this listing should be congruent with the “Analysis Populations by Treatment Group” table. The reasons included here should match the SAP text that describes who will be excluded from analyses.]

Treatment Group	Subject ID	Analyses in which Subject is Included	Analyses from which Subject is Excluded	Results Available?	Reason Subject Excluded
		[e.g., Safety, ITT, PP]	[e.g., Safety, ITT, PP, Day x]		

Note: “Yes” in the “Results available” column indicates that available data were removed from the analysis. “No” indicates that no data were available for inclusion in the analysis.

16.2.4 Demographic Data

Listing 6: 16.2.4.1 - Demographics Data

[Implementation Note: If a subject is multi-racial, in “Race” column, note “Multiple: (list races, separated by a comma).”]

Subject ID	Treatment Group	Sex	Age at Enrollment (years)	Ethnicity	Race	BMI

Listing 7: 16.2.4.2 – Pre-Existing and Concurrent Medical Conditions

[Implementation Note: “Condition Start Day” and “Condition End Day” are relative to enrollment (which is Day 1, day before enrollment is Day - 1). If ongoing, display “Ongoing” in the “Condition End Day” column]

Subject ID	Treatment Group	MH Number	Medical History Term	Condition Start Day	Condition End Day	MedDRA® System Organ Class	MedDRA® Preferred Term

Listing 8: 16.2.4.3 – Influenza Vaccination History

Subject ID	Treatment Group	Vaccine Type	Month/Year Received	Vaccination Type / Subtype
		[Inactivated/ LAIV/ Unknown]	September 2017	Seasonal Influenza Vaccination (2016-2017)
		[Inactivated/ LAIV/ Unknown]	June 2017	Non-Seasonal Influenza A Vaccine Subtype H5N8
		[Inactivated/ LAIV/ Unknown]	June 2017	Non-Seasonal Influenza Vaccinae Subtype M-001

16.2.5 Compliance and/or Drug Concentration Data (if available)

Not applicable for this study.

16.2.6 Individual Immunogenicity Response Data

Listing 9: 16.2.6 - Individual Immunogenicity Response Data

Subject ID	Treatment Group	Planned Time Point	Actual Study Day	Assay	Strain	Titer Replicate 1	Titer Replicate 2

16.2.7 Adverse Events

Listing 10: 16.2.7.1 - Solicited Events – Systemic Symptoms

Subject ID	Treatment Group	Dose Number	Post Dose Day	Assessment ¹	Symptom	Severity	Attributed to Alternate Etiology? ²	Alternate Etiology
				MA				
				Clinic				

¹ MA = Data reported by subject on the Memory Aid and reviewed by clinic staff and reported in Solicited Events eCRF.
 Clinic = Data collected by clinic staff during physical exam or symptom assessment (treatment administration record, in-clinic assessment, etc.)
² Grade 3 events only.

Listing 11: 16.2.7.2 - Solicited Events – Local Symptoms

[Implementation Note: For group 1, dose 1 match the product received to symptoms reported based on the arm the product (IIV4, A/H7N) was received in]

Subject ID	Treatment Group	Dose Number	Product Received ¹	Post Dose Day	Assessment ²	Symptom	Severity
					MA		
					Clinic		

¹ For Group 1, IIV4 and A/H7N9 vaccines are both received at Dose 1 in separate arms.

² MA = Data reported by subject on the Memory Aid and reviewed by clinic staff and reported in Solicited Events eCRF.

Clinic = Data collected by clinic staff during physical exam or symptom assessment (treatment administration record, in-clinic assessment, etc.)

Listing 12: 16.2.7.3 - Unsolicited Adverse Events

Adverse Event	Associated with Dose #	# of Days Post Associated Dose (Duration)	Severity	SAE? MAAE? PIMMC?	Relationship to Study Treatment	In Not Related, Alternative Etiology	Action Taken with Study Treatment	Subject Discontinued Due to AE	Outcome	MedDRA® System Organ Class	MedDRA® Preferred Term
Subject ID: , Treatment Group: , AE Number:											
				SAE: No MAAE: Yes PIMMC: No							
Comments:											
Subject ID: , Treatment Group: , AE Number:											
Comments:											
Note: For additional details about SAEs, see Section 14.3.3											

16.2.8 Individual Laboratory Measurements

Listing 13: 16.2.8.1 - Clinical Laboratory Results – Hematology

[Implementation Note: These listings (for hematology and chemistry) include all laboratory results, scheduled and unscheduled. These listings are not color-coded, but the severity should be included in parentheses after the result for abnormal results, e.g., 16.2 (Mild). The “extra” fields that are completed for abnormal results are not included in this listing; they are included in the listing of abnormal laboratory results that is included in the table shells.]

Subject ID	Treatment Group	Sex	Age (years)	Planned Time Point	Actual Study Day	Alanine aminotransferase (IU/L)	Total Bilirubin (mg/dL)	Creatinine (mg/dL)

Listing 14: 16.2.8.2 - Clinical Laboratory Results – Chemistry

Subject ID	Treatment Group	Sex	Age (years)	Planned Time Point	Actual Study Day	White Blood Cell (10 ³ /μL)	Hemoglobin (g/dL)	Platelets (10 ³ /μL)

16.2.9 Vital Signs and Physical Exam Findings

Listing 15: 16.2.9.1 - Vital Signs

[Implementation Note: This listing includes all vital sign assessments, scheduled and unscheduled. These listings are not color-coded, but the severity should be included in parentheses after the result for abnormal assessments, e.g., 100.7 (Mild).]

Subject ID	Treatment Group	Planned Time Point	Actual Study Day	Temperature (°C)	Systolic Blood Pressure (mmHg)	Diastolic Blood Pressure (mmHg)	Pulse (beats/min)

Listing 16: 16.2.9.2 - Physical Exam Findings

[Implementation Note: This listing includes all physical exam findings, scheduled and unscheduled. If a subject does not have any findings upon examination, they will not be included in this listing. If reported as an AE, display “Yes” with the AE Number in parentheses, e.g., “Yes (7)”.]

Subject ID	Treatment Group	Planned Time Point	Actual Study Day	Body System	Abnormal Finding	Reported as an AE? (AE Number)

16.2.10 Concomitant Medications

Listing 17: 16.2.10 - Concomitant Medications

[Implementation Note: “Medication Start Day” and “Medication End Day” are relative to enrollment (which is Day 1, day before enrollment is Day -1). For medication start dates that are > 30 days prior to enrollment, rather than use exact study days, categorize as follows:

- > 5 years prior to enrollment
- 1-5 years prior to enrollment
- 1-12 months prior to enrollment

If ongoing, display “Ongoing” in the “Medication End Day” column. If taken for an AE or MH, display “Yes” with the AE or MH Number in parentheses, e.g., “Yes (7)”.]

Subject ID	Treatment Group	CM Number	Medication	Medication Start Day	Medication End Day	Indication	Taken for an AE? (AE Number)	Taken for a condition on Medical History? (MH Number)

16.2.11 Pregnancy Reports

[Implementation Note: Only include the “Pregnancy Number” column if a subject has more than 1 pregnancy. Date of Conception will be calculated based on estimated delivery date. BMI will be calculated based on pre-pregnancy height and weight. Mother’s weight gain will be calculated based on pre-pregnancy weight and end of pregnancy weight. If a major congenital anomaly with previous pregnancy, display “Yes” and the text from the “specify” field, separated by a colon. If any substance use is reported, include a listing of substance use. If autopsy revealed an alternate etiology, display “Yes” and the text from the “specify” field, separated by a colon. If abnormality in product of conception, display “Yes” and the text from the “specify” field, separated by a colon.]

Listing 18: 16.2.11.1 - Pregnancy Reports – Maternal Information

Subject ID	Treatment Group	Pregnancy Number	Study Day Corresponding to Estimated Date of Conception	Source of Maternal Information	Pregnancy Status	Mother’s Pre-Pregnancy BMI	Mother’s Weight Gain During Pregnancy	Tobacco, Alcohol, or Drug Use During Pregnancy?	Medications During Pregnancy?	Maternal Complications During Pregnancy?	Maternal Complications During Labor, Delivery, or Post-Partum?

Note: Maternal Complications are included in the Adverse Event listing. Medications taken during pregnancy are included in the Concomitant Medications Listing.

Listing 19: 16.2.11.2 - Pregnancy Reports – Gravida and Para

Subject ID	Pregnancy Number	Gravida	Live Births								Still Births	Spontaneous Abortion/Miscarriage	Elective Abortions	Therapeutic Abortions	Major Congenital Anomaly with Previous Pregnancy?	
			Extremely PB ¹	Very Early PB ¹	Early PB ¹	Late PB ¹	Early TB ²	Full TB ²	Late TB ²	Post TB ²						

¹ Preterm Birth

² Term Birth

Note: Gravida includes the current pregnancy, para events do not.

Listing 20: 16.2.11.3 - Pregnancy Reports – Live Birth Outcomes

Subject ID	Pregnancy Number	Fetus Number	Pregnancy Outcome (for this Fetus)	Fetal Distress During Labor and Delivery?	Delivery Method	Gestational Age at Live Birth	Size for Gestational Age	Apgar Score, 1 minute	Apgar Score, 5 minutes	Cord pH	Congenital Anomalies?	Illnesses/Hospitalizations within 1 Month of Birth?

Note: Congenital Anomalies are included in the Adverse Event listing.

Listing 21: 16.2.11.4 - Pregnancy Reports – Still Birth Outcomes

Subject ID	Date of Initial Report	Fetus Number	Pregnancy Outcome (for this Fetus)	Fetal Distress During Labor and Delivery?	Delivery Method	Gestational Age at Still Birth	Size for Gestational Age	Cord pH	Congenital Anomalies?	Autopsy Performed?	If Autopsy, Etiology for Still Birth Identified?

Listing 22: 16.2.11.5 - Pregnancy Reports – Spontaneous, Elective, or Therapeutic Abortion Outcomes

Subject ID	Date of Initial Report	Fetus Number	Pregnancy Outcome (for this Fetus)	Gestational Age at Termination	Abnormality in Product of Conception?	Reason for Therapeutic Abortion