Impact of Timed Bromocriptine-QR Therapy upon Measures of Sympathetic Tone and Vascular Biology in Type 2 Diabetes Subjects

<u>Statistical Analysis Plan</u> Date: Dec 14, 2018

Study Objectives:

Primary Objective:

To demonstrate the effects of dopaminergic activation with bromocriptine-QR on the autonomic nervous system in subjects with type 2 diabetes.

Secondary Objectives:

The Co-secondary objectives of the study were the following:

- 1) To assess the impact of bromocriptine-QR vs placebo on measures of insulin resistance and glycemic control
- 2) To demonstrate the effects of dopaminergic activation with bromocriptine-QR on the regulation of plasma neuroendocrine factors such as the hypothalamic-pituitary-axis (HPA) hormones, and on the plasma levels of markers of inflammation and oxidative/nitrosative stress in type 2 diabetes subjects.

Study Endpoints and Analysis Plan

Primary Endpoint

Changes in autonomic function measures from baseline to week 24 following treatment with study drug (bromocriptine-QR or placebo).

The effect of treatment with study drug for 24 weeks on the following three measures of autonomic function will be analyzed:

- 1) Heart Rate Variability
- 2) Resting Heart Rate
- 3) Sudorimetry

Between treatment group differences in the change from baseline to week 24 in the above autonomic function parameters will be analyzed. All analyses will be stratified by baseline resting heart rate (RHR) \geq 70 or <70 beats per min (BPM) (see below). The rationale for such stratification is as follows. Elevated RHR is known to be a simple and useful clinical measure of elevated sympathetic nervous system activity as it reflects central sympathetic-parasympathetic activity balance^{1,2} and has been shown to correlate with other measures of SNS activity such as muscle sympathetic nerve activity and serum noradrenalin levels and heart rate variability³⁻⁶. While clinically, RHR between 60-100 BPM is considered the "normal" range for RHR and RHR ≥ 100 is used as the criteria for defining tachycardia, a large body of evidence from epidemiological and clinical studies suggest that increasing RHR even within the "normal" range is associated with increased cardiometabolic risk, particularly above around 70, likely reflecting the deleterious effects of underlying elevated sympathetic tone leading to such elevated RHR. Such elevated RHR has been associated with insulin resistance^{7,8}, altered beta cell function⁹, impaired glucose regulation and increased risk of developing type 2 diabetes mellitus¹⁰⁻¹² as well as increased cardiovascular risk¹³⁻³¹ and mortality^{13-23,26,27,29,31-40}. In addition to and consistent with the reported evidence supporting elevated RHR threshold of approximately 70 BPM as an indicator of elevated sympathetic tone, a previous study that evaluated the effect of bromocriptine-QR on RHR in subjects with type 2 diabetes demonstrated that bromocriptine-OR's influence to reduce RHR is only observable if the RHR is elevated to > 70 BPM and the magnitude of this reduction was greater the more elevated the baseline RHR was above 70 BPM with greater reductions seen with baseline RHR $\ge 80^{41,42}$. Furthermore, it was observed that the degree of

bromocriptine-QR's impact to reduce elevated RHR was an independent predictor of its effect to reduce HbA1c among subjects with poor glycemic control (baseline HbA1c ≥ 7.5)⁴¹. The rationale for the categorical stratification of ≥ 70 or <70 BPM for the analysis of the data from this current study is therefore based on the above considerations. A subset analysis will also be conducted in subjects with baseline RHR ≥ 80 BPM. We hypothesize that the treatment effect on SNS will be expressed in the RHR ≥ 70 BPM group.

Secondary Endpoints:

1) Measures of insulin resistance and glycemic control

Given the mechanism of action of Cycloset to improve postprandial insulin sensitivity, the main endpoint that will be evaluated is the effect of study drug treatment on postprandial glycemic control based on the OGTT plasma glucose and insulin data obtained at baseline and week 24. Between treatment group differences in change from baseline to week 24 for the area under the curve as well as levels at different time points of OGTT plasma glucose and insulin levels will be analyzed. Matsuda index of insulin sensitivity calculated using the OGTT glucose and insulin levels and HOMA-IR calculated from fasting glucose and insulin levels will also be analyzed as measures of insulin sensitivity/resistance.

All subjects completing 24-weeks of study drug treatment with data for baseline and week 24 OGTT will be included in the analyses of glycemic endpoints. The analyses will be done in the entire cohort of subjects with and without stratification by baseline HbA1c level of <7.0 and ≥7.0 (i.e. suboptimal glycemic control).

Given that as a postprandial insulin sensitizer, the presence of adequate postprandial insulin levels is an important determinant of the postprandial glucose lowering efficacy, further subset analyses will be conducted to assess the above endpoints in subjects with OGTT insulin at T60 mins \geq 50 µU/ml and also in the subset on an insulin secretory agent (+/- TZD). An analysis stratified by duration of diabetes (\leq 4 years or >4 years) may also be conducted depending on sample size.

2) Measures of inflammation, oxidative/nitrosative stress and plasma neuroendocrine factors

The following plasma factors will be evaluated: cortisol, aldosterone, norepinephrine, normetanephrine, adiponectin, leptin, IL6, TNF α , PAI1, SOD, TBARS, ADMA, nitrotyrosine and other appropriate factors of increased systemic inflammation and reactive oxygen species.

Between treatment group differences in the change from baseline to week 24 in the levels of the above factors will be analyzed in the overall cohort as well as with stratification by baseline RHR ($</\geq$ 70 BPM) as described above for the analysis of autonomic function markers as well as stratification by baseline HbA1c ($</\geq$ 7.0) as described above for the analysis of glycemic control parameters.

Statistical Methods:

Between treatment group (bromocriptine-QR vs. placebo) differences in change from baseline to week 24 and within group changes from baseline to week 24 of all primary and secondary endpoints will be analyzed using Independent Samples T-tests. If the data are not normally distributed in raw form or in some cases after simple log transformation, then appropriate non-parametric tests will be used instead. Within group analyses will be performed using paired samples T-test or repeated measures ANOVA as appropriate. The treatment effects on the primary and secondary outcomes will also be assessed after adjustment as needed for other potential factors that could influence the specific endpoint being analyzed such as duration of diabetes, baseline HbA1c, baseline RHR and/or change in RHR from baseline, concomitant medications and other baseline demographics as appropriate using categorical stratified analyses as discussed above and/or multivariable regression analyses methods.

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